



November 26, 2001

## MEMORANDUM

**DUKE  
UNIVERSITY**

To: Members of the Duke University Board of Trustees

From: Nannerl O. Keohane

Subject: Summary of Activities

I am pleased to provide this report of activities since our October meeting

### September 11 and Its Aftermath

More than two months have elapsed since September 11, but the tragic events of that day remain a focal point of examination and discussion among Duke faculty, students, and others on campus. Faculty members across many disciplines have incorporated the topic in their classes. Spring registration is not yet complete, but I am told that we are seeing an increase in enrollment in a number of courses that focus on terrorism, Islam, and international politics. As you know we have held a series of public forums to bring to the public the expertise and wide ranging views of our faculty on varied aspects of September 11 and its aftermath. These forums are all available in streaming video on our Web page <http://www.duke.edu/web/forums/>. They have tackled such issues as national security and civil liberties, how terrorism has affected the world economy, the morality of war from an Islamic or Christian perspective and counter-terrorism technologies. Medical School Dean **Sandy Williams** and chair of the Department of Medicine **Barton Haynes** are moderating a forum of leading Medical Center faculty that focuses on the medical and psychological aspects of terrorism. We have been encouraged by the response to the forums, each of which has had more than 100 people in attendance. We published the forums widely, and have had more than 800 "hits" on the streaming videos, with some 70 percent of those coming from off-campus computers.

At the urging of Provost **Peter Lange** and Professor of Classical Studies **Peter Burian**, chair of the Academic Council, many members of the faculty have written op-ed pieces and discussed on-going events with members of the media. Religion Department chair **Bruce Lawrence**, a scholar of Islam, including fundamentalism and its link to violence, was the subject of more than 80 interviews in the weeks immediately following the attacks. Among topics that our faculty have tackled are the role of the United Nations, how September 11 has affected election reform, the challenge of identifying foes and friends during the war against terrorism, and the political future of Afghanistan. These op-eds are posted at <http://www.duke.edu/web/forums/viewpts.html>.

In addition to the varied views of our faculty, a number of outside speakers have spoken on the subject. United States Senator Joseph Lieberman (D-CT), last year's Democratic candidate for vice-president and this year's Terry Sanford Distinguished Lecturer, called for the development of a new version of the Marshall Plan to bring the democratic values of freedom, humanitarianism, tolerance and justice to Arab countries. Earlier this month the Duke Conservative Union sponsored a forum on the new terrorism involving faculty from the U.S. Naval War College, Cornell University, and retired Admiral Michael Ratliff, former director of naval intelligence. That same night Fred Andrews, recently retired senior editor of *The New York Times* and this year's recipient of the DeWitt Wallace Center for Communications' Futrell Award for distinguished contributions to journalism, talked about his career at *The Wall Street Journal* and *The New York Times*. His presentation included a discussion of how complicated it is to cover the war in Afghanistan without reporters on the ground, and how the *Times* has approached this dilemma. A few days earlier, Trustee Emeritus Gene Patterson was back on campus to meet with students and visiting journalists, mostly from the old Soviet bloc countries and Eastern Europe, who are in residence at the DeWitt Wallace Center. Gene's comments focused on the challenges facing

CNN and television generally in covering a new kind of war.

From my conversations with presidents of other universities, it is clear that this has been a remarkable time on college campuses across the land. Since the attacks of September 11 we have seen patriotic concerts, peace rallies, blood drives, fund-raising efforts, and vigils. In light of the robust debate and discussion that have occurred, it has been particularly disappointing to see repeated misrepresentations in the media that Duke has been a place where discussion and debate have been stifled. We also have experienced our share of anthrax hoaxes and scares that forced buildings to be evacuated and have kept our Occupational and Environmental Safety staff and Duke Police busy. As always, we have been well served by the work of Chief **Clarence Birkhead** and the officers of the Duke Police, as well as by Dr. **Wayne Thomann** and Dr. **George Jackson** and their colleagues in our Office of Occupational and Environmental Safety.

On a sad note, we learned in October that a sixth alumnus, Michael Morgan Taylor, a 1981 Trinity College graduate, was among those who died in the September 11 attack. Taylor, who was 42, worked for Cantor Fitzgerald in the North Tower of the World Trade Center. Our thoughts and prayers are with his family.

We are also seeing the effects of September 11 in a number of legislative proposals affecting higher education in Washington. We are pleased with the significant increases in the proposed 2002 budget (8.5 percent for the National Science Foundation and somewhere between 12.7 and 16.7 percent for the National Institute of Health, depending on the result of conference committee negotiations) for the principal research agencies on which Duke faculty depend. However, we have not seen the growth in financial aid programs that we had hoped for prior to September 11. Prospects for increases in domestic spending in future years are now in serious question, as the 2002 budget is certain to go into deficit for the first time in five years. Policy makers face unappealing choices between higher spending and continuing budget deficits, or higher taxes and deep cuts in non-terrorism related programs.

Although the budget is still incomplete, Congress has passed a number of bills including anti-terrorism legislation which have implications for universities in such areas as the privacy of student records and access to hazardous biological agents for research. I am pleased that the concerns identified by the higher education community about various aspects of the legislation were essentially addressed in the final bill that President Bush has now signed.

The nation's colleges and universities have long argued for expansion of opportunities for international students to participate in American education. History has shown these students greatly enrich the educational, cultural, and social experience of our campuses; many also become leaders in our country and in their native countries. As David Ward, the president of the American Council on Education and former chancellor of the University of Wisconsin, recently testified before Congress, in this partnership the federal government has the right and responsibility to protect the safety and security of the United States by deciding who receives any type of visa to visit the country. And colleges that admit and enroll international students have an obligation and a responsibility to work cooperatively with the federal government in keeping track of those students.

I especially want to commend **Catheryn Cotten**, director of Duke's international office, for the leadership she has provided in recent years in bringing greater efficiency and effectiveness to the often cumbersome task of approving visas for foreign students and tracking students through their time in this country. Since 1997, Duke has participated in a pilot project - Student and Exchange Visitor Information System (SEVIS) - which turns two key travel documents into electronic formats. The project is intended to eliminate the blizzard of paperwork often involved in student visas, and just as significantly, to provide Internet based avenues to manage information on the academic status of students and scholars. The anti-terrorism bill, for the first time, provides funds to cover the cost of implementing SEVIS.

Title VI of the Higher Education Act authorizes ten programs involving international education. For years, these programs have supplied the nation with experts and expertise about other nations - their cultures, political and business systems, histories and languages. Several area studies centers at Duke are funded under the Title VI program. Just as the launch of Sputnik created a challenge for our nation to which American's universities responded, the events of September 11 provide an opportunity for Duke and other universities to work with the government across a wide variety of scientific, engineering, and related fields. These surely include training specialists in foreign languages and cultures who can assist the government, the private sector, and the news media in communicating more effectively across cultures.

## Major Awards and Recognitions

I am pleased to report that two members of the Sanford Institute of Public Policy have received important recognition for their scholarly contributions. **James T. Hamilton**, the Oscar L. Tang Family Associate Professor of Public Policy Studies, has won the prestigious David N. Kershaw Award and the \$10,000 prize that accompanies it. The Kershaw Award recognizes individuals under the age of 40 who have made distinguished contributions to the field of public policy analysis. Jay Hamilton's research focuses on economics and risk assessment in such diverse areas as environmental regulatory decisions and television violence. His book *Channeling Violence: The Economic Market for Violent Television Programming* won the 1999 Goldsmith Book Prize as the best book on media and public policy.

**Philip J. Cook**, ITT/Terry Sanford Professor of Public Policy Studies and professor of economics and sociology has been elected as a member of the Institute of Medicine, the 22nd member of the Duke faculty to be so honored. Members of the Institute of Medicine are chosen for their contributions to health and medicine or related fields such as social and behavioral sciences, law, administration, and economics. Cook's research has focused on a number of key social issues, such as public health policy; regulation of alcohol, guns and gambling; and violence prevention. *The Winner Take-All Society*, which Cook coauthored with Cornell Professor Robert Frank, has been heralded by David Gergen of Harvard's John F. Kennedy School of Public Affairs as one of the two most important books of the 1990s.

Chancellor for Health Affairs and President and CEO of the Duke University Health System **Ralph Snyderman**, has been elected chair of the Association of American Medical Colleges. The association represents the interests of some 125 accredited U.S. medical schools and 16 accredited Canadian medical schools, as well as some 400 major teaching hospitals including 74 Veterans Administration medical centers, and 91 academic and professional societies with nearly 88,000 faculty members, as well as the nation's 67,000 medical students and 102,000 residents. This latest recognition of Dr. Snyderman's leadership will place him at the forefront of debates in Washington on a wide range of health care issues.

Dr. **David S. Pisetsky** has been awarded the 2001 Lee C. Howley Prize Arthritis Research. The \$20,000 Howley Prize is given annually by the Arthritis Foundation to one or more researchers whose contributions in the previous five years represent significant advances in the understanding, treatment, or prevention of arthritis and rheumatic diseases. Dr. Pisetsky, a professor of medicine and immunology, is chief of Rheumatology, Allergy, and Clinical Immunology at Duke Medical Center and the Durham VA Medical Center. He is one of the first investigators to show immunologic properties of DNA in two main areas - the induction of anti-DNA responses in systemic lupus erythematosus and the simulation of host defense by bacterial DNA. Pisetsky is also an accomplished writer whose commentaries have been published in several national magazines.

Several books from Duke Press have won professional awards in their authors' fields recently. Five of the seven winners were acquired by **Valerie Millholland**, who edits most of the Press' Latin American history titles. The series of awards in Latin American history is a strong indication that the Press is developing an international reputation in that field.

## Academic and Research News

**Gilbert Merkx**, director of the Latin American and Iberian Institute at the University New Mexico at Albuquerque, is our new vice provost for international affairs, succeeding Professor Bruce Kuniholm who is returning to his teaching and research. Merkx, who also holds an appointment as professor of the practice of sociology, will work closely with Provost Lange, the deans, and faculty leaders in advancing Duke's ambitious internationalization plans

With Trustee Emeritus Ray Nasher in attendance, we will break ground on December 6 for the long awaited Nasher Museum of Art. I will, therefore, begin my discussion of recent academic initiatives with an exciting student research project at the current museum. As you know, providing opportunities for students to engage in original research under the tutelage of Duke faculty is a significant component of Curriculum 2000 in Trinity College. Three Duke undergraduates, **Victoria Kaplan** of Los Angeles, California; **Liz Davis** of Piqua, Ohio; and **Sarah Hunsberger** of Fuquay-Varina, North Carolina, have curated an exhibition that examines the authenticity of nine original paintings by the late Italian artist Giorgio de Chirico as well as a forgery of his work. The students' research revealed that de Chirico was the subject of several forgeries by lesser artists and that he himself appears to have made numerous copies of his original paintings and then backdated them to fetch the highest possible price. Funding for the exhibition was provided by the Mary Duke Biddle Foundation. I urge you to visit the Duke Museum of Art to see first hand the work of these outstanding student scholars.

Researchers from our Medical Center continue to receive considerable acclaim and media attention. A team of Duke physicians has reported that diabetic patients with chest pain should be directly admitted to the hospital for a complete cardiac work up, and not observed in Chest Pain Unit first. Their analysis revealed that diabetic patients have more than twice the risk of short term death or heart attack as non diabetic patients. Dr. **Kristin Newby** of the Cardiology Department led the Duke research team with funding from the Duke Clinical Research Institute.

Duke's \$200 million commitment to the Institute for Genome Sciences and Policy has been buttressed by a number of recent grants. Our Mouse Models of Diabetic Complications Consortium group has received a five year grant totaling more than \$3.5 million from the National Institutes of Health to create new mouse models for diabetic kidney and heart disease. Dr. **Thomas Coffman** reports that no current models precisely mimic human complications of diabetes, which is why creating these mouse models is so vital to our understanding of the disease. The \$45 million Center for Human Disease Models where this important work will eventually be housed is now under construction.

Duke and four other academic medical centers were awarded more than \$37 million to improve understanding of why some people develop a disease and some remain unaffected, when exposed to the same environmental factors. Dr. **David Schwartz**, chief of pulmonary medicine at Duke and principal investigator for the Duke effort, said that a number of specific Duke projects will focus on asthma, pulmonary fibrosis, and neurodevelopmental aspects of disease, as well as the effects of exposure to different metals. Specifically, researchers want to understand more about how different outside factors interact with genes in determining how, or if, a particular disease occurs. The five year grant is from the National Institute of Environmental Health.

Creating new knowledge about genomics is but one aspect of the IGSP. We also give priority to efforts to disseminate that knowledge, so that clinicians can take advantage of it as they serve their patients. The U.S. Department of Health and Human Services has awarded Duke \$1.5 million to develop and implement a genetics program for educators who teach physician assistants, nurse practitioners, and nurse midwives. The three year faculty development grant will help these educators incorporate genetics knowledge into their programs with the ultimate goal of improved patient knowledge and care. Twenty five faculty teams will come to Duke to

receive the training. This collaborative effort involves the School of Medicine, the Physician's Assistants Division of the Department of Community and Family Medicine, the School of Nursing and the Center for Human Genetics.

On a related front, geneticist Dr. **Margaret Pericak Vance**, director of the Duke Center for Human Genetics, and her colleagues have found evidence that two defective genes may be linked to a form of autism. Doctors believe that autistic spectrum disorder begins during development of the brain, possibly even before birth, and that the change prevents affected people from properly processing sensory information from their environment. Pericak-Vance and her colleagues have reported that multiple genes are involved in the origins of the most common form of Parkinson's disease that occurs later in life. Previously published studies suggested that environmental factors are the primary cause of Parkinson's disease, with only a minor genetic contribution. Pericak Vance's research is supported by the National Institutes of Health, GlaxoSmithKline, the National Alliance of Autism Research, and the Duke Center for Human Genetics.

An interesting study regarding the effects of travel time on patient selection of surgical alternatives has been reported by a Duke team led by Professor **Carol Hahn** of the Department of Radiation Oncology. Researchers have determined that a distance of just five miles can influence whether a breast cancer patient chooses to have a more invasive mastectomy or a less invasive breast-conserving surgery followed by radiation therapy. The study at the Health System's Durham Regional Hospital shows that women often choose the more radical treatment of mastectomy if it means they do not have to travel to a separate facility for six weeks of daily radiation treatment. Both treatments have been shown to be equally effective in the vast majority of cases. So, even though the breast conserving surgery and radiation is less invasive, patients may look beyond the pure medical benefits and select a more invasive treatment based largely on psychological and logistical factors.

A team led by Duke surgeon and biochemist, Dr. **Jeffrey Lawson**, has found that a substance derived from cow blood used to control bleeding in more than 500,000 surgeries each year appears to stimulate an abnormal immune response that puts patients at greater risk of post-surgical complications. With support from Genentech, Boehringer Ingelheim, and the Duke Clinical Research Institute, the investigators discovered that the substance, known as bovine thrombin, also causes a syndrome in mice similar to that of lupus, an immune deficiency disease common in humans. Based on the results of studies in humans and recent experiments using mice, the Duke researchers recommend that bovine thrombin should be used only in life saving surgical procedures.

By applying the lessons of chaos and complexity research to shorelines, computer simulations by Duke geologists demonstrate that when waves approach shore from certain angles, they can cause isolated zones of coastal erosion and buildup and lead to the spontaneous development of coast line shapes. These shapes may eventually grow as large as the capes and broad bays found on the Carolina coastline, according to **A. Brad Murray**, assistant professor of coastal processes and geomorphology at Duke's Nicholas School of the Environment and Earth Sciences. Murray's research, funded by the Andrew W. Mellon Foundation, has led him to conclude that a new type of coastal management planning may be needed to incorporate the impact on adjacent towns as communities address their own coastal challenges.

## **Duke and the Community**

In the November 6 elections, former Durham County commissioner Bill Bell unseated two-term Durham Mayor Nick Tennyson in a tightly fought election. During his four years as mayor, Nick Tennyson worked closely with the university on a number of important issues including regional transportation and downtown development. Bill Bell, best known for his courageous leadership of the Durham Board of County Commissioners in merging the Durham city and county school systems in the early 90s, has also worked very closely with Duke over the years. During a hiatus from service on the commission, he served as a consultant to Duke in the development of the Neighborhood Partnership Initiative. Bell, also a staunch supporter of regionalism and downtown

development, focused much of his campaign on managed growth issues. Like Tennyson, Bell will be a strong leader of our city. In addition to the mayor's race, we saw a highly competitive race for the six seats on the Durham City Council following a referendum two years ago to downsize the council from 13 to six members. We look forward to working with Mayor-elect Bell and the members of the new city council in advancing the interests of our host community.

Also on the November 6 ballot was an important \$74.4 million, five-part bond referendum to support much needed modern facilities for Durham Public Schools, Emergency Medical Services, the North Carolina Museum of Life and Science, a new senior center, and Durham Public Libraries. Duke Senior Vice President **John F. Burness** co-chaired the grassroots community advocacy team for the bonds with President Phail Wynn of Durham Technical Community College. Some \$19 million of the \$51 million assigned in the bond package for the public schools will directly support expansion or renovation of four of the partner schools in the Duke-Durham Neighborhood Partnership Initiative. We toured Rogers-Herr Year-Round Middle School along with Durham's principal of the year Eunice Sanders and county and school officials the week before the election, and came away more convinced than ever of the importance of providing the teachers and students in the Durham Public Schools with the modern facilities the bonds will now make possible. Rogers-Herr will receive a \$12.6 million addition as a consequence of voters' support for the bonds.

Lakewood Elementary School (which will receive a \$6 million addition from the bonds) was the site for an announcement last month that the GE Fund is providing \$300,000 to complement a \$150,000 gift to the Pratt School from an anonymous donor to boost middle and elementary students' math skills. Associate Professor of the Practice of Electrical Engineering, **Gary Ybarra** is coordinating the project with colleague **Martha Absher**; it introduces mathematical concepts in a fun, hands-on manner so that more students, particularly from minority and disadvantaged backgrounds, can gain interest and necessary skills to pursue careers in fields like engineering and business. Twelve undergraduate engineering students will each spend about 10 hours a week tutoring youngsters at Lakewood and Rogers-Herr. In addition, computer labs will be created in each of the schools to store and analyze the data collected by students who also will go on educational field trips to the Primate Center and the national Phytotron. Much of the work at Lakewood will be done in an award-winning garden project that has been supported by a group of retired Duke faculty and staff under the aegis of DURO - Duke University Retiree Outreach.

In another exciting effort to help Durham school children enjoy the wonders of science, Duke has partnered with E. K. Powe Elementary School, the North Carolina Museum of Life and Science, and Home Depot in the construction of a \$270,000 science resource laboratory. The 1,600 square-foot addition nearly doubles the school's previous science space and will be used to teach students during the academic year and also serve as a professional training ground for elementary school teachers throughout the Durham Public Schools system during the summer. Thanks to the effort of Duke alumna Faye Wilson, the \$50,000 from Home Depot is, I am told, the largest such grant made to a community Durham's size. With support from an anonymous Duke donor, the Museum of Life and Science has been able to commit a staff member to help with teacher training and the planning of the science resource lab. In addition, thanks to a very generous gift a few years ago from Trustee John and Christy Mack, Duke was able to provide much of the balance of the capital funds needed to complete the project.

In another Neighborhood Partnership Initiative program, Duke and its neighbors recently celebrated the completion of the award winning Trinity Heights homes and employee housing project. The 40-unit housing development - 25 detached single family homes and 15 townhouses on a tract of land adjacent to Duke's East Campus - was constructed under the leadership of Executive Vice President **Tallman Trask III**. Plans were developed after discussions with Trinity Heights neighbors who had asked Duke to help stabilize the neighborhood by increasing the number of owner-occupied homes. This project for faculty and staff complements the affordable housing project in nearby Walltown. It is a wonderful example of how well-planned, high-quality in-fill projects in urban neighborhoods can be built consistent with the neighboring

architecture. The Trinity Heights Homesites project will also increase tax revenues for local government by as much as \$60,000, according to some estimates.

I am pleased to report that once again, Duke's United Way campaign has set an all-time record. With \$1.177 million already pledged this year and pledge cards still coming in, we not only exceeded our goal, but appear to be among a very few organizations in the Triangle that actually met their goal, given the weakness in the economy. This is the seventh consecutive year that the generosity of our faculty, staff, and employees has enabled Duke to meet or exceed our United Way goal. The chairs of this year's campaign, **Rafael Rodriguez**, associate chief information officer in Technology Solutions; **Deborah Roth**, of the Duke Clinical Research Institute; and **Richard White**, director of the Sarah P. Duke Gardens, deserve a great deal of credit. They led many volunteers who worked to make this year's United Way campaign successful at a time when demand for social services has increased significantly as a result of the downturn in the economy.

## The Campaign for Duke

Campaign for Duke Steering Committee co-chair Pete Nicholas and Senior Vice President **John Piva** will lead a discussion of The Campaign for Duke at our winter meeting. Even with the slowing economy, the campaign continues to do well, with the lone exception of the Annual Fund which clearly is suffering from the economic downturn and September 11. We have announced a number a significant gifts since our last meeting; let me highlight a few.

Duke's Divinity School received three gifts that will add a total of \$2.5 million to the school's endowment. The gifts, which will help sustain ministerial education, reduce student debt, and provide discretionary funds, come as the Divinity School celebrates its 75th anniversary. The Duke Endowment has given \$1 million; Duke Trustee Emeritus A. Morris Williams and his wife Ruth have contributed \$1 million; and the Mary G. Stange Charitable Trust has contributed \$510,000. These generous contributions respond to the continuing need for scholarship resources to reduce the financial burden of ministerial education, which has been a high priority for the Divinity School in The Campaign for Duke.

Ford Motor Company Fund is continuing its support of Duke, this time contributing \$3.26 million to support several university priorities identified in *Building on Excellence*, including undergraduate and graduate scholarships, community outreach programs, academic programming, minority recruiting, facilities, and services. Ford's gifts will help the Fuqua School of Business recruit minority students, help build Fuqua's new student center, support the Automotive Engineering Students Projects Program in the Pratt School of Engineering, and provide funds for the Trinity College Scholarships for under-represented minorities and women, the Provost's Opportunities Fund, and Duke's SafeRides Program.

Evelyn Hunter Longdon of Greensboro, North Carolina, surprised and delighted everyone after touring the Duke Eye Center; she announced she is giving \$1 million to the Center for its proposed eye research institute. Longdon's gift is in memory of her husband, Stanley, who died in 1996. Mr. Longdon lost his sight due to age related macular degeneration. He was seen at the Eye Center in the 1980s, but his disease had already progressed beyond the treatable stage. Dr. **David Epstein**, chair of ophthalmology and director of the Duke Eye Center, said Mrs. Longdon's generosity helps bring Duke one step closer to constructing a new \$17.5 million facility.

Herman and Ruth Albert of Purchase, New York, have given \$1.5 million to the Thoracic Oncology Program at Duke Comprehensive Cancer Center to support research into the genetic aspects of lung cancer. The Alberts serve on the Duke Eye Center's Advisory Board, and Hy Albert is a member of the Medical Center's Board of Visitors and the Cancer Center's board. In 1997, the couple established the Ruth Albert Endowment for Eye Research.

In an innovative arrangement, Duke alumnus C. Merrill Ambler Jr. managed to satisfy two goals in one gift. Ambler has given the university Amico Island, located at the confluence of Rancocas Creek and the Delaware River. He combined the value of the land with additional gifts to help make Duke's outdoor tennis facilities among the best in the country. Duke found a buyer for the island - Burlington County, New Jersey - which, as Ambler had hoped, intends to create a spectacular park on the Delaware River for the citizens of the Philadelphia area. Meanwhile, work is well underway on the outdoor stadium, which will include seating for 700, lights, new restrooms, and state of the art sound and electronic systems. The six existing courts will be resurfaced, lighted, and extended to comply with NCAA tournament specifications. It is expected to be ready for Duke's 2002 outdoor tennis season and will be named for Ambler.

In another important gift to athletics, Michael D. McCormick recently donated more than \$2 million to support Duke's basketball, football, and golf programs. McCormick, a 1970 Duke graduate, gave slightly more than \$1 million to the basketball Legacy Fund, \$940,000 toward the construction cost of the Yoh Football Center, and more than \$100,000 to establish the Michael McCormick Golf Scholarship Endowment, which will support the Duke men's and women's golf teams.

## Graduate School

As I reported in September, the Graduate School this fall took in its largest Ph.D. class in history, with 402 students matriculating. Although matriculations into programs in the humanities and social sciences have remained relatively stable, there has been a very large expansion of Ph.D. students in medicine, engineering, and some of the natural sciences. This expansion, which is ultimately supported by research and training grant dollars, reflects, we believe, the increased visibility that Duke has obtained through its investments in science and engineering in the past few years. At the same time, Dean **Lew Siegel** reports that these programs have attracted a greater number of non-U.S. students than ever before. Thus, the demographics of the doctoral student population studying at Duke, as well as the intellectual interests of those students (science/engineering vs. humanities/social sciences) have shifted dramatically in the past few years.

Under Dean Siegel's leadership Duke is playing a leading role in a national reassessment of Ph.D. education. Published studies show that many U.S. Ph.D. students feel that they do not receive adequate preparation for a variety of career options, appropriate assessment by faculty of their progress towards the degree, or adequate information to permit informed choices among various doctoral programs. Duke is a member of the Woodrow Wilson Responsive Ph.D. Project and is actively working with AAU schools and others to improve the information available to all prospective applicants to Ph.D. programs. Duke will be one of the first major private universities in the nation to place on its Website full statistics on each of its graduate programs, including completion rates, time to degree, and placement data for its graduates.

The Graduate School, working with the Graduate and Profession Student Council, has also developed a set of "Best Practices in Graduate Education" that sets standards and expectations for all faculty, students, and administrators in each of Duke's graduate programs. Dean Siegel will provide a detailed report at our meeting about these issues and the Graduate School's plans for implementing goals identified in *Building on Excellence*.

## Athletics

The Trustees will receive a detailed report on athletics from Director of Athletics **Joe Alleva** and Professor **Kathleen Smith**, chair of the Athletics Board. The winter sports season is just beginning following a strong fall season. Among highlights of the latter were the success of Duke's volleyball team, which clinched its first regular season Atlantic Coast Conference championship since 1994 by finishing the regular season with a 21 3 record, including a 13-3 conference record. Coach **Jolene Nagel** was named ACC coach of the year.

Two other Duke teams received NCAA bids. The Duke men's cross country team placed 14th in the 2001 NCAA Cross Country Championships with Sean Kelly achieving All American status. The women's soccer team received an at-large bid to the 2001 NCAA Women's Soccer Tournament in coach **Robbie Church's** first season. After knocking off highly regarded Tennessee, the women fell to the top-seeded women from Chapel Hill in a tightly fought contest.

Both Duke's men and women basketball teams have received high rankings in preseason polls with Coach **Mike Krzyzewski's** men as the consensus number one choice and Coach **Gail Goestenkors'** women ranking as high as number four.

## In Other Matters

As part of Duke's ongoing commitment to safe working conditions and fair labor practices for apparel workers, the university has joined the Worker Rights Consortium, a non profit organization that keeps affiliated colleges and universities informed about conditions in the factories that produce goods bearing their names and logos. Duke's participation will complement its existing membership in the Fair Labor Association, another national organization that assists Duke and other campuses in monitoring the labor practices of manufacturers. We have also delayed the renewal of our contract with New Era Cap Company, which produces sports caps for Duke, until New Era provides independent verification of its labor practices. We had received information from Duke students, among others, citing complaints from New Era factory workers who have alleged that unsafe conditions and discrimination have been continuing concerns at New Era. I continue to be impressed by the leadership that Duke Students Against Sweatshops and **James Wilkerson**, director of licensing at the university, are providing on this issue.

Duke Press books and authors have been in the news this fall. One book getting a lot of attention is *The Hauerwas Reader*, which contains many of the important writings of Duke Divinity Professor **Stanley Hauerwas**. You may recall that Hauerwas, the Gilbert T. Rowe Professor of Theological Ethics, was named "America's Best Theologian" earlier this year by *Time* magazine. In addition, Duke Press is part of the Association of American University Presses' "Books for Understanding," a new online resource that categorizes the hundreds of university press books in print that relate to the events of September 11 and its aftermath. Now available at <http://www.aaupnet.org/booksforunderstanding.html>, the list contains more than 465 books from 55 university presses, and has been designed for use by general readers, as well as by teachers, librarians, scholars, booksellers, and journalists.

Last week Director **Elizabeth Kiss** and the Kenan Ethics Institute presented the William Friday Award for Moral Leadership to one of the great leaders of Durham and North Carolina, Mrs. Elna Spaulding. The William C. Friday Award for Moral Leadership was established in 1999 by the Kenan Institute for Ethics to recognize individuals who have made a difference through principled, visionary and effective leadership. In 1968, Mrs. Spaulding convened a group of more than 100 women - both black and white - to work for peaceful integration and on issues ranging from poverty and property destruction to school desegregation. The group, which became known as "Women-in-Action for the Prevention of Violence and Its Causes" has been a strong force for positive change in Durham for more than three decades. In 1992, Duke recognized Mrs. Spaulding's leadership by awarding her an honorary degree.

Mrs. Spaulding, who is 92, has been ill and was unable to be with us for a panel discussion in her honor, "How Does Moral Leadership Make a Difference," involving Professor **James Joseph** of the Sanford Institute, a former U.S. Ambassador to South Africa, Rushworth Kidder, president of the Institute for Global Ethics, and Amanda Smith long-time Durham activist and author who worked closely with Mrs. Spaulding. Following the panel were testimonials from Trustee Emeritus Mary Semans, another long time colleague of Mrs. Spaulding, and the Reverend Joseph Harvard of Durham's First Presbyterian Church. A lovely dinner followed the awards

ceremony in the new Doris Duke Center at Duke Gardens. All three of Mrs. Spaulding's sons and her daughter were with us for the occasion; each spoke eloquently, as did several others who proposed toasts about the contributions of this remarkable woman. A videotape of the panel and the awards ceremony was made for Mrs. Spaulding. The first recipient of this biennial award was Bill Friday himself; we were delighted that he was able to present this well deserved tribute to Mrs. Spaulding.

And, finally, Duke's Ciompi Quartet will be touring China in December. The trip includes stops in Shanghai, Guangzhou, Wuxi, Hangzhou, Nanjing, and Foshan, and culminates with a performance at the Beijing Concert Hall. The tour was organized primarily by Ciompi violinist **Hsiao-mei Ku**, who earned a reputation as one of China's leading violinists before moving to the United States. In addition to performing several concerts, the ensemble will participate in residencies at the Shanghai Conservatory and the Guangzhou Xinhai Conservatory. This is the quartet's second tour of China. They've also performed in England, Germany, France, Italy, the Czech Republic, Austria, Israel, and Bolivia, as well as throughout the United States. Upon returning from China, the Ciompi Quartet will prepare for its spring concert season. For those in the Washington, D.C., area, in February the Ciompi Quartet will perform at the National Gallery of Art.

I look forward to discussing this report with you and to seeing you in December. As always, if you have any questions about these or other matters please let me know.