Responsive biomaterials play important roles in imaging, diagnostics, and therapeutics. Polymeric nanoparticles (NPs) containing hydrophobic and hydrophilic segments are one class of biomaterial utilized for these purposes. The incorporation of luminescent molecules into NPs adds optical imaging and sensing capability to these vectors. Here we report on the synthesis of dual-emissive, pegylated NPs with “stealth”-like properties, delivered intravenously (IV), for the study of tumor accumulation. The NPs were created by means of stereocomplexation using a methoxy-terminated polyethylene glycol and poly(ε-caprolactone) (mPEG-PCL) block copolymer combined with iodide-substituted difluoroboron dibenzoylmethane-poly(ε-caprolactone) (BF₂dbm(I)PLLA).

Boron nanoparticles (BNPs) were fabricated in two different solvent compositions to study the effects on BNP size distribution. The physical and photoluminescent properties of the BNPs were studied in vitro over time to determine stability. Finally, preliminary in vivo results show that stereocomplexed BNPs injected IV are taken up by tumors, an important prerequisite to their use as hypoxia imaging agents in preclinical studies.

**KEYWORDS:** Boron dye · fluorescence · phosphorescence · nanoparticles · poly(lactic acid) (PLA) stereocomplexes · poly(ethylene glycol) (PEG) · enhanced permeation and retention (EPR) effect

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features for oxygen sensing in vivo, making them useful as ratiometric tumor hypoxia imaging agents for pre-clinical studies.

Though valuable for direct tissue injection, NPs such as those previously reported by our group are not suitable for intravenous delivery due to their lack of a coronal segment such as PEG, which is necessary to impart stealth properties and long circulation as well as facilitate tumor uptake by the EPR effect. Thus, systems containing a boron dye, PLA, and PEG were targeted. Given that the boron dye is not stable to many basic and nucleophilic reagents that are typical for PEG-PLA coupling reactions, stereocomplexation of PLA segments was explored as an alternative, due to synthetic challenges involved in creating an mPEG-PLA-dye covalent system. Stereocomplexation is a simple, convenient, and modular approach to creating pegylated PLA NPs and allows us to test whether tumor uptake is possible with this third generation BNP system. It has been previously observed that stereocomplexation of PDLLA and PLLA in mPEG-PLA NPs leads to smaller NP sizes when compared to PDLLA, PDLA, and PLLA NPs. These smaller sizes can be beneficial for uptake and stealth-like properties; however, stereocomplexed NPs could degrade faster than NPs created from a covalent system both in vitro and in vivo. Here we test these hypotheses by fabricating BNPs from two solvent systems, investigating their properties and stability in vitro and their accumulation and imaging capability in vivo in a mouse dorsal window chamber model.

RESULTS AND DISCUSSION

Iodide-substituted difluoroboron dibenzoylmethane-poly(l-lactide) (BF$_2$dbm(I)PLLA, 1) and methoxy-terminated poly(ethylene glycol)-b-poly(o-lactide) (mPEG-PDLA, 2) (Figure 1) were synthesized by ring-opening polymerization using the catalyst Sn(oct)$_2$ as previously described. Nanoparticle fabrication was performed using the nanoprecipitation method where both 1 and 2 were dissolved in a watermiscible solvent or solvent mixture (e.g., DMF, THF), followed by dropwise addition of the polymer solution to water, leading to NP formation, as shown in Figure 1. For these studies, DMF and DMF/THF (10:1 v/v) were chosen as the solvent phases. DMF is commonly chosen as the solvent phase due to its propensity to create NPs with small (<100 nm) diameters; however, the DMF/THF binary solvent mixture was also tested due to the poor solubility of PEG in DMF. The organic phase was subsequently removed from the aqueous medium by means of dialysis. Following fabrication, the size, optical properties, and dye/polymer stability of the stereocomplexed BNPs were studied.

Nanoparticle sizes were determined using dynamic light scattering (DLS). DLS measurements showed that when the DMF/THF solvent phase was used, the resulting BNPs possessed a hydrodynamic diameter ($D_h$) of 120 ± 20 nm, with a polydispersity (Pd) of 0.28 ± 0.05.

However, when DMF was used as the solvent phase, both $D_h$ and Pd of the BNPs were reduced to 83 ± 7 nm and 0.17 ± 0.03, respectively. These findings correlate well with previous studies, as the use of DMF as the solvent phase has been shown to result in NPs with diameters ranging from 40 to 80 nm, whereas the use of THF gives rise to larger NPs (~240 nm).

After fabrication, the BNPs were characterized by UV–vis and $^1$H NMR spectroscopy and gel permeation chromatography (GPC). This was achieved by first freeze-drying a sample of the aqueous BNP suspension immediately after fabrication and redissolving the sample in an organic solvent suitable for the method of characterization. The extinction coefficient $\epsilon$ for post-fabricated freeze-dried NPs (3.9 × 10$^4$ M$^{-1}$ cm$^{-1}$) was found to be similar to that of the starting material (4.2 × 10$^4$ M$^{-1}$ cm$^{-1}$) in CH$_2$Cl$_2$. Additionally, $\lambda_{max}$ was found to be 405 nm before and after fabrication for both in CH$_2$Cl$_2$. These results indicate that virtually no damage is imparted to the boron dye component of 1 during processing. $^1$H NMR spectra of the freeze-dried BNPs showed the complete removal of the organic solvent phase. Because GPC RI traces for BF$_2$dbm(I)PLLA and

Figure 1. Chemical structures of BF$_2$dbm(I)PLLA (1) and mPEG-b-PDLA (2) used to prepare pegylated BNPs, shown schematically. The NP corona is composed of mPEG chains (red lines), while the core contains PLLA (dashed lines) and PDLA (black lines). BF$_2$dbm(I) dye molecules are shown as blue spheres within the stereocomplex core.
PDLA-PEG in the stereoblock sample overlap, direct comparison of the stereoblock mixture with its individual polymer constituents is not possible. However, GPC with UV detection, which only records BF2dbm(I)PLLA elution, indicates an $M_n = 4.9$ kDa versus $M_n = 5.7$ kDa for the BF2dbm(I)PLLA starting material. It is important to note, however, that the presence of PEG-PDLA in the sample mixture could influence the GPC elution volume for BF2dbm(I)PLLA.

The optical properties of the aqueous BNP suspensions were studied using UV--vis and fluorescence spectroscopy. As shown in Figure 2, the UV--vis spectrum of the freshly prepared, freeze-dried BNPs in CH$_2$Cl$_2$ exhibit an absorption maximum at 405 nm with a smaller peak at 392 nm, identical to the spectrum for the starting material 1. In contrast, the spectrum of the aqueous BNP suspension shows a shift in these two peaks, with an absorption maximum at 391 nm and a minor shoulder peak at 406 nm. When the fluorescence spectra were measured in CH$_2$Cl$_2$, solutions of freeze-dried BNPs displayed a maximum emission at 405 nm with a delayed fluorescence seen at $\lambda_{em} \approx 454$ nm. This red shift in fluorescence has been observed previously and may indicate dye--dye interactions within individual BNPs.

When BNPs were fabricated using the DMF/THF solvent phase, both fluorescence and phosphorescence lifetimes for the aqueous BNP suspensions could be fit to a triple exponential decay, where the percent-weighted lifetimes were found to be 0.46 ns and 4.6 ms for fluorescence and phosphorescence, respectively. Similarly, when fabricated from DMF, fluorescence and phosphorescence lifetimes were found to be 0.50 ns and 4.3 ms, respectively. These findings are consistent with lifetimes seen for aqueous BNP suspensions prepared from 1 and indicate that processing conditions did not significantly affect luminescence lifetimes.

As was previously reported for NP suspensions of BF2dbmPLA and the iodide-substituted analogue, long-lived room-temperature phosphorescence (RTP) and high sensitivity to oxygen quenching are also observed for the stereocomplexed BNPs in this study. Under atmospheric conditions aqueous BNP suspensions exhibit a blue emission when excited at 365 nm (Figure 3). However, when purged with nitrogen for 20 min, the BNP suspensions exhibited a strong, green-yellow RTP at $\lambda_{em} = 530$ nm, with a delayed fluorescence seen at $\lambda_{em} \approx 450$ nm (Figures 3 and 4). In order to determine the sensitivity of the BNPs to oxygen quenching, the aqueous suspensions were purged with various O$_2$/N$_2$ gas mixtures with O$_2$ concentrations ranging from 0% (pure N$_2$) to 21% (ambient conditions), and the fluorescence/phosphorescence intensity ratios ($F/P$) were recorded. The emission spectra, normalized against fluorescence maxima, $\lambda_F$, show how RTP decreases as a function of increasing O$_2$ concentration (Figure 5). As shown in Figure 6 a plot of $F/P$ vs O$_2$ concentration exhibits a linear trend between 0% and ~3% O$_2$, similar to that for BF2dbm(I)PLA NPs. This extended linear trend makes this system especially well-suited for hypoxic tissue imaging in biological systems, where O$_2$ concentrations typically range from 0.1% to 1%.

In order to determine the shelf life of the stereocomplexed BNPs, their stability was monitored over a period of several months using DLS, GPC, $^1$H NMR, UV--vis, and fluorescence spectroscopy. After approximately 4
months the sizes and polydispersities of BNPs fabricated using the DMF/THF mixture, as measured by DLS, remained virtually unchanged ($D_h = 136 \pm 25 \text{ nm}$; $Pd = 0.26 \pm 0.05$). Though the sizes of the NPs seem to remain consistent over time, some aggregation and sedimentation are visible in the aqueous suspensions after this period of time. GPC analysis shows that the BF$_2$dbm(I)PLLA constituent of the NPs exhibits good stability over several weeks, as no changes in molecular weight or peaks corresponding to smaller molecular weights are observed. Aqueous BNP suspensions that were freeze-dried after a period of approximately 2 months were noticeably more difficult to dissolve in THF than freeze-dried samples that were less than 1 month old. Attempts to dissolve these older samples were not improved by means of sonication or heating. Additionally, a small shoulder at higher molecular weights can be seen in the UV signal of GPC traces of the older NP samples (see Supporting Information). This shoulder is most likely the result of BNP aggregation or possibly due to polymer cross-linking via peroxidation induced by PEG or photochemical reactions with the BF$_2$dbm(I) dye. It may be the case that freeze-drying of these NPs leads to crystallization of the PEG segments, which makes them difficult to redissolve in certain solvents such as water or THF, as was the case here. This is not an unlikely scenario, as aqueous solutions of proteins and suspensions of pegylated NPs are typically mixed with a lyoprotectant prior to freeze-drying to prevent aggregation and allow for redistribution in water.

Over a period of several weeks, $^1$H NMR spectra of freeze-dried NPs in CDCl$_3$ exhibit signs of dye degradation. Aryl and enol peaks that correlate with the dbm(I)PLLA macroligand were observed, suggesting hydrolysis of BF$_2$ from the diketonate binding site. The boronated dye remaining over time (10 days: $\sim 75\%$; 42 days: $\sim 59\%$; 81 days: $\sim 34\%$) appears to follow a faster rate of degradation in pegylated stereocomplexes than that for BF$_2$dbmPLA NPs previously fabricated, which showed hydrolysis products present after approximately 11 weeks. Consistent with the NMR findings, dye degradation is also observed as a decrease in both the $P/F$ ratio of $N_2$-purged aqueous BNP samples and the calculated UV–vis extinction coefficient $\varepsilon$ (M$^{-1}$ cm$^{-1}$) at 405 nm over time (17 days: $3.0 \times 10^4$; 39 days: $2.4 \times 10^4$; 81 days: $7.5 \times 10^3$), and the results found by all three methods follow similar trends in rate of degradation (Figure 7). Although some data point scattering is observed in the UV–vis data set, this is most likely a result of the small sample masses ($\sim 0.5$–$2$ mg) used to create the CH$_2$Cl$_2$ solutions.
Fluorescence and phosphorescence spectra of the aqueous BNP suspensions show that the relative phosphorescence intensity of N₂-purged samples gradually decreases over a period of several weeks, as shown in the P/F intensity ratio-versus-time data set in Figure 7 (see also Supporting Information). In addition to the decrease in phosphorescence, a blue shift is observed in the delayed fluorescence $\lambda_{\text{DF}}$ (day 0: $\lambda_{\text{DF}} = 456$ nm; 11 weeks: $\lambda_{\text{DF}} = 448$ nm). This blue shift may be attributed to the degradation of BF$_2$dbm(I) fluorophores. With fewer fluorophores per nanoparticle, the interactions among them may be reduced and, therefore, a larger gap of singlet–triplet energy in the excited state may be expected. This larger gap, based on previous assumptions, can decrease the intersystem crossing rate from singlet to triplet states, resulting in reduced relative RTP intensity. However, another possibility to consider is that the degraded BF$_2$dbm(I) product, dbm(I), is a diketone with the potential to quench RTP from remaining BF$_2$dbm(I) fluorophores given its more significant $n-\pi^*$ transition nature. Even though the dye degrades over a period of several months, the bright green-yellow RTP is still visible under a nitrogen atmosphere after this time and the partially degraded material may still serve as a viable ratiometric sensor after calibration.

In vivo experiments using mouse models were performed to examine tumor accumulation of the pegylated BNPs. Figure 8a shows the fluorescence image using 420–495 nm band-pass emission prior to injection, and Figure 8b shows the fluorescence image at 10 min postinjection, which was found to be the approximate time of peak uptake. Punctate regions of bright fluorescence appear to be clustered proximal to the blood vessels, which can be seen in the overlay with the transmission image (Figure 8c). The time course is plotted for the vascular and surrounding tissues which shows rapid uptake, peaking around 10 min, with a slow falloff from there (Figure 8d). With this method we cannot distinguish cellular uptake from accumulation in the extracellular extravascular space, so the intensity is a reflection of the total accumulation. The phosphorescence channel (496–623 nm; not shown) did not show a significant increase over baseline, possibly due to high tissue autofluorescence or the long lifetime of the BF$_2$dbm(I) dye relative to the scanning speed of the present detection system. Note that the confocal microscopy system used here to investigate BNP vascular localization is different than the wide-field fluorescence microscope previously used to detect phosphorescence for the purposes of ratiometric sensing using nonpegylated BNPs injected directly into the tissue. Future studies will in-
volve alternative imaging systems optimized for hypoxia imaging with dual emissive pegylated BNPs.

**CONCLUSION**

In summary, dual-emissive pegylated boron nanoparticles were fabricated by means of stereocomplexation between BF2dbm(I)PLLA and mPEG-PDLA. Findings show that BNP sizes can be controlled using individual (DMF) or multicomponent (DMF/THF) solvent phases and that the use of DMF alone leads to smaller nanoparticles, consistent with prior reports.\(^{41,43}\) Additionally, data suggest that the dye component of the NP is not damaged during the fabrication process. The size properties of the BNPs were found to remain relatively stable over a period of several months; however, aggregation is visibly present in the suspensions and evidenced by DLS and GPC after several weeks. The optical properties of the aqueous pegylated BNP suspensions were found to be well matched to those of nonpegylated BNP suspensions fabricated from starting material 1, displaying both strong fluorescence and long-lived RTP. Similarly, the pegylated BNPs were found to be sensitive to oxygen levels, exhibiting a linear trend in the F/P intensity ratio up to an O\(_2\) concentration of \(-3\%\); making these NPs suitable for use as hypoxia imaging agents. Degradation of the dye component is readily observed by spectroscopic methods, pointing to the possible hydrolysis of the BF\(_2\) species; however, phosphorescence is still observed when aqueous suspensions of BNPs are subjected to nitrogen purging. In addition to the physical and optical property studies, \textit{in vivo} studies showed BNP uptake by tumor tissue, demonstrating their stealth-like nature. Future studies will involve optimizing and monitoring BNP uptake by tumors \textit{via} the EPR effect after intravenous injection, with the aim of using these pegylated BNPs \textit{in vivo} to record oxygen levels within tumor regions by means of ratiometric sensing based on the dual-emissive nature of the boron dyes.\(^{43}\) The effects of polymer block lengths on dye and particle stability and the relationship between nanoparticle size and tumor uptake and hypoxia imaging are also subjects of further study.

**METHODS**

**Materials and Instrumentation.** (3S)-cis-3,6-Dimethyl-1,4-dioxane-2,5-dione (\(\alpha\)-lactide, Aldrich) was recrystallized twice from ethyl acetate and stored under nitrogen. \(\beta\)-Lactide (99.5\%) was a generous gift provided by Purac. Polyethylene glycol 2000 monomethyl ether (mPEG, Fluuka) was azetropically distilled from toluene, freeze-dried from benzene, and stored under nitrogen. 1 and 2 were synthesized as previously described. Syringe filters (13 mm, disposable filter device, 0.2 \(\mu\)m nylon filter membrane) were obtained from Whatman. All other reagents and solvents were used as received without further purification. Polymer molecular weights were determined by gel permeation chromatography (tetrahydrofuran, 20 °C, 1.0 mL min \(^{-1}\)) versus polystyrene standards on a Hewlett-Packard series 1100 HPLC equipped with Polymer Laboratories 5-\(\mu\)m mixed-C columns and connected to UV \(-\)vis and refractive index (Viscotek LR 40) detectors. Data were processed with the OmniSEC software package (version 4.2, Viscotek Corp). A 0.58 correction factor was applied to determine molecular weights of 1 as previously described.\(^{41,43}\) UV \(-\)vis spectra were recorded on a Hewlett-Packard 8453 diode array spectrophotometer. \(^1\)H NMR (300 MHz) spectra were recorded on a Unitynova 300/51 instrument and referenced to the signal for residual proto chloroform at 7.26 ppm. Photographs of luminescent solutions were taken in the dark using a Canon PowerShot SD600 Digital Elph camera with the automatic setting (no flash).

**Fluorescence and Phosphorescence Measurements.** Steady-state fluorescence and phosphorescence emission spectra were recorded on a Horiba Fluorolog-3 Model FL3-22 spectrophotofluorimeter (double-grating excitation and double-grating emission monochromators). Prior to recording phosphorescence emission spectra, the aqueous nanoparticle solution (~3 mL) was purged with \(\text{N}_2\) for 20 min in a quartz fluorimeter cell equipped with a septum screw top. RTP spectra were recorded under the same conditions as phosphorescence spectra except that a pulsed xenon lamp (\(\lambda_{\text{exc}} = 369\) nm; duration \(<1\) ms) was used and spectra were collected with a 1 ms delay after excitation. Time-correlated single-photon counting fluorescence lifetime measurements were carried out with a NanoLED-370 (369 nm) excitation source and a DataStation Hub as the single-photon counting controller. Phosphorescence lifetimes were measured with a 500 ns multichannel scalar card excited with a pulsed xenon lamp (\(\lambda_{\text{exc}} = 369\) nm; duration \(<1\) ms). Lifetime data were analyzed with DataStation v2.4 software from Horiba Jobin Yvon.

**NP Size Analysis.** A representative preparation is as follows: an equimolar mixture of 1 (20 mg, \(M_\text{w} = 5700\) Da) and 2 (24 mg, \(M_\text{w} = 6600\) Da) was dissolved in either 10:1 (v/v) DMF/THF or DMF only (4.4 mL). In the case of DMF, the solution was briefly heated and sonicated to ensure complete dissolution. The resulting solution was then cooled to room temperature and added dropwise via syringe to \(\text{H}_2\text{O}\) (44 mL) at a rate of 0.5 mL min \(^{-1}\). During addition of the solution, the \(\text{H}_2\text{O}\) phase was stirred at a fast rate, and the resulting homogeneous suspension was stirred an additional 30 min. The suspension was then dialyzed using dialysis tubing (SpectraPor, 12 – 14 kDa MWCO, Fisher Scientific) against distilled \(\text{H}_2\text{O}\) under slow stirring conditions according to the literature for complete removal of DMF or DMF/THF. Following dialysis the aqueous nanoparticle suspension was passed through filter paper (Whatman, Qualitative grade 2) and stored in vials. The suspension was characterized as is or freeze-dried for further characterization.

**Oxygen Sensitivity Calibration.** For oxygen sensitivity and intensity-based Stern \(-\)Volmer plot measurements, gas mixtures of \(\text{O}_2\) and \(\text{N}_2\) of various concentrations were prepared by using two Cole-Palmer 65-mm flow meters. For each concentration, the premixed gas was first evenly dispensed into a distilled-water chamber before being passed through a 1-cm-path quartz fluorimeter cell equipped with a septum screw top containing 1 mL of the aqueous nanoparticle suspension.

**Window Chambers for Redent Studies.** A dorsal skin fold window chamber was implanted into a nude mouse, and a 4T1 murine mammary tumor was inoculated using established procedures.\(^{52}\) The tumor was allowed to grow for 10 d prior to imaging.

**Confocal Microscopy Imaging Setup.** Fluorescence and transmission images were acquired using a Leica SP5 confocal microscope. This system is equipped with an acousto-optical tunable filter for both the excitation and emission, enabling multispectral imaging with tunable wavelength ranges, as well as multiple
PMT detectors for simultaneous acquisition of multiple channels. A 10× dry objective was used along with a 405 nm laser diode as the excitation source. Three separate collection channels were used: (1) band-pass emission from 420 to 495 nm (BNP fluorescence), (2) band-pass emission from 496 to 623 nm, (BNP phosphorescence) and (3) a transmission image. The scanning speed was set to 10 Hz.

Confocal Imaging Experiments. Aqueous BNP (100 µL) were injected via the tail vein. Images were acquired prior to injection and semicontinuously monitored for ~80 min postinjection.

Confocal Imaging Data Analysis. Vessels were segmented using a regional intensity threshold by selecting pixels with a lower than mean value based on a 15 pixel radius moving window. Morphological opening using linear structural elements at angle increments of 15° was then done to isolate linear segments. The accumulation could then be tracked as a function of time for the vascular and surrounding tissue BNP accumulation.

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Supporting Information Available: Oxygen calibration plot over full range of O2 concentrations, GPC UV signal traces, and plots of RTP decrease/fluorescence shift over time. This material is available free of charge via the Internet at http://pubs.acs.org.

REFERENCES AND NOTES
