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**Increasing arousal enhances inhibitory control in calm but not excitable dogs**

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**Abstract**

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49 The emotional-reactivity hypothesis proposes that problem-solving abilities can be constrained  
50 by temperament, within and across species. One way to test this hypothesis is with the  
51 predictions of the Yerkes-Dodson law. The law posits that arousal level, a component of  
52 temperament, affects problem solving in an inverted U-shaped relationship: optimal performance  
53 is reached at intermediate levels of arousal and impeded by high and low levels. Thus, a  
54 powerful test of the emotional-reactivity hypothesis is to compare cognitive performance in dog  
55 populations that have been bred and trained based in part on their arousal levels. We therefore  
56 compared a group of pet dogs to a group of assistance dogs bred and trained for low arousal (N =  
57 106) on a task of inhibitory control involving a detour response. Consistent with the Yerkes-  
58 Dodson law, assistance dogs, which began the test with lower levels of baseline arousal, showed  
59 improvements when arousal was artificially increased. In contrast, pet dogs, which began the  
60 test with higher levels of baseline arousal, were negatively affected when their arousal was  
61 increased. Furthermore, the dogs' baseline levels of arousal, as measured in their rate of tail  
62 wagging, differed by population in the expected directions. Low-arousal assistance dogs showed  
63 the most inhibition in a detour task when humans eagerly encouraged them while more highly  
64 aroused pet dogs performed worst on the same task with strong encouragement. Our findings  
65 support the hypothesis that selection on temperament can have important implications for  
66 cognitive performance.

67

68 **Keywords:** Inhibitory Control, Arousal, Canine, Cognition, Assistance dogs

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71 Successful problem solving involves well-calibrated emotional and motivational input  
72 (Blair and Diamond 2008; Diamond 2010; Hare and Tomasello 2005a; Tooby and Cosmides  
73 2005). This idea is central to the emotional-reactivity hypothesis, which posits that selection on  
74 temperament influences problem-solving capabilities in diverse species (Hare and Tomasello  
75 2005a; Hare and Tomasello 2005b). For example, foxes that were selected over generations  
76 based on their approach behavior and emotional response to humans are more skilled at using  
77 human gestures than a control line bred without regard to their reaction to humans (Hare et al.  
78 2005). The emotional reactivity hypothesis has been proposed to explain shifts in problem  
79 solving in a range of taxa including dogs (Hare and Tomasello 2005a), ferrets (Hernádi et al.  
80 2012), bonobos (Hare et al. 2012), and even humans (Cieri et al. 2014).

81 One largely untested prediction of the emotional reactivity hypothesis is that the effect of  
82 temperamental differences on problem solving will be apparent even within species (e.g., Kagan  
83 and Snidman 2004; Melis et al. 2006). Dogs provide a particularly powerful test of this  
84 prediction given the history of selection that is thought to have focused on temperamental traits  
85 such as arousal or excitability (Miklósi 2007). This selection has created a diversity of  
86 temperamental profiles that might be explored by comparing subpopulations of dogs on  
87 cognitive tasks. Inhibitory control is one problem-solving skill that seems to be affected across  
88 taxa by levels of emotional arousal—a component of temperament (Hare et al. 2007; Rosati and  
89 Hare 2013; Wright et al. 2011, 2012; Topál et al. 2009)—and is also known to vary widely  
90 between individuals and species (MacLean et al. 2014; Moffitt et al. 2011; Bray et al. 2014).

91 Previous research has shown that the relationship between arousal and problem solving is  
92 not always linear. It is theorized that while a higher level of arousal in simple tasks promotes  
93 learning, if the task is more cognitively complex increased arousal facilitates performance only

94 to a certain point, beyond which it is detrimental (see Figure 1). Thus, the Yerkes-Dodson (1908)  
95 law in its modern interpretation predicts an inverted U-shaped relationship between arousal level  
96 and achievement on complex tasks, with performance peaking at moderate arousal levels and  
97 suffering at both high and low levels (Duffy 1957; Hebb 1955; Schlosberg 1954). While the  
98 predictions of the Yerkes-Dodson law have not always held up (see Watters et al. 1997), there  
99 have been a number of instances across species—including humans—in which the U-shaped  
100 function between arousal and problem solving has been observed (rats: Broadhurst 1957; chicks:  
101 Cole 1911; cats: Dodson 1915; humans: e.g., Anderson 1994; van der Meere et al. 1995). Based  
102 on the Yerkes-Dodson law and the complex cognitions involved in exerting inhibitory control,  
103 one prediction is that dogs' arousal will affect inhibitory control in an inverted U-shaped curve  
104 depending on the temperamental selection that different populations of dogs have undergone.

105         Pet and assistance dogs, two populations of dogs that vary systematically in level of  
106 formal training and artificial selection, provide one way to test this prediction. Pet dogs are  
107 attuned to human gestures, but generally receive no professional training (beyond basic  
108 obedience) or systematic genetic selection (other than that which occurs spontaneously in pet  
109 dog populations). Assistance dogs, on the other hand, may be hyper-attuned to human gestures as  
110 a result of both intensive training and intentional, highly-controlled selection (e.g., Topál et al.  
111 2006). Furthermore, as part of their training, many working dogs are required to perform acts  
112 that require inhibitory control, such as following commands while a cat walks around the  
113 training area or while ignoring scattered dog food. Failure on tests of inhibitory control have  
114 been linked to high aggression, decreased tolerance of close contact, and negative responses to  
115 novelty in dogs (Wright et al. 2011). All of these traits are highly discouraged in assistance dogs  
116 and could lead to release from training and/or breeding programs.

117 We predicted that there would be measurable differences between pet and assistance dog  
118 populations in how arousal affected their ability to exercise inhibitory control and that each dog  
119 type would be impaired by either under- or over-arousal. Specifically, assistance dogs tend to  
120 have placid temperaments as a result of selective breeding for these characteristics and extensive  
121 training related to self-regulation in the face of arousal or distraction. In the absence of such  
122 purposeful selection and training, pet dogs as a whole tend to be more temperamentally reactive  
123 than assistance dogs. Thus, we expected pet dogs to be more prone to errors due to over-arousal,  
124 whereas assistance dogs might be more prone to errors due to under-arousal.

125 To explore variation in inhibitory control among dogs in different arousal contexts, we  
126 tested 30 pet dogs and 76 candidate assistance dogs. Dogs were required to detour a fence to  
127 retrieve a reward, temporarily creating distance between themselves and the food. Each dog  
128 participated in both high and low arousal trials. In the high arousal trials the experimenter called  
129 the dog in an urgent, high-pitched tone of voice whereas in the low arousal trials she used a low,  
130 monotone voice.

### 131 **Recruitment & Owner Consent**

132 Pet dogs were recruited through and tested at the Duke Canine Cognition Center  
133 (DCCC). Owners from the Raleigh-Durham, North Carolina area completed a Dog Registration  
134 Questionnaire (<http://bit.ly/AmWURq>) on the DCCC website in order to be added to a database,  
135 which was then screened to remove dogs with histories of aggression and/or restrictive health  
136 issues. While some dogs had visited the DCCC up to three previous times, they were all naïve to  
137 the testing apparatus and procedures. Owner and dog participation was voluntary, and all owners  
138 signed informed-consent forms prior to beginning the experiment.

139 Assistance dogs were in training at Canine Companions for Independence (Santa Rosa,  
140 CA), a national non-profit organization that provides assistance dogs to people with disabilities.  
141 Forty-six dogs participated in a four-day cognitive test battery which included this experiment.  
142 Thirty additional dogs were recruited solely for this experiment. All dogs were either in their 1<sup>st</sup>,  
143 2<sup>nd</sup>, or 3<sup>rd</sup> (four month-long) semester of training, and naïve to the testing apparatus and  
144 procedures.

145 All testing procedures adhered to regulations set forth by the Duke Institutional Animal  
146 Care and Use Committee (IACUC # 303-11-12).

### 147 **Subjects**

148 Forty pet dogs came to the Duke Canine Cognition Center to be tested, but 10 of these  
149 dogs were unable to complete testing. Based on preset abort criteria, a dog was excluded if she  
150 had to repeat any one trial more than four times, had to repeat a total of eight trials over the  
151 entire session, or did not eat food within 30 s when the food was placed directly in front of her. If  
152 any of these *a priori* conditions were met, the session was aborted, and partial data from these  
153 sessions were excluded from analysis. The 10 dogs that did not finish the testing session were  
154 unable to do so for a variety of reasons (see Online Resource 1). Thus, 30 pet dogs, 16 male and  
155 14 female (mean age = 62.15 months; range 7.8 - 137.1 months) were included in this study. In  
156 addition, 77 assistance dogs were tested at their training center, but one of these dogs was unable  
157 to complete testing (see Online Resource 1). In total, 76 assistance dogs, 29 male and 47 female  
158 (mean age = 25.35 months; range 19.6 – 31.3 months), participated in this study. See Table 1 and  
159 2 for a list of subjects' breeds, sexes, and ages.

### 160 **Methods**

161 We tested dogs with different training backgrounds to see how they differed on a task  
162 that varied arousal level. In this experiment, dogs were presented with a detour task which  
163 required inhibitory control because, while subjects could see the food close by, to gain the  
164 reward they first had to walk around the transparent barrier, temporarily increasing the distance  
165 between themselves and the reward. This type of task has been shown to present an inhibitory  
166 challenge for many dogs (e.g., Frank and Frank 1982; Pongrácz et al. 2001; Marshall-Pescini et  
167 al. 2015; Osthaus et al. 2010). Furthermore, it is very similar in design and demands to a detour  
168 reaching task, shown to be indicative of prefrontal-dependent response inhibition by a rich cross-  
169 species body of research (e.g. Humans: Diamond 1990; Macaques: Diamond et al. 1989; Squirrel  
170 monkeys: Parker et al. 2005; Apes: Amici et al. 2008; Vlamings et al. 2010; Song sparrows:  
171 Boogert et al. 2011). In fact, consistent individual differences in performance on this detour  
172 reaching task are observed in squirrel monkeys based on stress-inoculation (exposure to mild  
173 stress) early in life, and remain stable up to three and a half years later (Parker et al. 2012).

174 To assess the role of arousal on the problem-solving skills of assistance and pet dogs, we  
175 used a within-subject design in which each dog experienced a series of both low and high arousal  
176 trials. In the low arousal trials, the experimenter called the dog in a calm, monotone voice, while  
177 in the high arousal trials, the experimenter called the dog in a high-pitched, excited voice.

### 178 **Apparatus**

179 Two garment racks with transparent shower curtains were placed in a v-shaped fence  
180 formation opening away from the dog. Each of the two side panels was approximately 80 cm  
181 wide and 1 m tall. The experimenter stood on the opposite side of the curtain, visible behind a  
182 sheet of transparent shower curtain that was approximately 40 cm wide and 1 m tall (Figure 2a,  
183 b). The dog-handler centered the dog approximately 1.5 m from the front of the apparatus at the

184 start of each trial. Treats were Real Meat® Jerky in beef, chicken, venison, or fish & venison  
185 flavors. For the assistance dogs and some pet dogs, the food treats were also paired with a Kong.  
186 All sessions were video-recorded.

### 187 **Procedure and Design**

188 Methods were adapted from a prior study on spatial navigation (Pongrácz et al. 2001). All  
189 dogs completed a familiarization trial followed by a block of five “low arousal” trials and a block  
190 of five “high arousal” trials. The ordering of the blocks was counterbalanced across dogs: 15 pet  
191 dogs and 46 assistance dogs received high arousal trials followed by low arousal trials (order A),  
192 while the other 15 pet dogs and 30 assistance dogs received low arousal trials followed by high  
193 arousal trials (order B). The assistance dogs could not be completely counterbalanced because 46  
194 of the dogs participated in this test as part of another long-term study which required them all to  
195 complete the task in the same order. In both orders, dogs received a two-minute break between  
196 these trial blocks, during which time the dog was petted and calmed. The dogs were not given  
197 treats during this interval.

198 *Familiarization trial.* The handler walked the dog, on lead, completely around the entire  
199 perimeter of the apparatus. This trial ensured that the dog had experience maneuvering around  
200 the apparatus and acquired knowledge of the motor response required during the test trials.

201 *Low Arousal trials.* At the start of each trial, the handler centered the dog at the start line  
202 and the experimenter showed the dog the treat that she was holding. The experimenter then  
203 crouched behind the fence and vocalized toward the dog in a low, monotone voice. She said  
204 “[Dog’s name], look, [Dog’s name], look” during this time. After three seconds elapsed, the  
205 handler dropped the leash and the dog was allowed to move toward the experimenter, who



206 continued to vocalize, now saying “[Dog’s name], come” (see Online Resource 2). At no point  
207 during the trial did the handler ever prompt or vocalize toward the dog.

208 A trial was repeated if the dog did not make any responses (defined as either an ‘around’  
209 or a ‘front’ response—see scoring and analysis section) within 20 s. If a dog repeated a single  
210 trial four times or had to repeat eight trials over the course of the session, she was excluded from  
211 the study (see Online Resource 1). On every trial, the handler started a stopwatch when the  
212 experimenter began vocalizing and stopped it when the dog retrieved the food. All trials had a  
213 maximum duration of two minutes—thus, if the dog made a response (as defined below) within  
214 20 s but was unable to solve the problem within two minutes, the dog received the maximum  
215 latency of two minutes and the handler then walked the dog around the apparatus to receive the  
216 treat from the experimenter.

217 ***High Arousal trials.*** High arousal trials were identical to low arousal trials, except that  
218 rather than speaking in a monotone voice, the experimenter addressed the dog in a high-pitched,  
219 excited voice (See Online Resource 2). The experimenter also enthusiastically waved the treat  
220 back and forth and made large arm movements. Because the reward was never hidden from the  
221 dog, no attempts were made to control for odor cues throughout the task.

## 222 **Scoring and Analysis**

223 First, as a measure of each dog’s arousal level before and during the task, we coded tail-  
224 wagging rates (in wags per minute) from video. Past studies have used tail-wagging levels as one  
225 measure of both positive and negative arousal level (e.g., Freedman et al. 1961; Rehn and  
226 Keeling 2011; Pluijmakers et al. 2010; McGowan et al. 2014; Prescott et al. 2004).

227 ***Familiarization tail-wagging rates.*** Tail-wagging rate was coded for each dog during the  
228 familiarization trial, which began with the walk around the apparatus and ended at the

229 experimenter's first command at the start of the first test trial. If the dog disappeared from view  
230 at any point, that amount of time was excluded. A tail wag was operationalized as the tail  
231 moving back and forth (e.g., left to right) horizontally once.

232 ***Test tail-wagging rates.*** Tail-wagging rate was coded throughout each high arousal trial  
233 and each low arousal trial, from the moment the handler dropped the leash, until the dog  
234 successfully retrieved the treat. The same criteria as above were applied.

235 Next, as an indicator of performance, two measures of accuracy ("touch" and "pathway")  
236 and one measure of latency ("time to success") were coded from video by the primary  
237 experimenter (EB).

238 ***Touch.*** The experimenter recorded whether or not the dog touched the barrier (1/0, a  
239 binary measure). A touch was coded if the dog's muzzle, nose, or forepaw made physical contact  
240 with the outside of the shower curtain. The touch could be directed to either the front panel of the  
241 shower curtain or either of the side panels of the shower curtain. If the dogs were tempted to  
242 approach the reward directly, making contact with the barrier was seen as representing an  
243 inhibitory failure.

244 ***Pathway.*** The experimenter also recorded whether the dogs' initial approach was toward  
245 the front of the apparatus (coded as a "front" response) or around the side (coded as an "around"  
246 response). A "front" response occurred when the dog came to within 18 in of the front of the  
247 apparatus, an area that was marked on the floor. The front response was assumed to represent a  
248 lack of inhibitory control, while the around response was assumed to indicate that the dog  
249 inhibited its tendency to approach the food directly, choosing instead to take the more circuitous,  
250 but effective, route around the barrier.

251           *Time to success.* The handler recorded the time, to the nearest tenth of a second, that it  
252 took for the dog to complete the detour and retrieve the reward on each trial. The handler timed  
253 each trial with a stopwatch. The timing began with the dog's first step forward after the handler  
254 released the leash, and ended when the dog retrieved the reward from the experimenter. Longer  
255 latencies to complete the task were assumed to designate worse inhibitory control, as dogs that  
256 were distracted by the treat would make time-consuming perseverative errors (See Online  
257 Resource 2).

258           All measures were coded from video by the primary experimenter (EB), using a  
259 stopwatch for the time measures. Two camera angles were used for coding: one camera with a  
260 wide-angle lens was positioned in the back corner of the room behind the start line, so that the  
261 dog, handler, apparatus, and experimenter were in view, allowing time to success and tail  
262 wagging during the entire trial to be coded. The second camera was positioned on the side of the  
263 apparatus and zoomed in, so that each dog's choices and the experimenter were visible, allowing  
264 for up-close views of each dog's pathway and touch measures in particular. Twenty percent of  
265 trials were randomly selected and coded from video by a second individual who did not  
266 participate in the experiment and was naïve to the hypotheses. In terms of arousal measures, the  
267 inter observer reliability for pet dogs was excellent for familiarization tail-wagging rates ( $r_s(4) =$   
268  $0.93, p < 0.001$ ) and very good for test tail-wagging rates ( $r_s(58) = 0.85, p < 0.001$ ). The inter  
269 observer reliability for assistance dogs was very good for familiarization tail-wagging rates  
270 ( $r_s(13) = 0.86, p < 0.001$ ) and good for test tail-wagging rates ( $r_s(148) = 0.76, p < 0.001$ ). In  
271 terms of performance measures, the inter observer reliability for pet dogs was very good for  
272 pathway ( $\kappa = 0.86$ ) and touch ( $\kappa = 0.88$ ) and excellent for time to success ( $r_s(58) = 0.95,$   
273  $p < 0.001$ ). The inter observer reliability for assistance dogs was good for pathway ( $\kappa =$

274 0.74) and excellent for touch ( $\kappa = 0.97$ ) and time to success ( $r_s(148) = 0.97, p < 0.001$ ). In  
275 cases of disagreement, the original coder's measures were used.

276 All data were analyzed using R statistical software (version 3.1.1, R Foundation for  
277 Statistical Computing, R Development Core Team, 2009). All tests were two-tailed.

## 278 **Results**

279 A two-way repeated-measures analysis of variance on test tail-wagging rates showed a  
280 significant effect of trial type ( $F_{1,104} = 195.76, p < 0.001$ ). Dogs wagged their tails more  
281 frequently during high arousal than low arousal trials indicating that the experimental  
282 manipulation did indeed affect subjects' arousal levels (High arousal: assistance  $M = 124.76$   
283 wags/min,  $SD = 28.09$  wags/min, pet  $M = 122.06$  wags/min,  $SD = 48.50$  wags/min; Low arousal:  
284 assistance  $M = 92.39$  wags/min,  $SD = 29.75$  wags/min, pet  $M = 96.60$  wags/min,  $SD =$   
285  $47.21$  wags/min). There was no significant main effect of population (pet vs. assistance;  $F_{1,104} =$   
286  $0.01, p = 0.92$ ) and no significant interaction between trial type and population ( $F_{1,104} = 2.05, p =$   
287  $0.16$ ). As a further test that experimenter arousal affected dog arousal, one-tailed binomial tests  
288 indicated that 95% of assistance dogs and 90% of pet dogs showed higher average tail-wagging  
289 rates during high arousal trials than low, which is significantly greater than the amount that  
290 would be expected by chance,  $p < 0.001$ .

291 There was a significant difference between populations,  $t_{35,22} = -3.26, p = 0.002$ , with  
292 assistance dogs wagging their tails less rapidly (mean rate =  $36.37 \pm 3.13$  wags/min) than pet  
293 dogs (mean rate =  $69.54 \pm 9.68$  wags/min) prior to the test. Furthermore, there were no  
294 significant differences within the two orders of assistance dogs,  $t_{57,03} = 1.23, p = 0.22$ , or the two  
295 orders of pet dogs,  $t_{26,23} = 0.43, p = 0.67$ ; assistance dogs that experienced the high arousal first  
296 order were not significantly different in their familiarization tail-wagging rates (mean rate =

297 33.19 ± 3.82 wags/min) than assistance dogs that experienced the low arousal first order (mean  
298 rate = 41.23 ± 5.29 wags/min), and the same was true of pet dogs in the low arousal first (mean  
299 rate = 65.32 ± 11.95 wags/min) and high arousal first (mean rate = 73.76 ± 15.58 wags/min)  
300 orders. Therefore, these data support the hypothesis that the two populations began the test at  
301 differing levels of arousal, with assistance dogs beginning the test with lower baseline arousal  
302 levels than pet dogs.

303         The three performance measures that reflected inhibitory control—touch, pathway, and  
304 time to success—were strongly positively associated with one another. A chi-square test of  
305 independence between touch and pathway was significant [ $X^2_{(1, N = 1060)} = 356.90, p < 0.001$ ],  
306 revealing that dogs that followed an “around” pathway were significantly less likely to touch the  
307 apparatus. A linear mixed-effects model with dog ID as a random effect, time to success as the  
308 dependent variable, and pathway and touch as the predictor variables showed that pathway ( $F =$   
309  $93.84, p < 0.001$ ) and touch ( $F = 72.40, p < 0.001$ ) were both significant predictors of a dog’s  
310 time to success. We therefore combined these measures into a single composite measure of  
311 performance, giving equal weight to each measure. Each dog’s composite score on each trial was  
312 defined as the sum of her score on: touch (0 = no touch or 1 = touch), pathway (0 = around  
313 pathway or 1 = front pathway), and time to success (0 through 120 seconds). Since trials were  
314 capped at 120 seconds, we took each “time to success” score, which was originally recorded in  
315 seconds, and divided it by 120, meaning the scores would now fall between 0 and 1 (where the  
316 fastest time = 0.0 and the slowest time = 1.0). Across all three individual measures lower scores  
317 indicated more successful behavior, and so lower composite scores corresponded with better  
318 performance. In pet dogs, the composite response scores ranged from 0.015 to 3 with a mean and

319 SEM of  $0.70 \pm 0.047$  and in assistance dogs, the composite response scores ranged from 0.013 to  
320 3 with a mean and SEM of  $0.41 \pm 0.026$ .

321 With the composite response score as the dependent variable, we used a linear mixed  
322 model with trial type (low arousal vs. high arousal), order (low arousal first vs. high arousal  
323 first), trial number (1-10), and population (pet vs. assistance) as fixed effects, and dog ID as a  
324 random effect. We also included two interactions, population by trial type and population by  
325 order, to investigate the possibility that the problem solving of assistance and pet dogs is affected  
326 differently by arousal level.

327 We first performed a likelihood ratio test to compare the linear mixed model with all  
328 predictor variables and the two interactions as predictors (the full model) against a null model  
329 (Crawley 2005). The full model fit the data significantly better than the null model ( $X^2 = 252.9$ ,  $df$   
330  $= 6$ ,  $p < 0.001$ ).

331 The main findings are summarized in Table 3 and Figure 3, which shows results  
332 separately for assistance and pet dogs. The full model revealed a significant main effect of trial  
333 number; almost all dogs improved (that is, achieved lower composite response scores) over time.  
334 There was also a significant interaction between population (pet, assistance) and trial type  
335 (Figure 4a). (Separately designating each of the three outcome variables that made up the  
336 composite as the sole outcome measure in a linear mixed model returned the same results as the  
337 model reported here, with the trial type by population interaction and pattern holding in all  
338 models [Touch model:  $t = -3.15$ ,  $p = 0.002$ ; Pathway model:  $t = -5.34$ ,  $p < 0.001$ ; Time to success  
339 model:  $t = 1.56$ ,  $p = 0.007$ ]). Therefore we used contrasts to investigate the subgroup-specific  
340 effects of trial type—i.e., the effects within assistance and pet dogs. These analyses revealed that  
341 assistance dogs performed significantly better in high arousal than low arousal trials ( $b = -0.28$ ,  $z$

342 = -6.35,  $p < 0.001$ ). In contrast, pet dogs achieved significantly better composite scores during  
343 low arousal than high arousal trials ( $b = 0.42$ ,  $z = 6.18$ ,  $p < 0.001$ ). Thus, while the trial type  
344 influenced performance in both populations, it had opposite effects between pet and assistance  
345 dogs.

346 Additionally, there was a significant interaction between population and the order in  
347 which high and low arousal trials were administered (Figure 4b). Contrasts revealed that  
348 assistance dogs achieved significantly better composite scores when facing the block of high  
349 arousal trials first ( $b = -0.25$ ,  $z = -2.91$ ,  $p < 0.01$ ). In contrast, pet dogs achieved better composite  
350 scores when facing the block of low arousal trials first, although the effect of order was not  
351 significant for pet dogs ( $b = 0.22$ ,  $z = 1.65$ ,  $p = 0.099$ ).

352 Finally, our sample of pet dogs included some dogs that were smaller and some that were  
353 older than in our sample of assistance dogs. To rule out the possibility that these size or age  
354 differences were driving the effects we observed, we removed the smallest third ( $n=9$  excluded,  
355 all under 35 pounds) of pet dogs from the model and found that the results did not change (see  
356 Online Resource 3). We then removed the oldest third ( $n=10$  excluded, all over 74 months) of pet  
357 dogs from the model and again found similar results (see Online Resource 3). Furthermore, pet  
358 baseline arousal levels as measured by tail-wagging rates were not significantly correlated with  
359 size ( $r = -0.34$ ,  $p = 0.07$ ,  $n = 29$ ) or age ( $r = 0.07$ ,  $p = 0.73$ ,  $n = 30$ ).

360 Our results indicate that pet dogs benefit significantly from low-arousal scenarios,  
361 presumably due to their naturally higher levels of baseline arousal as a group, while assistance  
362 dogs benefit from high-arousal scenarios, presumably due to their naturally mild levels of  
363 baseline arousal as a group. While these results are consistent with the Yerkes-Dodson  
364 hypothesis, it would be ideal to test a third population along the continuum—i.e., a group of dogs

365 that has a medium level of arousal. The prediction in this case would be that this group would be  
366 least affected by the manipulation from low to high arousal. However, since it is not immediately  
367 intuitive which group of dogs would fall between pet and assistance dogs in terms of arousal, we  
368 instead approached the problem by momentarily disregarding dog population membership (i.e.,  
369 pet versus assistance) and instead grouped dogs by their baseline arousal at the start of the task,  
370 as measured by tail-wagging rate.

371 In order to investigate different points along the continuum of arousal in our data, we  
372 used baseline tail-wagging rate to split all of the dogs into percentiles and then looked at the  
373 lowest, middle, and highest groups. The lowest arousal group consisted of dogs in the first  
374 quintile (n=23), the middle arousal group consisted of those in the third quintile (n=21), and the  
375 highest arousal group consisted of those in the fifth quintile (n=22). We then assigned each dog a  
376 difference score, comprised of their composite score on the high arousal trials minus their  
377 composite score on the low arousal trials. Here, negative scores indicated better performance  
378 under high arousal conditions, scores close to zero indicated no strong difference between  
379 conditions, and scores above zero indicated better performance in low arousal conditions versus  
380 high. By plotting the average difference scores of each group, we observed a pattern that  
381 although not significant, is consistent with the U-shaped function predicted by the Yerkes-  
382 Dodson law (Figure 5). In other words, dogs in the lowest arousal group benefited most from  
383 increased arousal, dogs in the middle did not differ much between trial types, and dogs in the  
384 highest baseline arousal group suffered most from increased arousal. Thus, with respect to the  
385 curve, dogs starting with low arousal move toward optimal while dogs starting with high arousal  
386 are pushed further away from optimal, with dogs in the middle being the least affected.



387 Consistent with our hypothesis that pet dogs generally have the highest arousal and  
388 assistance dogs have the lowest, the skew of the groups aligned as we would expect: the low and  
389 middle arousal groups consisted of predominantly assistance dogs (low: 18 assistance, 3 pet;  
390 middle: 18 assistance, 5 pet), while the high arousal group was composed of mostly pet dogs  
391 (high: 12 pet, 10 assistance).

## 392 **Discussion**

393 The results of the current study provide further support for a link between emotional  
394 reactivity and cognitive performance. Temperament not only plays a role in cognitive  
395 performance across species, but within a species as well. Applying the Yerkes-Dodson law to the  
396 current experiment, we predicted that 1) pet dogs would have higher baseline levels of arousal  
397 than assistance dogs and 2) inducing arousal would negatively affect inhibitory control in pet  
398 dogs while enhancing it in assistance dogs. We found that assistance and pet dogs differed in  
399 their baseline arousal levels when assessing their relative tail-wagging rates. An experimenter  
400 was also able to manipulate the dogs' arousal using excited vocal prompts since in both  
401 populations tail wagging increased as a result. Finally, assistance dogs with low baseline arousal  
402 showed an improvement in performance on the detour task with increased arousal while pet dogs  
403 that had relatively higher basal arousal levels showed the opposite pattern. These results suggest  
404 that high arousal trials hindered the performance of pet dogs while bolstering the performance of  
405 assistance dogs. One explanation for these findings derives from the Yerkes-Dodson (1908) law,  
406 which in its modern form posits that arousal and performance on a cognitively complex task  
407 follow an inverted U-shaped function, in which optimal performance is reached at an  
408 intermediate level of arousal with under- and over-arousal harming performance (also see  
409 Dodson 1917; Hebb 1955).

410 Overall, both populations benefited through increased experience with the task, as  
411 evidenced by their improving composite scores over the course of the session. Additionally,  
412 arousal state is a powerful predictor of how well a dog will solve this detour problem, but the  
413 two groups noticeably differed in the way in which arousal affected their problem-solving  
414 success. We attribute the differential performance to dissimilarities in temperament arising from  
415 differences in the training and rearing history of pet and assistance dogs.

416 Alternatively, these temperamental differences could be due to a dog's size or age, with  
417 small and/or young dogs being more excitable. Indeed, studies have shown an inverse correlation  
418 between hyperactivity/excitability and body size in dogs (McGreevy et al. 2013; Serpell and  
419 Duffy 2014). In our study, assistance dogs were relatively homogenous with respect to both  
420 factors, while pet dogs were more variable: there were greater numbers of old and small pet dogs  
421 as compared to assistance dogs. Thus, one possibility is that the smaller pet dogs drove this  
422 effect, and the key difference between the two groups was not pet versus assistance dogs per se,  
423 but rather small versus large dogs. However, our data do not support this hypothesis: when we  
424 removed the smallest or oldest third of pet dogs from the model, our results did not change.  
425 Thus, while age and size probably do play a role in temperament, neither factor is sufficient to  
426 explain our results.

427 Previous studies investigating the links between temperament and cognition in nonhuman  
428 animals have found that emotional reactivity is linked to outcomes in social problem-solving  
429 tasks (e.g., Hare et al. 2005; Hare et al. 2007). For example, bonobos are more behaviorally  
430 tolerant of one another, and can thus solve some cooperative problems with less constraints than  
431 chimpanzees (Hare et al. 2007; MacLean and Hare 2013). Another study of ape and monkey  
432 species found that the best predictor of inhibitory control was whether or not the animal

433 belonged to a species characterized by high fission-fusion dynamics, suggesting that evolving in  
434 a social environment that promotes behavioral flexibility can positively impact such cognitive  
435 skills (Amici et al. 2008).

436         Here we have used two populations of dogs to demonstrate a nuanced, within-species  
437 effect, wherein each population's baseline arousal state interacted with experimentally induced  
438 changes in arousal, in a manner consistent with the Yerkes-Dodson law. Because the two  
439 populations began the experiment with different baseline states of arousal, these conditions  
440 allowed pet dogs to perform "better" in one context and assistance dogs to perform "better" in  
441 the other. These results have important implications for how we understand cognitive evolution.  
442 Namely, selection for specific temperamental profiles may lead to species level differences in  
443 problem solving that are moderated by the conditions under which a species is tested.  
444 Specifically, populations or species with low baseline states of arousal may perform optimally  
445 under states of heightened arousal whereas the opposite would be predicted for species with  
446 higher states of baseline arousal.

447         Our results can be compared to human studies that administer caffeine to manipulate  
448 physiological arousal and report an inverted U-shaped function between performance and  
449 arousal, consistent with the Yerkes-Dodson hypothesis (e.g. Anderson 1994; Revelle and Loftus  
450 1992; Anderson 1990). Researchers hypothesized that the observed parabolic relationship  
451 between performance and arousal crucially hinges on a third factor: personality of the individual  
452 subjects (e.g., Revelle and Loftus 1992; Broadhurst 1959), and specifically "arousability"  
453 (Eysenck 2002). Those who are chronically at higher levels of arousal become over-aroused and  
454 perform poorly in high arousal scenarios, whereas those who are chronically at lower levels of  
455 arousal perform best in the same situations, and vice versa.

456 Future work should address the extent to which these differences can be attributed to  
457 training and rearing factors vs. innate genetic differences between populations. For example, it  
458 would be informative to compare this population of assistance dogs to assistance dogs that were  
459 not specifically bred for working roles, but which have undergone a similarly rigorous training  
460 program. Furthermore, future research will benefit by including additional measures of  
461 individual differences in temperament as predictors of problem-solving abilities. While we used  
462 prior training histories and tail-wagging rates as proxies for temperament, temperamental traits  
463 could also be measured using physiological parameters (e.g. heart rate variability) and systematic  
464 ratings of relevant personality traits, such as excitability. The Canine Behavioral Assessment &  
465 Research Questionnaire (CBARQ; Hsu and Serpell 2003) and the Dog Personality Questionnaire  
466 (Jones 2008) are two validated tools which have been created to assess longer-term individual  
467 differences in behavior and temperament in dogs and could be useful in future work. While the  
468 current study found evidence for group-level differences in performance, a more in-depth picture  
469 of each dog's temperamental profile could allow for predictions on an individual level. In past  
470 work investigating problem solving in dogs, Marshall-Pescini et al. (2008) found a significant  
471 correlation between dogs' successful performance and owner-reported temperament measures of  
472 high trainability and little to no stranger-directed fear. Even more to the point, Fox and Stelzner  
473 (1966) found that puppies who had not been handled, and thus were prone to emotional arousal,  
474 were worse at solving a detour task than their handled littermates. These emotionally aroused  
475 puppies were more likely to run into the barrier with their noses, similar to what we coded as an  
476 inhibitory failure in our own study. In their experiment, the puppies' arousal was related to  
477 temperamental differences that arose from controlled differences in the puppies' early rearing  
478 environment (Fox and Stelzner 1966).

479 In addition, future research could try to measure positive versus negative perception of the  
480 arousing stimulus in order to determine what effect that might have. In animal work, it can be  
481 difficult to determine the valence of the stimulus to an individual animal. For example, in the  
482 past, tail wagging has been used as a measure of both positive and negative arousal in dogs  
483 (Freedman et al. 1961; Pluijmakers et al. 2010; Rehn and Keeling 2011; Rehn 2013). However,  
484 recent studies suggest that the laterality of tail-wagging provides a window into the dog's  
485 emotional state, with a left-biased wag corresponding to positive, approach-worthy situations and  
486 a right-biased wag corresponding to threatening, withdrawal-producing situations (Quaranta et al.  
487 2007). These findings indicate that tail wagging might be a good candidate measure to answer  
488 the question of if and how the valence of arousal matters.

489 In conclusion, it appears that formal training and artificial selection can potentially lead to  
490 problem-solving biases that are moderated by temperament (i.e., Hare et al. 2005). These  
491 findings open the door for future research to further examine the role of learning and  
492 development in inhibitory control to help elucidate the circumstances in which animals can best  
493 exercise such control.

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**Acknowledgments**502  
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**Compliance with Ethical Standards**

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709 Table 1  
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 711 *Descriptive statistics for pet dog participants (N = 30).*

Dog Name	Breed	Order	Sex	Age (months)
Lucky	Mixed: Rottie/Cattle Dog	A	M	73.4
Dooright	Golden Retriever	A	M	80.3
Tanuk	Alaskan Malamute	A	M	36
Jaq	Rat Terrier	A	M	93.8
Scout	Beagle	A	F	115.8
Taylor	Pug	A	F	55.5
Oscar	Mixed: Labrador	A	M	52.6
Carolina	Great Pyrenees	A	F	58.5
Sarah	Mixed: Terrier/Cattle	A	F	88.6
Cassidy	Irish Setter	A	F	15.7
Sienna	Vizsla	A	F	137.1
Layla	Mixed: Hound/Shepherd	A	F	94.1
Bugsy	Mixed: Pointer/Dane	A	M	75.1
Autree	English Pointer	A	F	52.7
Blue	Mixed: Labrador/Chow	A	M	58.4
Merlin	Border Collie	B	M	30.3
Geisha	Mixed: Husky/Chow	B	F	57.3
Guga	Portuguese Water Dog	B	M	32.8
Enzo	Jack Russell Terrier	B	M	7.8
Disco	Mixed: Border Collie	B	M	67.5
Rogue	Mixed: Blue Tick	B	F	52.6
Max	Belgian Tervuren	B	M	96
Tola	Beagle	B	F	108
Bodie	Mixed: Collie/Chow	B	M	40.7
Loki	Chihuahua	B	M	54.2
Zeke	Border Collie	B	M	48
Lilah	Australian Shepherd	B	F	9.2
Deacon	Maltese	B	M	71
Lily	Poodle	B	F	74.5
Charlie Brown	Cavalier KC Spaniel	B	F	27

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716 Table 2

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718 *Descriptive statistics for assistance dog participants (N =76).*

Dog Name	Breed	Order	Sex	Age (months)
Gedeit	Labrador	A	M	26.8
Dex	Labrador-Golden Cross	A	M	27.2
Noland	Labrador-Golden Cross	A	M	23.9
Docker	Labrador-Golden Cross	A	M	24.8
Mulan	Labrador-Golden Cross	A	F	24
Greer	Labrador-Golden Cross	A	F	22.1
Dunbar	Labrador-Golden Cross	A	M	22.7
Lindsay	Labrador-Golden Cross	A	F	24.4
Brynna	Labrador-Golden Cross	A	F	23
Mardene	Labrador-Golden Cross	A	F	24.2
Tootsie	Labrador	A	F	25.6
Cabernet	Labrador	A	F	20.6
Oracle	Labrador	A	F	24.2
Zenrick	Labrador	A	M	27.9
Yazoo	Labrador-Golden Cross	A	M	30.6
Eva	Labrador-Golden Cross	A	F	25.2
Wendy	Labrador-Golden Cross	A	F	23.4
Heather	Labrador-Golden Cross	A	F	25
Safari	Labrador-Golden Cross	A	F	21.4
Hazel	Labrador-Golden Cross	A	F	27.4
Bramble	Labrador	A	F	25.8
Thelma	Labrador	A	F	23.8
Webb	Labrador-Golden Cross	A	M	23.6
Kaz	Labrador-Golden Cross	A	F	25.2
Katiya	Labrador-Golden Cross	A	F	22.8
Magnus	Labrador-Golden Cross	A	M	29.6
Bliss	Labrador-Golden Cross	A	F	23.7
Flavia	Labrador-Golden Cross	A	F	21
Fleur	Labrador-Golden Cross	A	F	21
Jetta	Labrador-Golden Cross	A	F	21
Claribel	Labrador-Golden Cross	A	F	30
Mojave	Labrador-Golden Cross	A	M	21.9



Burney	Labrador	A	M	20.6
Grove	Labrador	A	F	20.3
Neiman	Labrador	A	M	31.3
Daphne	Labrador-Golden Cross	A	F	20.6
Coraline	Labrador	A	F	20.8
Helen	Labrador-Golden Cross	A	F	20.5
Libby	Labrador-Golden Cross	A	F	22.5
Torelyn	Labrador	A	F	23.8
Minos	Labrador-Golden Cross	A	M	22.4
Lefty	Labrador-Golden Cross	A	M	22.5
Wonder	Labrador-Golden Cross	A	F	26.1
Winnie	Labrador-Golden Cross	A	F	21.4
Novi	Labrador-Golden Cross	A	F	22.4
Veronica	Labrador-Golden Cross	A	F	21.5
Freedom	Labrador-Golden Cross	B	M	20.4
Star	Labrador-Golden Cross	B	F	21.1
Wilde	Labrador-Golden Cross	B	M	20.7
Beula	Labrador	B	F	25.4
Oreo	Labrador	B	F	28.8
Fitz	Labrador-Golden Cross	B	M	25
Newkirk	Labrador-Golden Cross	B	M	24.1
Rodney	Labrador	B	M	26
Jovi	Labrador-Golden Cross	B	F	20.4
Kanga	Labrador-Golden Cross	B	F	20
Neffa	Labrador-Golden Cross	B	M	22
Judge	Labrador-Golden Cross	B	M	20.5
Gill	Labrador	B	M	20.6
Wayne	Labrador-Golden Cross	B	M	20.9
Halex	Labrador-Golden Cross	B	F	25.1
Chrissie	Labrador	B	F	23.2
Hydra	Labrador-Golden Cross	B	F	25.1
Nolan	Labrador-Golden Cross	B	M	22
Neptune	Labrador-Golden Cross	B	M	22.2
Lightning	Labrador-Golden Cross	B	M	22.6
Rayleigh	Labrador-Golden Cross	B	F	21.7
Vonne	Labrador-Golden Cross	B	F	21.3
Kelsey	Labrador-Golden Cross	B	F	22.6

Peter	Labrador	B	M	22
Wilfred	Labrador-Golden Cross	B	M	21.2
Kiri	Labrador-Golden Cross	B	F	22.7
Marina	Labrador-Golden Cross	B	F	22.5
Rapunzel	Labrador-Golden Cross	B	F	22
Stanford	Labrador	B	M	19.6
Nadia	Labrador-Golden Cross	B	F	22.5

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757 Table 3

758 *Results of a Linear Mixed Model in which the dependent variable was the composite score.*

Predictor variables	Estimate	SE	<i>t</i> value	<i>p</i> value
Population	-0.32	0.12	-2.63	0.0095**
Order	-0.25	0.09	-2.91	0.0045**
Trial number	-0.09	0.01	-14.28	0.0000***
Trial type	-0.28	0.04	-6.35	0.0000***
Population x trial type	0.70	0.08	8.62	0.0000***
Population x order	0.47	0.16	2.96	0.0038**

759 Predictor variables were population (pet vs. assistance), order (low arousal trials first vs.  
760 low arousal trials first), trial number (1-10), and trial type (low arousal vs. high arousal). Dog ID  
761 was entered as a random effect.  $N = 30$  pet dogs and 76 assistance dogs.

762 \*\*\*  $p < 0.001$ ; \*\*  $p < 0.01$

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772 **Fig. 1** The predictions of the Yerkes-Dodson hypothesis. For a simple task, it posits a positive  
773 linear relationship between arousal level and task performance. For a complex task, it posits an  
774 inverted U-shaped relationship, wherein increasing arousal level is linked to stronger  
775 performance only up to a certain point, after which increasing arousal harms performance

776 **Fig. 2 (A)** Curtain apparatus from the dog's perspective **(B)** The position of the experimenter  
777 while calling the dog behind the curtain apparatus during both low arousal and high arousal trials

778 **Fig. 3 (A)** Performance of assistance dogs on the detour arousal task by trial number and trial  
779 type. The lines represent the mean composite response score (touch + pathway + time to  
780 success), which is an inhibitory control failure index in which higher scores correspond to longer  
781 and less efficient problem solving. The gray line indicates dogs (n=46) who experienced order A,  
782 High Arousal First (5 high arousal detour trials followed by 5 low arousal detour trials), while  
783 the black line indicates dogs (n = 30) who experienced order B, Low Arousal First (5 low arousal  
784 detour trials followed by 5 high arousal detour trials); **(B)** Performance of pet dogs on the detour  
785 arousal task by trial number and trial type. The gray line indicates dogs (n=15) who experienced  
786 order A, High Arousal First, while the black line indicates dogs (n=15) who experienced order B,  
787 Low Arousal First

788 **Fig. 4 (A)** Cumulative performance of assistance (n=76) and pet (n=30) dogs during low arousal  
789 and high arousal trials. The bars represent the mean composite response score (touch + pathway  
790 + time to success), which is an inhibitory control failure index in which higher scores correspond  
791 to longer and less efficient problem solving. The interaction between trial type and dog type is  
792 significant ( $p < 0.001$ ), with assistance dogs exhibiting optimal levels of inhibitory control  
793 during high arousal trials and pet dogs exhibiting optimal levels during low arousal trials; **(B)**  
794 Cumulative performance of assistance (n=76) and pet (n=30) dogs over the entire task, divided

795 into groups based on those that completed high arousal trials first and those that completed low  
796 arousal trials first. The bars represent the same as in part (A). The interaction between order and  
797 dog type is significant, with assistance dogs that completed high arousal trials first exhibiting  
798 optimal levels of inhibitory control on the task overall and pet dogs that completed low arousal  
799 trials first exhibiting optimal levels of inhibitory control on the task overall ( $p < 0.01$ )

800 **Fig. 5** Average performance of dogs in the 1<sup>st</sup> quintile of baseline arousal (n=23), 3<sup>rd</sup> quintile of  
801 baseline arousal (n=21), and 5<sup>th</sup> quintile of baseline arousal (n=22). Baseline arousal was  
802 determined by tail-wagging rate during the familiarization walk-around, prior to the start of  
803 testing. Performance is shown as a difference score, acquired by taking the mean composite  
804 response score (touch + pathway + time to success) for high arousal trials and subtracting the  
805 mean composite response score for low arousal trials. Negative scores correspond to better  
806 performance under high arousal conditions, scores close to zero correspond to no strong  
807 difference between conditions, and positive scores correspond to better performance under low  
808 arousal conditions.

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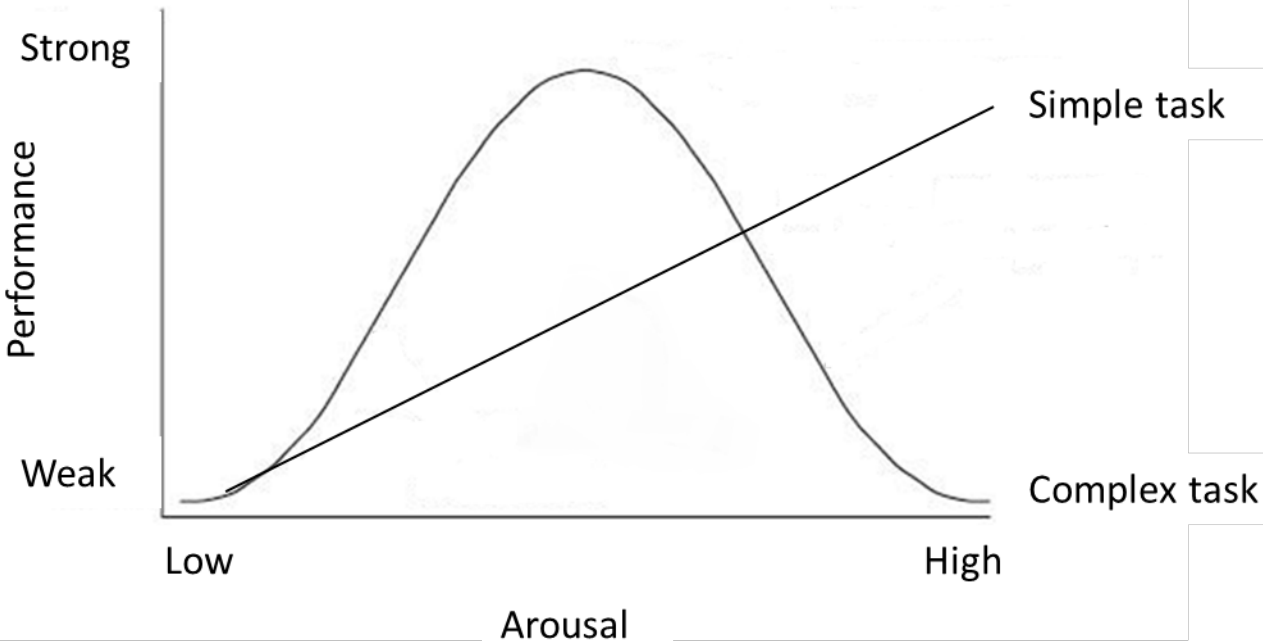
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818 **Fig. 1**

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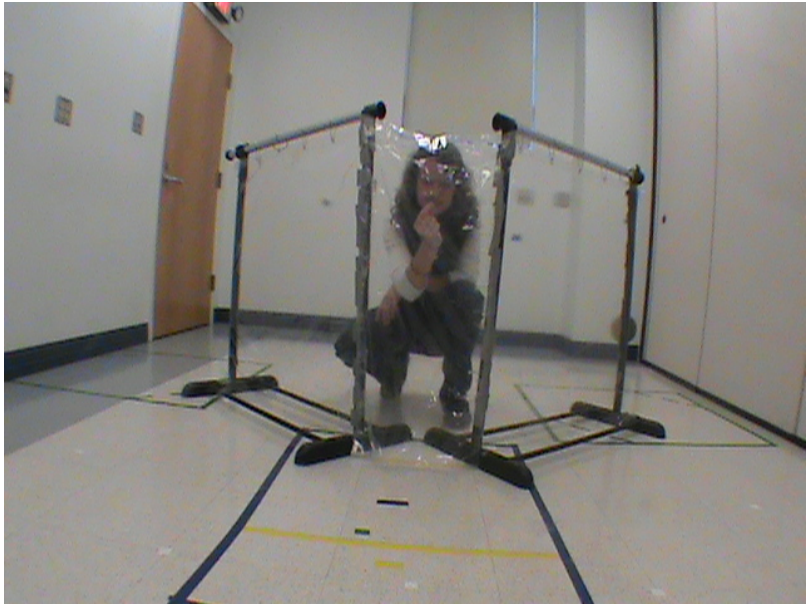
832 **Fig. 2**



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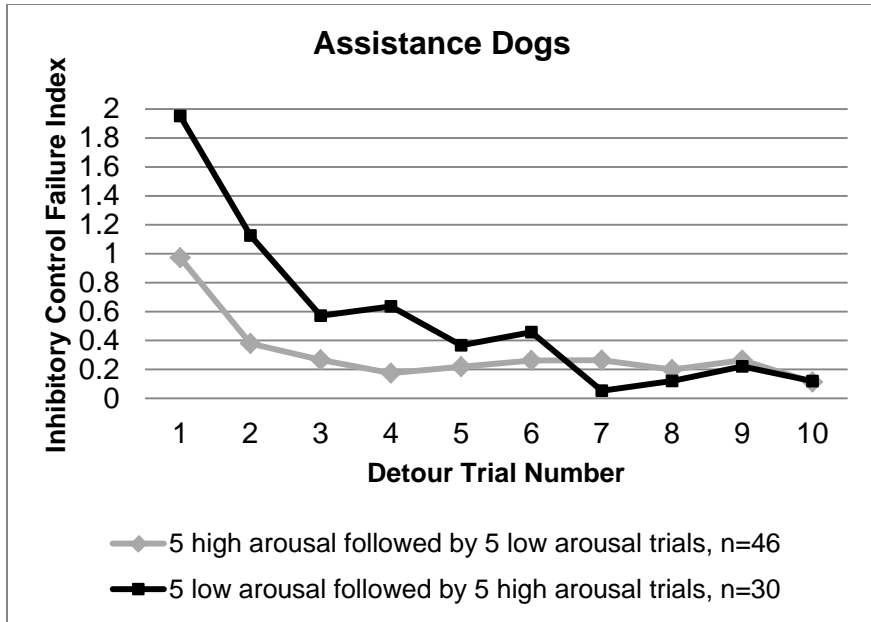
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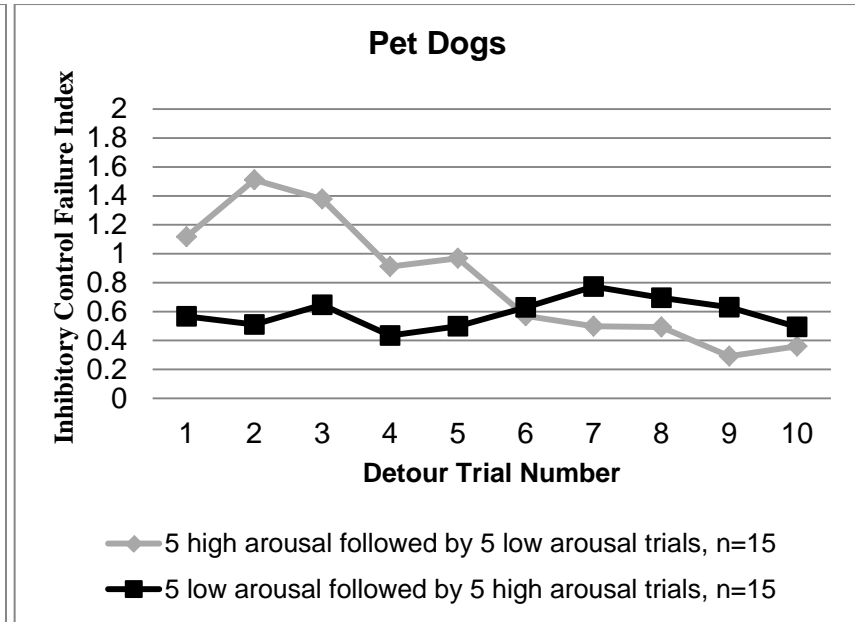
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840 **Fig. 3**

841 a)  
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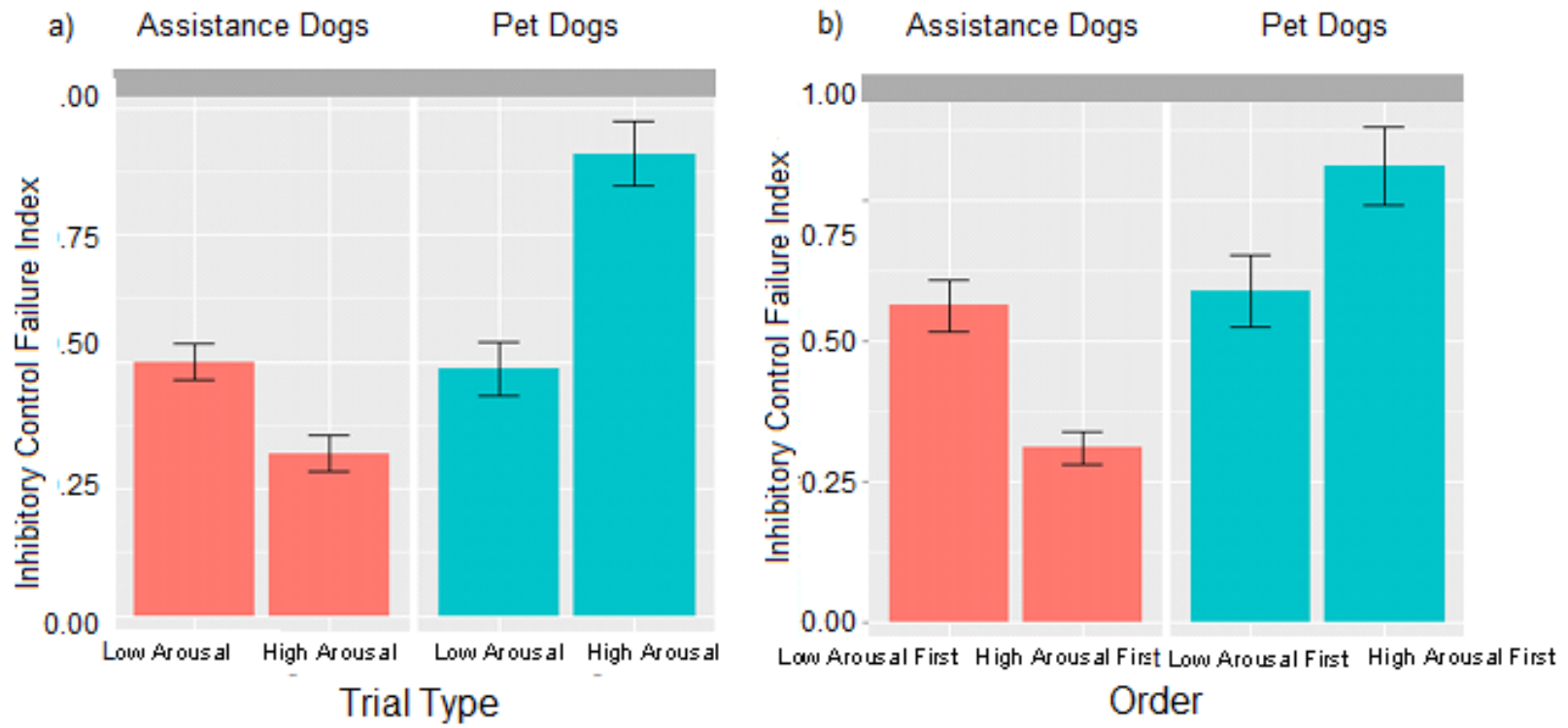


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851 **Fig. 4**

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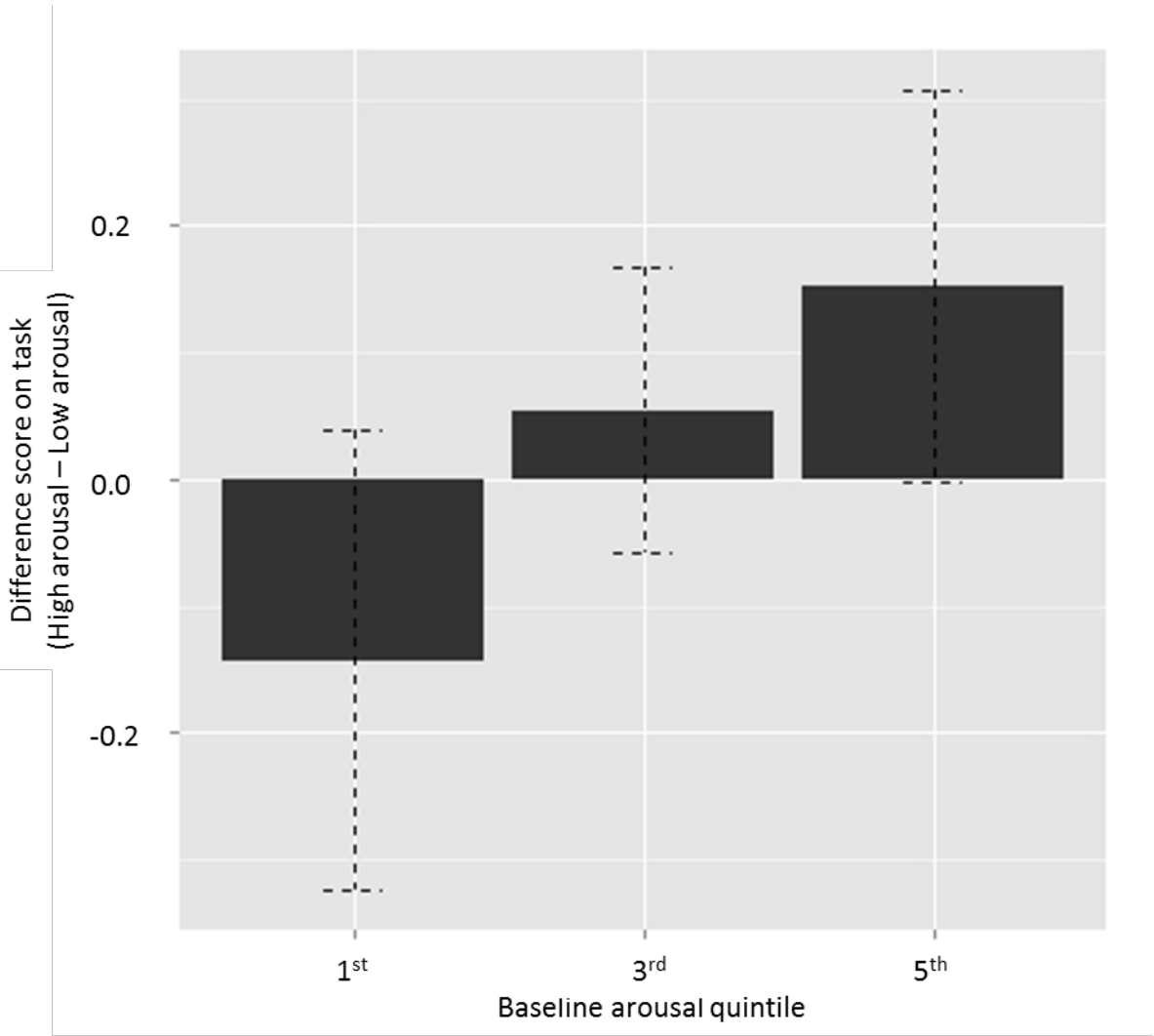
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860 **Fig. 5**



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