The design and conduct of Keep It Off: An online randomized trial of financial incentives for weight-loss maintenance

Pamela A Shaw¹,²,³, William S Yancy Jr⁴,⁵, Lisa Wesby²,³, Victoria Ulrich²,³, Andrea B Troxel¹,²,³, David Huffman²,⁶, Gary D Foster⁷,⁸ and Kevin Volpp²,⁹,¹⁰,¹¹

Abstract

Background: Obesity continues to be a serious public health challenge. Rates are increasing worldwide, with nearly 70% of the US adults overweight or obese, leading to increased clinical and economic burden. While successful approaches for achieving weight loss have been identified, techniques for long-term maintenance of initial weight loss have largely been unsuccessful. Financial incentive interventions have been shown in several settings to be successful in motivating participants to adopt healthy behaviors.

Purpose: Keep It Off is a three-arm randomized controlled trial that compares the efficacy of a lottery-based incentive, traditional direct payment incentive, and control of daily feedback without any incentive for weight-loss maintenance. This design allows comparison of a traditional direct payment incentive with one based on behavioral economic principles that consider the underlying psychology of decision-making.

Methods: Participants were randomized in a 2:1 ratio for each active arm relative to control, with a targeted 188 participants in total. Eligible participants were those aged 30–80 who lost at least 11 lb (5 kg) during the first 4 months of participation in Weight Watchers, a national weight-loss program, with whom we partnered. The interventions lasted 6 months (Phase I); participants were followed for an additional 6 months without intervention (Phase II). The primary outcome is weight change from baseline to the end of Phase I, with the change at the end of Phase II a key secondary endpoint. Keep It Off is a pragmatic trial that recruited, consented, enrolled, and followed patients electronically. Participants were provided a wireless weight scale that electronically transmitted daily self-monitored weights. Weights were verified every 3 months at a Weight Watchers center local to the participant and electronically transmitted.

Results: Using the study web-based platform, we integrated recruitment, enrollment, and follow-up procedures into a digital platform that required little staff effort to implement and manage. We randomized 191 participants in less than 1 year. We describe the design of Keep It Off and implementation of enrollment.

Lessons Learned: We demonstrated that our pragmatic design was successful in rapid accrual of participants in a trial of interventions to maintain weight loss.

¹Department of Biostatistics and Epidemiology, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, USA
²Center for Health Incentives and Behavioral Economics, Leonard Davis Institute of Health Economics, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, USA
³Center for Clinical Epidemiology and Biostatistics, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, USA
⁴Department of Medicine, Duke University Medical Center, Durham, NC, USA
⁵Center for Health Services Research in Primary Care, US Department of Veterans Affairs, Durham, NC, USA
⁶Department of Economics, University of Oxford, Oxford, UK
⁷Department of Science and Innovation, Weight Watchers International, New York, NY, USA
⁸Center for Obesity Research and Education, Temple University, Philadelphia, PA, USA
⁹Center for Health Equity Research & Promotion, Philadelphia Veterans Affairs Medical Center, Philadelphia, PA, USA
¹⁰Department of Medicine, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, USA
¹¹Department of Health Care Systems, The Wharton School, University of Pennsylvania, Philadelphia, PA, USA

Corresponding author:
Pamela A Shaw, Department of Biostatistics and Epidemiology, Perelman School of Medicine, University of Pennsylvania, 606 Blockley Hall, 423 Guardian Drive, Philadelphia, PA 19104-6021, USA.
Email: shawp@mail.med.upenn.edu
Introduction

Obesity is a growing problem, with worldwide incidence doubling since 1980. In the United States, approximately 70% of adults are overweight or obese. Excess body fat has been associated with increased risk of heart disease, stroke, diabetes, certain cancers, and early mortality. Obesity also has significant economic consequences with direct medical costs, higher rates of disability, and decreased job productivity. Given this clinical and economic burden, identifying effective strategies to reduce body weight is a public health imperative.

While successful approaches for achieving initial weight loss have been identified, techniques for long-term weight-loss maintenance have been more elusive. Several studies reporting weight loss at 6 months found term weight-loss maintenance have been more elusive. Excess body fat has been associated with increased risk of heart disease, stroke, diabetes, certain cancers, and early mortality. Obesity also has significant economic consequences with direct medical costs, higher rates of disability, and decreased job productivity. Given this clinical and economic burden, identifying effective strategies to reduce body weight is a public health imperative.

While successful approaches for achieving initial weight loss have been identified, techniques for long-term weight-loss maintenance have been more elusive. Several studies reporting weight loss at 6 months found 25%–60% of that weight regained at 12 months. Weight regain after a period of intentional weight loss is widely observed due to reasons such as loss of motivation, lack of sustained rewards for weight-loss behavior, difficulty adhering to diet, and willpower depletion.

An external motivational source such as financial incentives may help people keep weight off more effectively than standard approaches. Individuals put disproportionate value on the present relative to future costs and benefits, a phenomenon known as present-biased preferences. While this bias typically works against healthy behavior, the same factors can be used to promote compliance by providing tangible but small immediate rewards for beneficial behaviors. A review of 11 randomized trials found that financial incentives promoted adherence better than any tested alternative, leading to better blood pressure control and appointment attendance and higher immunization rates. As shown in recent work by our group, financial incentives are effective in inducing initial weight loss.

Few studies, however, have examined longer term effects of incentives on health behaviors after incentives stop or the relative effectiveness of traditional economic versus behavioral economic incentives, such as lottery schemes that consider the underlying psychology of decision-making. Keep It Off provides an innovative test of the relative effectiveness of lottery-based financial incentives, traditional financial incentives, and daily feedback on maintenance of weight loss. After the intervention phase, participants in all arms were observed without intervention to evaluate the longer term effects on weight-loss maintenance after cessation of the incentives.

The study was conducted using a web-based platform that facilitated participant recruitment, consent, enrollment, communication, follow-up, and reimbursement. The data collection procedures leveraged existing electronic infrastructure available to study participants and required no on-site study visits. Thus, this study was a pragmatic trial in that the intervention was embedded within the participant’s home environment, including their membership in a participating Weight Watchers center. We highlight the unique design of Keep It Off and provide perspectives on the successes and challenges we experienced.

Methods

Overview of design and study objectives

Keep It Off is a three-arm, unblinded randomized controlled trial (RCT) with two phases: an intervention phase (Phase I) and a follow-up phase (Phase II). In Phase I, individuals who lost at least 11 lb (5 kg) in the first 4 months of a Weight Watchers weight-loss program were randomized to receive one of the following interventions for 6 months: (1) daily weigh-ins and daily feedback (control), (2) control intervention + a lottery-based financial incentive (lottery), or (3) control intervention + a financial incentive consisting of traditional direct payments (direct payment). Those in the lottery arm were eligible to win daily lotteries for each day they achieved weight goals, whereas those assigned to the direct payment arm received a daily payment equal to the expected winnings of the lottery for each day they achieved weight goals (details below). In Phase II, months 7–12, all participants were followed without intervention. The study protocol was approved by the institutional review board of the University of Pennsylvania.

The primary objectives of this study are the three possible arm comparisons of weight-loss maintenance at the end of Phase I (month 6). As a secondary objective, we assess the degree to which weight loss was
maintained in the intervention groups relative to control during the 6 months following cessation of the interventions (Phase II).

**Participant eligibility and recruitment process**

To facilitate recruitment, we chose to enroll study participants from Weight Watchers, the largest weight-loss program nationally with over 1 million members. Recruitment was limited to members who had opted to receive notifications about research studies (approximately 65% of members) and who belonged to a CHAMP (Computerized History and Member Processing)-enabled meeting center, which allowed for in-person weigh-in data collected at the Weight Watchers center during follow-up to be transmitted to the study database. Totally, 505 CHAMP centers located in 41 states were selected for a targeted enrollment of 188 participants over a 1-year period.

Eligible participants were men and women aged 30 to 80 years who had a body mass index (BMI) of 30 to 45 kg/m² prior to starting Weight Watchers, joined Weight Watchers and had a documented weight loss of at least 11 lb in the past 4 months and were in stable health. People in this age range are those most affected by obesity in terms of prevalence, associated disease, disability, and healthcare costs.18,19 Participants had to have reliable access to the Internet, and an iPhone (OS 5.0 or later) or Android phone (OS 2.3.3 or later), to be paired successfully with the Withings wireless weight scale. This scale was provided by the study team to all enrolled participants to enable wireless transmission to the study database of weights measured daily at home during follow-up.

Exclusion criteria were limited to factors that could confound results or make participation in a weight-loss program infeasible, unsafe, or require more intensive monitoring.20 Exclusion criteria included substance abuse; bulimia nervosa or related behaviors; pregnancy or breast feeding; medical contraindications to counseling about diet, physical activity, or weight reduction; unstable mental illness; and screen positive for pathologic gambling on the basis of the two question Lie/Bet Questionnaire (excluded if answers yes to either question).21 Individuals unable to provide consent or fill out surveys in English were excluded.

Recruitment emails were sent in monthly waves by Weight Watchers to all members Weight Watchers identified at the time as having met the age, BMI, and weight-loss eligibility criteria. An initial email and at least one bi-weekly reminder were sent to potentially eligible members inviting them to visit the Way to Health (WTH) portal, a web-based clinical study platform hosted by the University of Pennsylvania and sponsored by the National Institutes of Health,16,22 to learn more about the study and to participate. WTH integrates clinical trial enrollment and randomization processes, receipt of data from wireless devices (such as the Withings scale), messaging (text, email, or voice), self-administered surveys, distribution of financial incentives, and patient communication.16,23–28

Participants completed an online eligibility screening form and online consent. Participants were instructed to weigh-in at the nearest Weight Watchers CHAMP location to verify the 11 lb weight-loss requirement. Once verified, participants received an automated message prompting them to complete the baseline survey. Upon completion, participants were sent a Withings scale. Participants had 2 months to complete enrollment. To avoid randomizing participants who were unable to use the device, participants were randomized after the first weight transmission. Immediately following randomization, participants received notification to log into the WTH portal to receive their randomization assignment.

The WTH portal allowed the study team to track participant enrollment. Participants remaining at any enrollment step for more than a few days received a message encouraging them to continue. WTH also provided a two-way communication channel for participants to send inquiries to the study team throughout the study. Figure 1 summarizes the flow of information between the participant, Weight Watchers, and the study database.

**Interventions**

Randomized participants selected a weekly weight-loss goal of 0, 0.5, or 1 lb and self-monitored their weights daily using the Withings scale, which transmits daily weights wirelessly to the study database. Participants in each intervention group received a daily message with feedback from the WTH Platform on their progress relative to their goals. Participants in both incentive arms were eligible for daily winnings based on transmitted weights. They received daily feedback on their
winnings to keep weight goals salient; however, payments were based on required in-person weigh-ins at months 3 and 6, to take advantage of the motivating power of loss aversion by highlighting to participants that they would only receive their accumulated daily winnings if they continued to meet their goal and reach the target weight for their milestone visit. Between months 7 and 12 (Phase II), participants received no further daily incentives.

The lottery incentive was designed to provide both infrequent large payoffs and frequent small payoffs because individuals are motivated by both the future, being particularly attracted to small probabilities of large rewards, and the past (how often did I win?). This lottery incentive scheme has been shown to be successful in the primary weight-loss setting. With this incentive, a participant who met his or her weight target could win US$10 (18 in 100 chance) or US$100 (1 in 100 chance). When a participant did not complete the daily weigh-in or weighed in above the target, the participant received a message indicating she would have won the lottery that day if a weight had been transmitted and was at or below target. We hypothesize that a desire to avoid the regret associated with not winning, combined with learning that one would have won had one been adherent, would motivate participants to a greater degree than the value of the rewards alone.

Direct payment participants were eligible to receive US$2.80 (the expected winnings of the lottery) each day they weighed in and were at or below their weight-loss goals. There was no regret component to this arm, as our goal is to compare the impact of a behavioral economic incentive to a straightforward economic incentive that is easier to administer.

The weight-loss/maintenance trajectory for all arms could be reset monthly, a critical feature when a participant fell short of attaining a monthly weight-loss goal in order to avoid discouraging participants who would otherwise have had to lose a lot of weight to get back on track and potentially have dropped out of the study. However, the choice was limited to the 0.5 and 1 lb per week weight-loss option whenever a participant’s current weight exceeded the study start weight. Receipt of each participant’s cumulative daily incentives was contingent on completing the verification weigh-ins in person at months 3 and 6. Winnings were prorated on the percent of goal achieved, as determined by their verified weight. To receive 100% of their winnings, participants had to be at or below their goal.

All participants were compensated up to US$160 for their time, with US$30 for completing each of the 3 and 9 month in-person weigh-ins and US$50 each for completing the 6 and 12 in-person weigh-ins. This strategy had succeeded in minimizing differential dropout in our previous studies of different financial incentives. All participants eligible for Phase I received a free weight scale (retail value US$179) upon enrollment that they kept at the end of the study.

Follow-up procedures

Participants were asked to weigh themselves each morning using the Internet-enabled scale. At 3, 6, 9, and 12 months after randomization, participants were asked to go to a Weight Watcher’s CHAMP location to provide in-person weight data, which was recorded electronically as part of usual member services. Participants who chose to weigh-in more often than required by the trial protocol had weight data sent more frequently. At 6 and 12 months, participants completed a questionnaire on WTH regarding physical activity, eating habits, and delayed gratification behaviors. At 12 months, participants provided information about their study experience and helpfulness of the intervention (see Figure 2).

The WTH platform included an automated participant tracking system that reminded the study coordinator of each participant’s quarterly weigh-in schedule. Customized messages were sent to encourage participants who were overdue for an in-person weigh-in.

Baseline weight and qualifying weight loss for all participants were ascertained at the beginning of Phase I. Weight change after randomization was ascertained at the end of months 3, 6, 9 and 12 based on weigh-ins at Weight Watchers centers.

Study monitoring

An independent Data Safety Monitoring Board (DSMB) reviewed and approved the research protocol and plans for data and safety monitoring prior to the study start. The DSMB assessed data quality, participant recruitment, accrual, retention, and adverse events. Potential safety concerns prior to study start were maintaining participant privacy and monitoring to detect rapid, potentially unsafe weight loss. Procedures to address these concerns were described in the study’s Data Safety Monitoring Plan approved by the DSMB before study start.

Patients were requested to report hospitalizations on the WTH portal or by phone calls to the study coordinator. Participants were monitored in real-time during follow-up for excessive weight loss, which triggered an alert. Excessive weight loss originally was defined as >5 lb in 1 week, 8 lb in 2 weeks, and/or 12 lb in 1 month. 2 months into the study, the alerts were changed to a weekly trigger of >7 lb and/or 12 lb in 1 month, to reduce the number of unnecessary alerts arising from calibration issues or variation in how a participant weighed in (clothed or non-morning). The study coordinator called participants to determine whether they were engaging in unsafe behaviors to achieve goals. Completed excessive weight-loss
questionnaires were sent to the principal investigator for review. Weight-loss alerts were summarized for DSMB review.

**Statistical considerations**

Participants were randomized in a 2:2:1 ratio with twice as many assigned to each financial incentive intervention compared to the control intervention using block randomization with variable block sizes. This design allowed for a more precisely estimated difference between the two financial incentive intervention arms, which was pre-hypothesized to be smaller than the difference between a financial incentive and control. Randomization was stratified by gender and degree of obesity at baseline (BMI: 30–37.9 and 38–45 kg/m²). We planned to enroll 188 participants; allowing for a 20% loss to follow-up at 12 months, this yielded outcome data for an expected 150 participants.

The Holm-Bonferroni method is used to test the three primary comparisons to maintain the experiment-wide 0.05 type I error.\(^2\) Totally, 150 participants provide at least 90% power to detect a difference in weight change during Phase I of 11 lb (5 kg) between each financial incentive group and the control and 6.6 lb (3 kg) between incentive groups, assuming a standard deviation for weight loss of 11 lb (5 kg).

Primary analyses will be done on an intent-to-treat basis. We will impute missing 6-month in-person weights using a linear regression model built on baseline participant characteristics. In sensitivity analysis, we will assume that the weight of any participant with missing outcome weigh-ins returned to baseline.\(^3\) We will consider the sensitivity of the study findings to alternative imputation strategies that use post-randomization in-person and daily weights and adjustment for potential confounders. Analyses of secondary outcomes, including weight loss at 12 months, will be done in a similar manner.

**Results**

**Recruitment and enrollment**

We randomized 191 participants from 158 Weight Watchers centers in less than 9 months. Candidates were recruited in six monthly cohorts by email message
between September 2013 and May 2014. Weight Watchers sent at least two messages per cohort. Email messages were sent in three waves to the first two cohorts. The third email message resulted in very few additional enrollments, so the email frequency was changed to two waves per cohort.

Figure 3 summarizes the flow of potential participants from recruitment to randomization. A total of 2983 Weight Watchers members received an invitation email. Of these, 382 (12%) candidates created an account on the study website, but 65 failed to verify their accounts (a necessary step to initiate the online screening process) and 126 were ineligible, yielding 191 randomized participants. Ineligible individuals had the same percentage of males (9%) and were on average 3 years older compared to randomized participants. Phase I was completed on 2 January 2015; Phase II was completed on 17 July 2015. As of 4 March 2016, analyses of study data were in progress and will be reported separately.

Challenges and lessons learned

A unique feature of this study was partnering with Weight Watchers, a national commercial provider of weight control services, to take advantage of their large representative membership, their records regarding members with recent weight loss, and their existing infrastructure that allowed us to capture member weigh-in data electronically. One challenge was that randomized participants were not required to maintain Weight Watchers membership. We arranged to issue, to any participant who discontinued membership, a voucher for access to a local Weight Watchers CHAMP center for scheduled weigh-ins. When necessary, participants were weighed at non-CHAMP centers, and weights were faxed to study staff.

An important challenge for Keep It Off was achieving accrual goals while maintaining generalizability. We also needed to optimize participant recruitment for online communications, which can be plagued by low response rates—a challenge for generalizability and study budgets. Additional challenges to participant enrollment and collection of trial data included ability to assess participants’ electronic environment remotely to confirm eligibility and to remotely resolve technical issues with the participants’ wireless devices and Internet connections.

We learned that the invitation email needed to clearly specify the source of the email, the purpose of the study, and the upfront time commitment required of participants. Feedback from potential participants indicated that the screening interaction could have been improved by more detail in the initial email message and emphasis that the messages came directly from the University of Pennsylvania study team. We also learned that we needed to refine the list of acceptable phones and to solicit more detailed information for phone models from candidates before confirming eligibility and sending a weight scale. Information regarding specific versions of phone operating systems was needed to supplement the manufacturer’s specifications in order to assess phone compatibility. These are important considerations for any study designed to rely on personal smartphones or tablets and commercial wireless instruments for which software updates will be beyond the control of the study.

Instruments selected for use in Keep It Off included a commercially available weight scale with wireless capability to capture daily weights remotely. For a candidate to be enrolled successfully, software on the

![Figure 3. Keep It Off participant recruitment flow.](image-url)
WTH Platform had to be configured to create a successful interface with the application program interface of the Withings scale so that weight data could transmit automatically once a participant set up the scale and authorized WTH to collect data. Participants were deemed ineligible if their scale was not set up by the 6-month mark of their Weight Watchers membership. In total, 24 participants received a scale but were unable or unwilling to set up their scale within the allotted time and thus were not enrolled. The ages of these participants ranged from 34 to 71 years; on average, they were about 5 years older than the successfully randomized participants.

By relying on the existing infrastructure of our industry partner and the existing WTH platform, this study was conducted with a small staff consisting of a full-time study coordinator, 50% of a data manager, and relatively small effort from a statistician, a clinical and principal investigator. Shared resource staff from WTH created and maintained the study database and assisted with data analysis. Weight Watchers staff received no reimbursement for their activities; their participation was motivated by a shared interest in the research.

Discussion

The Keep It Off study examines the efficacy of three interventions for weight-loss maintenance, any one of which could serve as a model for weight loss and maintenance programs. In particular, this study will provide information about whether and what type of financial incentive, if any, is more efficacious for weight-loss maintenance compared to daily weight monitoring and feedback. An important study design element is our control arm of feedback alone, which will allow us to disentangle the effect of daily weight monitoring with feedback inherent in our incentive arms from that of the incentive itself.

This study has some limitations regarding generalizability. At enrollment, participants had to be Weight Watcher members at a CHAMP center. While geographically diverse, study participants reflected the predominantly female membership of Weight Watchers, needed sufficient financial resources to afford the Weight Watchers membership fee, and had to have an email address, Internet access, and a wireless phone compatible with the study weight scale. An additional limitation is that, as expected, we enrolled very few participants per site and did not stratify randomization by study site, allowing for potential imbalance by chance at some sites. There were 131 sites (83%) with one participant; the largest site had four study participants and none of the three intervention arms had more than two participants from a single site.

This study also had strengths. In particular, it was conducted pragmatically, in the context of the very operational system in which it could be later implemented. The design of this study reveals how real-time operational systems can become laboratories for health behavior change. By embedding the study into existing systems and relying on a primarily digital platform, we conducted the study with modest study-specific resources. This type of clinical trial design is well-suited for many behavioral interventions that could rely on electronic communication and self-monitoring devices, such as physical activity from step counters or portable accelerometers, pill bottles with electronic medication adherence monitors, or glycemic control from wireless devices. We also envisage that there are many potential partnerships with commercial or public entities, similar to the one we formed with Weight Watchers and others. Such partners can not only provide the efficiencies of existing infrastructure but also the ability to study pragmatic interventions that deliver both internal and external validity for the study findings.

In Keep It Off, we developed a pragmatic paradigm for the enrollment and conduct of weight loss and maintenance intervention studies. With relatively small staff, participants were recruited, enrolled, and followed, all with a passive electronic system augmented by tools that allowed for staff interaction through the use of email, phone calls, and text messages. In an era of diminishing research dollars for available studies, pragmatic designs that embed clinical research into settings with existing infrastructure have become a necessary imperative. We have shown that such pragmatic designs can be implemented successfully to evaluate interventions to achieve or maintain weight loss.

Acknowledgements

The authors would like to thank the study participants for their time and dedication to the study. They would also like to thank the staff at Weight Watchers for their assistance in recruiting participants and facilitating measurement of their follow-up weights at the pre-specified intervals for our study outcome. Trial Registration: clinicaltrials.gov Identifier NCT01900392.

Declaration of conflicting interests

Kevin Volpp is a principal in the behavioral economics consulting firm VAL Health and has received consulting income and research funding from CVS as well as research support from Weight Watchers, Humana, Hawaii Medical Services Association, and Merck. Drs Shaw, Troxel, and Volpp have received research funding from the Vitality Institute. Dr Troxel serves on the scientific advisory board of VAL Health. Dr Foster is an employee of Weight Watchers International.

Funding

This study was sponsored by the National Institute on Aging of the National Institutes of Health under award no. R01 AG045045 (Volpp and Yancy, MPIs).
References


