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34

35 **Abstract**

36 Based upon knowledge of the hydrolytic profile of major  $\beta$ -lactamases found in Gram negative  
37 bacteria, we tested the effectiveness of the combination of ceftazidime/avibactam (CAZ/AVI)  
38 with aztreonam (ATM) against carbapenem-resistant enteric bacteria possessing metallo- $\beta$ -  
39 lactamases (MBLs). Disk-diffusion and agar based antimicrobial susceptibility testing were  
40 initially performed to determine the *in vitro* efficacy of a unique combination of CAZ/AVI and  
41 ATM against 21 representative *Enterobacteriaceae* isolates with a complex molecular  
42 background that included *bla*<sub>IMP</sub>, *bla*<sub>NDM</sub>, *bla*<sub>OXA-48</sub>, *bla*<sub>CTX-M</sub>, *bla*<sub>AmpC</sub>, and combinations thereof.  
43 Time-kill assays were conducted, and the *in vivo* efficacy of this combination was assessed in a  
44 murine neutropenic thigh infection model. By disk diffusion assay, all 21 isolates were resistant  
45 to CAZ/AVI alone, and 19/21 were resistant to ATM. The *in vitro* activity of CAZ/AVI in  
46 combination with ATM against diverse *Enterobacteriaceae* possessing MBLs was demonstrated  
47 in 17/21 isolates, where the zone of inhibition was  $\geq 21$  mm. All isolates demonstrated a  
48 reduction in CAZ/AVI agar dilution MICs with the addition of ATM. At 2 h, time-kill assays  
49 demonstrated a  $\geq 4$  log<sub>10</sub> CFU decrease for all groups that had CAZ/AVI plus ATM (8  $\mu$ g/ml)  
50 added, compared to the CAZ/AVI alone group. In the murine neutropenic thigh infection model,  
51 an almost 4 log<sub>10</sub> reduction in CFUs was noted at 24 h for CAZ/AVI (32 mg/kg q8h) plus ATM  
52 (32 mg/kg q8h) vs. CAZ/AVI (32 mg/kg q8h) alone. The data presented herein, requires us to  
53 carefully consider this new therapeutic combination to treat infections caused by MBL-  
54 producing *Enterobacteriaceae*.

55

56 **Introduction**

57 As a consequence of the threat of rising antibiotic resistance, the Infectious Diseases  
58 Society of America has challenged the pharmaceutical industry to develop novel antibiotics  
59 (“The 10x20 initiative”, <http://www.idsociety.org/10x20/>). Of particular importance are  
60 carbapenem-resistant strains of bacteria, which are typically resistant to most or all commonly  
61 used therapeutic options, and cause high morbidity and mortality (1). In response, the “antibiotic  
62 pipeline” has delivered an important  $\beta$ -lactam  $\beta$ -lactamase inhibitor combination,  
63 ceftazidime/avibactam, CAZ/AVI (2).

64 Avibactam (AVI) is a novel diazabicyclooctane (DBO)  $\beta$ -lactamase inhibitor with *in*  
65 *vitro* activity against serine enzymes, such as the class A extended spectrum  $\beta$ -lactamases  
66 (ESBLs) and *Klebsiella pneumoniae* producing carbapenemases (KPCs), as well as the class D  
67 OXA-48 found in *Enterobacteriaceae*, and the class C cephalosporinases present in enteric  
68 bacteria and *Pseudomonas aeruginosa*. AVI restores the activity of CAZ against a broad array of  
69 resistance threats, making CAZ/AVI a welcome addition to the antibiotic armamentarium against  
70 Gram-negative bacteria. However, CAZ/AVI is not active against strains bearing class B  
71 metallo- $\beta$ -lactamases (MBLs) such as NDM, VIM and IMP. The monobactam antibiotic  
72 aztreonam (ATM) remains stable against MBLs, but is not a therapeutic option in many cases  
73 because it is inactivated by ESBLs, KPCs, and other cephalosporinases frequently found in the  
74 background of MBL-producing bacteria (2, 3).

75 Even before widespread clinical use of CAZ/AVI, case reports appeared that described  
76 resistance to this novel inhibitor combination (4). As a result of these findings, we now know  
77 that CAZ/AVI MICs may be elevated in the setting of unfavorable genetic backgrounds which

78 include resistance determinants that control entry and egress of antibiotics (5). Additionally,  
79 MBLs are a worldwide problem, with outbreaks reported in the United States in numbers that  
80 were previously unanticipated (6, 7).

81         Knowing that ATM is stable against hydrolysis by MBLs, we hypothesized that addition  
82 of ATM to the CAZ/AVI combination would result in enhanced activity by “protecting” ATM  
83 from the “attack” of ESBLs and other cephalosporinases. Therefore: 1) we tested the *in vitro*  
84 activity of the combination of CAZ/AVI with ATM against 21 carbapenem-resistant, MBL-  
85 producing Enterobacteriaceae isolates containing *bla*<sub>NDM</sub> or *bla*<sub>IMP</sub> genes, and a pan-susceptible  
86 control; 2) we evaluated the *in vivo* antibacterial efficacy of CAZ/AVI with ATM in a mouse  
87 thigh infection model using a MBL producing *K. pneumoniae* strain; 3) lastly, we report a case  
88 of infection caused by an *Enterobacter cloacae* containing a MBL treated with ATM in  
89 combination with CAZ/AVI. Our findings encourage further study of CAZ/AVI with ATM in  
90 experimental and clinical circumstances when therapeutic options against MBL producing strains  
91 are limited.

## 92 **Materials and methods**

### 93 *Antimicrobial susceptibility testing*

94         *In vitro* efficacy of the CAZ/AVI and ATM combination was performed on 21 previously  
95 characterized strains of diverse species of *Enterobacteriaceae* (8). Susceptibility testing was  
96 initially performed by disk diffusion. Disks containing CAZ (30 µg) and CAZ/AVI (30/20 µg)  
97 were obtained from Becton Dickinson (Sparks, MD) and Actavis (Schaumburg, IL),  
98 respectively. ATM (30 µg) disks were made by adding 10 µl of a 3000 µg/ml stock ATM

99 (Chem-Impex Int'l Inc -Wood Dale, IL) solution to blank disks. To test the activity of the  
100 combination of CAZ/AVI with ATM, 30 ug of ATM were placed directly on the CAZ/AVI disk,  
101 and allowed to dry for 30 minutes before use. Isolates were grown overnight in cation adjusted  
102 Mueller-Hinton broth (CAMHB), diluted to a McFarland 0.5 standard and inoculated to form a  
103 lawn on cation adjusted MH agar (MHA) plates. Disks containing CAZ, CAZ/AVI, and ATM  
104 were placed onto the plates and then incubated overnight at 37° C. For CAZ and ATM, zones of  
105 inhibition were interpreted according to the Clinical Laboratory Standards Institute (CLSI)  
106 guidelines (9). For CAZ/AVI, zones of inhibition were interpreted according to FDA guidelines.  
107 (Figure 1).

108 Agar dilution minimum inhibitory concentrations (MICs) for CAZ, ATM, CAZ/AVI,  
109 CAZ/ATM and the CAZ/AVI-ATM combination were determined in triplicate and performed  
110 according to CLSI protocol (9). Isolates were grown overnight in CAMHB and stamped on  
111 MHA plates containing doubling dilutions of CAZ (Sigma Chemical Co. St Louis, MO), ATM  
112 (Chem-Impex Int'l Inc -Wood Dale, IL) and CAZ/AVI (Avycaz™ 2g/0.5g per vial, Allergan)  
113 using a Steers replicator that delivered 10<sup>4</sup> CFU/spot. The effect of adding a fixed concentration  
114 of 8 or 16 µg/ml of ATM to CAZ or to CAZ/AVI was also assessed. Plates were incubated 18 -  
115 20 h at 37° C and the antibiotic concentration (µg/ml) at which bacterial growth was no longer  
116 observed defined the MIC. A minimum of 3 determinations were done for each susceptibility  
117 with the most frequently observed result reported.

118 *Time-kill assays*

119 Time-kill assays were performed for isolate *K. pneumoniae* 1.41 as previously described  
120 with some modifications (10). Briefly, freshly prepared colonies were resuspended in 5 ml  
121 CAMHB and incubated overnight in a shaking incubator (37°C, 220 rpm). Cultures were then  
122 diluted 1:100 and incubated in a shaking incubator until they reached 0.5 McFarland standard  
123 (approximately 10<sup>8</sup> CFU/ml). A bacterial suspension was prepared in 50 ml conical tubes  
124 containing 10 ml CAMHB so that the starting inoculum was approximately 10<sup>6</sup> CFU/ml.  
125 CAZ/AVI (Avycaz™) was added to the prepared bacterial suspensions so that the final  
126 concentration was 1×, 2×, 4× or 8× the agar MIC of the CAZ/AVI-ATM combination (1 µg/ml  
127 CAZ:0.25 µg/ml AVI to 8 µg/ml CAZ:2 µg/ml AVI with ATM held constant at 8 µg/ml). A  
128 control of CAZ/AVI alone was diluted in the prepared bacterial suspension at 8× the MIC, and a  
129 growth control without antibiotics was also included. The starting inoculum was determined  
130 from the growth control tube immediately after dilution and was recorded as the count at time  
131 zero. After addition of antibiotics, the starting inoculum was 1 × 10<sup>6</sup> - 1 × 10<sup>7</sup> CFU/ml. Tubes  
132 were incubated in a shaking incubator (37°C, 180 rpm), and viability counts were performed at 1,  
133 2, 4, 6, and 24 h by removing 200 µl of the culture, diluting as appropriate, and plating 100 µl on  
134 MHA. Plates were incubated at 37°C for 18 -20 h, colonies were counted on plates yielding 30-  
135 300 colonies per plate, and the results were recorded as the number of CFU/ml. Three replicates  
136 were conducted for each of the conditions reported in the time kill assay.

### 137 *Polymerase chain reaction (PCR) and sequencing*

138 PCR amplification of *bla*<sub>NDM</sub> and other *bla* genes, in isolates not previously  
139 characterized, was achieved using established primers and amplified with a MJ Research  
140 Gradient Cycler Model PTC 225 using thermocycling conditions adjusted to the primer melting

141 temperatures (8). Positive controls included well characterized isolates (in the laboratory of  
142 RAB). Amplicons were sequenced at a commercial sequencing facility (MCLAB, San Francisco,  
143 CA). Sequence data were analyzed using Lasergene 7.2 software (DNASTar, Madison, WI), and  
144 sequences were compared with BLAST online software (<http://blast.ncbi.nlm.nih.gov>), using the  
145 megablast algorithm.

#### 146 *Mouse thigh infection model*

147 For the thigh infection model, Female Hsd:ICR (CD-1) mice from Envigo were rendered  
148 neutropenic by intraperitoneal (i.p.) injection of cyclophosphamide (Cytosan, Baxter Healthcare,  
149 Deerfield, IL) as previously described (11). Five mice per 2 control and 14 treatment groups  
150 were infected by injecting a prepared inoculum of *K. pneumoniae* 1.41, input CFU =  $5.01 \log_{10}$   
151 CFU/mouse into the right hind thigh muscle contained in a volume of 0.1 mL per animal. At two  
152 hours post-infection, animals were administered subcutaneous doses (q8hr) of CAZ (Sigma,  
153 Ceftazidime hydrate), CAZ + AVI (AvyCaz™), ATM (Azactam® for injection, USP) or  
154 CAZ/AVI + ATM (1:1). All animals were euthanized 24 hours post-infection and thighs  
155 aseptically removed into chilled PBS, homogenized (Kinematica® Polytron PT2100 tissue  
156 homogenizer), 10-fold serially diluted, plated on Brain-heart infusion agar plates containing  
157 0.5% activated charcoal and incubated for the determination of bacterial thigh titers (CFU).

#### 158 *Statistical Analysis*

159 The 24 hour  $\log_{10}$  CFU counts were compared across all treatment and control groups  
160 using a One-way ANOVA. Post-hoc pairwise comparisons were performed across all treatment

161 and control groups and p-values were adjusted according to Tukey's method. All analysis was  
162 performed using GraphPad Prism 6.0 software.

163

## 164 **Results and Discussion**

165 As established by current and previous molecular testing (8), each of the isolates  
166 possessed an MBL with the exception of the *Escherichia coli* DH10B which served as a negative  
167 control. In Table 1, we show that isolates containing a MBL were resistant to CAZ (21/21  
168 isolates), ATM (19/21 isolates), and CAZ/AVI (21/21 isolates) by disk diffusion (9). The  
169 combination of CAZ/AVI and ATM produced an inhibition zone  $\geq 21$  mm, suggesting  
170 susceptibility in 17 of the 21 MBL-producing strains that were tested (i.e.,  $\geq 21$  mm is the S zone  
171 diameter for CAZ/AVI alone), with the measured zones being larger than with CAZ/AVI or  
172 ATM alone: 10-15 mm larger for 9 isolates, and 4-9 mm larger for 5 isolates (Table 1). In 3  
173 cases where an increase in the inhibition zone was not found with the combination of CAZ/AVI  
174 and ATM, there was already a significant zone of susceptibility to ATM observed.

175 For the agar dilution MIC determinations we used a series of doubling dilutions of  
176 CAZ/AVI in the ratio that is found in the commercial preparation (Avycaz™) intended for  
177 patient use. This was a deliberate decision as we feel these dilutions reflect doses of CAZ/AVI  
178 that patients receive. The commercial preparation is formulated in a 4:1 ratio (CAZ 2g: AVI 0.5g  
179 /per vial). The values reported for CAZ/AVI in Table 1 reflect the concentration of the CAZ in  
180 the 4:1 ratio formulation. For MIC determinations where the efficacy of ATM addition was  
181 assessed, ATM was kept at a fixed concentration of either 8, 16, 32 or 64  $\mu\text{g/ml}$ , and the

182 CAZ/AVI was added in doubling dilutions. These concentrations of ATM were chosen as they  
183 represent the expected serum concentrations of the monobactam when delivered in 1 and 2 gram  
184 doses throughout the dosing interval, particularly the 8 and 16  $\mu\text{g/ml}$  doses. Single 30 minute  
185 intravenous infusions of 1 and 2 gram doses of ATM produce peak serum levels immediately  
186 after administration of 54 and 90  $\mu\text{g/ml}$ , and 3 and 6  $\mu\text{g/ml}$  at 8 h (end of the dosing interval),  
187 respectively (Azactam package insert).

188 All 21 isolates were resistant to CAZ and CAZ/AVI alone by agar dilution MIC and disk  
189 diffusion assay, and all demonstrated a reduction in CAZ/AVI MICs with the addition of ATM  
190 (Table 1) in a dose dependent fashion. Notably, one *K. pneumoniae* and four *E. coli* isolates  
191 demonstrated MIC values that remained at or above the resistance breakpoint for CAZ/AVI  
192 alone ( $\geq 16/4 \mu\text{g/ml}$ ) after the addition of ATM; genome sequencing is being performed on these  
193 isolates to further explore the underlying mechanism of this resistance. However, addition of  
194 ATM at higher concentrations of 32 and 64  $\mu\text{g/ml}$  for all 5 isolates brought them into the  
195 susceptible MIC range for CAZ/AVI alone ( $S \leq 8/4 \mu\text{g/ml}$ ), Table 1. Remarkably, results  
196 presented herein accurately reflect the genetic backgrounds established in these isolates, and as  
197 anticipated, the CAZ/AVI with ATM combination worked especially well in NDM producing  
198 strains.

199 The time-kill curves detail the bactericidal activity of the combination of CAZ/AVI and  
200 ATM against the MBL containing *K. pneumoniae* 1.41 isolate. ATM at a fixed concentration of  
201 8  $\mu\text{g/ml}$  was added to varying sub lethal concentrations of CAZ/AVI ranging from 8  $\mu\text{g/ml}$  CAZ:  
202 2  $\mu\text{g/ml}$  AVI down to 1  $\mu\text{g/ml}$  CAZ: 0.25  $\mu\text{g/ml}$  AVI; corresponding to 4 different MIC  
203 multiples of the CAZ/AVI plus ATM agar MIC of 1  $\mu\text{g/ml}$ . Time-kill kinetics showed a time

204 dependent decrease in CFU/ml from 1 to 24 hours (Figure 2). A  $\geq 4 \log_{10}$  CFU decrease was  
205 observed by 2 h for all combination concentrations and by 6 h, approximately 5  $\log_{10}$  reductions  
206 were observed, when compared to the growth control to which antibiotics were not added. After  
207 24 h there was minimal regrowth observed for all combinations, still keeping a 3  $\log_{10}$  decrease  
208 as compared to  $t = 0$  h, with the exception of the 8x MIC concentration that showed  
209 approximately a 6  $\log_{10}$  decrease.

210 We next evaluated the *in vivo* antibacterial efficacy of CAZ/AVI in combination with  
211 ATM in a mouse thigh infection model using the MBL producing *K. pneumoniae* 1.41 isolate.  
212 The results are presented in Tables 2 and Figure 3. Both CAZ alone (256 mg/kg) and CAZ/AVI  
213 alone (256/64 and 128/32 mg/kg) resulted in 3.1-, 4.7-, and 3.7-log CFU reductions respectively,  
214 as compared to the untreated control group at 24 h. The lower dose of either CAZ or CAZ/AVI  
215 were less effective. ATM alone at doses from 64 – 256 mg/kg q8h exhibited minimal efficacy  
216 (1.16 – 1.99 log CFU reduction).

217 Our data in Table 2 show that in this model, the addition of ATM to the CAZ/AVI  
218 treatment regimen results in significant enhancement in the reduction of infection as measured  
219 by CFUs/thigh when compared to either agent alone and the untreated controls. Doses of 32/8  
220 mg/kg q8h CAZ/AVI (4:1 ratio) plus 32 mg/kg q8h ATM reduced bacterial thigh titers by 3.95  
221 log CFU below the 24 hr no antibiotic controls ( $p=0.0016$ ), 3.79 log CFU below those of 32/8  
222 mg/kg q8h CAZ/AVI alone ( $p=0.003$ , data not shown) and 2.08 log CFU below ATM 64 mg/kg  
223 alone. Dosages of  $\leq 4/1$  mg/kg q8h CAZ/AVI (4:1 ratio) plus 4 mg/kg q8h ATM were unable to  
224 reduce the CFU count.

225

226 *Clinical Commentary*

227           A 72-year-old woman without significant medical history fell and sustained a fractured  
228 hip on an excursion. She was treated with a total hip arthroplasty performed in Eastern Europe  
229 and returned home within 2 weeks with a painful, red surgical site. The arthroplasty was  
230 removed in the United States and all intraoperative cultures grew carbapenem-resistant  
231 *Enterobacter cloacae* susceptible to colistin, intermediate to tigecycline (FDA breakpoint), and  
232 resistant to all other agents tested including fosfomycin. PCR and sequencing efforts revealed  
233 that the *Enterobacter cloacae* possessed *bla*<sub>NDM-1</sub>, Table 1. The culture also contained an ESBL-  
234 producing *Klebsiella pneumoniae* and *Enterococcus faecalis* (ampicillin and vancomycin  
235 susceptible). A hip spacer was not placed at this time, knowing further debridement would be  
236 necessary.

237           By day 4 of colistimethate sodium (colistin), tigecycline, and meropenem treatment,  
238 serum creatinine increased from 0.9 to 2.4 mg/dl. Colistimethate sodium was reduced to 1.5  
239 mg/kg every 36 h and meropenem was adjusted for declining renal function. The patient  
240 underwent repeat washout with cultures again yielding the carbapenem resistant *E. cloacae* and  
241 ESBL-producing *K. pneumoniae*. CAZ/AVI 1.25 g thrice daily was added to the antibiotic  
242 regimen; however, CAZ/AVI was discontinued after 4 days as the carbapenem resistant  
243 *Enterobacter cloacae* demonstrated *in vitro* resistance by disk diffusion (ATM 30 µg disk: 6 mm  
244 zone size, CAZ/AVI 50 µg disk: 18 mm zone size). Meropenem was soon discontinued due to  
245 lack of evidence of efficacy. With normalizing serum creatinine, colistimethate sodium dose was  
246 increased to 2mg/kg every 12 h.

247 Qualitative synergy in the *E. cloacae* isolate was demonstrated *in vitro* between  
248 CAZ/AVI and ATM (inhibition zone of CAZ/AVI disk was increased from 18 mm to 28 mm  
249 upon addition of ATM, Table 1. Therefore, the treatment regimen was modified so that the final  
250 3 weeks of her 6-week post-operative antibiotic course were comprised of colistimethate sodium,  
251 CAZ/AVI (2.5 g every 8 h), ATM (2 g every 8 h) and vancomycin. Six months after completion  
252 of antibiotics, the patient underwent successful final reimplantation of a total hip arthroplasty,  
253 with extensive inspection and washout. Six cultures were obtained from acetabular and femoral  
254 spaces. All were negative for the 3 organisms originally isolated.

#### 255 *Concluding remarks*

256 Based upon an understanding of the biochemical mechanism of action of these three  
257 agents, we show that the combination of ATM and CAZ/AVI is able to help overcome  
258 carbapenem and expanded-spectrum cephalosporin resistance in MBL producing strains of  
259 enteric bacteria. AVI is a very potent  $\beta$ -lactamase inhibitor of class A and C enzymes, and since  
260 most strains of *Enterobacter* spp. possess class C enzymes and possibly some class A ESBLs, we  
261 reasoned that AVI would prevent the hydrolysis of CAZ and ATM (12). Although AVI cannot  
262 restore susceptibility to MBL producing strains, ATM is not susceptible to hydrolysis by MBLs.  
263 By inhibiting class A and C  $\beta$ -lactamases with AVI, and using ATM to “bypass” the class B  
264 metallo- $\beta$ -lactamase, susceptibility can be restored leading to a successful microbiological and  
265 possible clinical outcome. Our clinical observation is supported by evidence from our *in vitro*  
266 investigations.

267 An unexpected benefit from using CAZ and ATM may arise by the simultaneous  
268 inhibition of multiple PBPs. CAZ has a high affinity for the penicillin-binding protein-3 (PBP-3)

269 and moderate affinity for the PBP-1a of certain Gram-negative organisms such as *E. coli* and *P.*  
270 *aeruginosa*. Binding to PBPs results in spheroplast formation followed by rapid lysis.  
271 Furthermore, AVI and developmental DBO inhibitors can also bind to certain PBPs (13-15).  
272 ATM is relatively PBP3 specific. The combination (CAZ/AVI and ATM) may completely affect  
273 the “divisome” of Gram-negative bacteria and have an independent impact on its own. Of note,  
274 the use of “double  $\beta$ -lactams” has some precedent in the literature. Overall, the existing data  
275 suggests that the potential benefit of this approach against Gram-negative pathogens should be  
276 explored carefully, especially given the recent availability of novel inhibitors and  $\beta$ -lactams (16).

277         There are important limitations that must be considered before uniform application of this  
278 promising combination: a) further animal testing with additional isolates and PK studies along  
279 with trials in humans are required before full endorsement by clinicians; and b) results from  
280 *Enterobacteriaceae* should not be extrapolated to non-fermenters without appropriate testing  
281 (studies in progress). At present, clinical experience using this combination against  
282 *Stenotrophomonas maltophilia* proved to be successful in a single case (17). Lastly, the  
283 possibility of cumulative toxicity from “double  $\beta$ -lactam” combinations must be considered  
284 when administering this regimen. However, one major advantage of using ATM is its safety  
285 profile. ATM is safe to use in patients with penicillin allergies, can be administered by prolonged  
286 or continuous infusion, and it is not associated with nephrotoxicity. The data presented here  
287 require us to carefully consider CAZ/AVI combined with ATM as a “new therapeutic  
288 opportunity” to treat infections caused by MBL producing strains, while recognizing the ongoing  
289 need for new antibiotics.

290

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310

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365 Figure Legend

366 Figure 1. ATM placed directly on CAZ/AVI disk to evaluate synergy, isolate *E. cloacae* 6.31  
367 was used in this assay.

368 Figure 2. Time kill curve for isolate *K. pneumoniae* 1.41. ATM concentrations were held  
369 constant at 8 µg/ml for all combinations with two exceptions: 1) the growth control (no  
370 antibiotics added), and 2) the CAZ/AVI NO ATM 8X MIC. Varying ceftazidime/avibactam  
371 (CAZ/AVI) concentrations were added corresponding to 1x (1 µg/ml CAZ:0.25 µg/ml AVI), 2x  
372 (2 µg/ml CAZ:0.5 µg/ml AVI), 4x (4 µg/ml CAZ:1 µg/ml AVI) and 8x (8 µg/ml CAZ:2 µg/ml  
373 AVI) the MIC of the combination CAZ/AVI and ATM obtained by the agar dilution (1µg/ml).  
374 Three replicates were conducted for each of the conditions reported in the time kill assay.

375 Figure 3. Individual and Mean Log<sub>10</sub> CFU/thigh counts for various antibiotic/β-lactamase  
376 inhibitor combinations in the neutropenic thigh infection model for *K. pneumoniae* 1.41 infected  
377 mice: 5 log<sub>10</sub> CFU/mouse, 5 mice per treatment group. LOQ: Limit of Quantitation; 2.35 log<sub>10</sub>  
378 CFU/thigh.

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383 Table 1. Evaluation of *in vitro* activity of CAZ/AVI plus ATM, by both disk diffusion and agar MIC testing. Genetic background of  
 384 isolates and inhibition zone diameters (in mm) of disks with CAZ, ATM, CAZ/AVI, and CAZ/AVI disks to which ATM was added is  
 385 presented. MIC values are in  $\mu\text{g/ml}$ .

Isolate	Known <i>bla</i> genes	Disk Diffusion Assay Results				Agar MIC Testing Results							
		CAZ 30 $\geq 21$ ; 18- 20: $\leq 17$ S, I, R CLSI	ATM 30 $\geq 21$ ; 18-20: $\leq 17$ S, I, R CLSI	CAZ/AVI 50 $\geq 21$ ; $\leq 20$ S, R FDA	CAZ/AVI with ATM*	CAZ ( $\mu\text{g/ml}$ ) $\leq 4$ ; 8; $\geq 16$ S, I, R CLSI	ATM ( $\mu\text{g/ml}$ ) $\leq 4$ ; 8; $\geq 16$ S, I, R CLSI	CAZ ( $\mu\text{g/ml}$ ) ATM at 16 $\mu\text{g/ml}$	CAZ/AVI ( $\mu\text{g/ml}$ ) $\leq 8/4$ ; $\geq 16/4$ S, R FDA	CAZ/AVI ( $\mu\text{g/ml}$ ) ATM at a constant 8 $\mu\text{g/ml}$	CAZ/AVI ( $\mu\text{g/ml}$ ) ATM at a constant 16 $\mu\text{g/ml}$	CAZ/AVI ( $\mu\text{g/ml}$ ) ATM at a constant 32 $\mu\text{g/ml}$	CAZ/AVI ( $\mu\text{g/ml}$ ) ATM at a constant 64 $\mu\text{g/ml}$
<i>Ec</i> DH10B	none	33	35	35	40 (5)	0.125	0.25	<0.0625	0.25	<0.0625	<0.0625	---	---
<i>Ecl</i> 6.31	NDM-1, CTX-M-15, ACT/MIR, OXA-48	6	6	14	26 (12)	>512	>512	>512	64	0.5	0.5	---	---
<i>Ecl</i> 6.43	NDM-1, CTX-M-15, ACT/MIR	6	6	17	25 (8)	>512	>512	>512	128	0.5	0.25	---	---
<i>Ecl joint</i> <i>inf</i>	NDM-1, CTX-M, TEM-1, AmpC**	6	6	18	28 (10)	>512	256	>512	128	0.5	0.25	---	---
<i>Ec</i> 8.68	NDM-1, CTX-M-15, CMY-2, TEM	6	6	19	21 (2)	>512	>512	>512	64	16	16	8	1
<i>Ec</i> 8.69	NDM-1, CTX-M-15, CMY-2, SHV-5	6	6	17	24 (7)	>512	>512	>512	64	8	4	---	---
<i>Ec</i> 8.70	NDM-1, CTX-M-15, CMY-2, TEM, SHV-5	6	6	20	22 (2)	>512	512	>512	64	16	4	0.5	0.25
<i>Ec</i> 8.71	NDM-1, CTX-M-15, CMY-2, TEM	6	6	17	20 (3)	>512	>512	>512	64	32	8	1	0.25
<i>Ec</i> 8.72	NDM-1, CTX-M-15, CMY-2, TEM	6	6	18	23 (5)	>512	>512	>512	64	8	2	---	---

<i>Ec</i> 8.73	NDM-1, CTX-M-15, CMY-2, TEM	6	6	17	20 (3)	>512	>512	>512	32	8	2	---	---
<i>Ec</i> 8.74	NDM-1, TEM	6	6	20	27 (7)	>512	2	<0.0625	64	0.0625	<0.0625	---	---
<i>Ec</i> 6728	NDM-1	6	15	18	18 (0)	>512	32	>512	64	16	4	0.125	0.06
<i>Kp</i> 1.41	NDM-1, CTX-M-15, DHA, SHV, TEM	6	6	17	32 (15)	>512	128	>512	64	1	0.5	---	---
<i>Kp</i> 1.42	NDM-1, CTX-M-15, SHV-12	6	6	17	27 (10)	>512	>512	>512	64	1	0.5	---	---
<i>Kp</i> 1.44	NDM-1, CTX-M-15, CMY-2, DHA, SHV, TEM	6	6	15	25 (10)	>512	>512	>512	128	16	8	8	4
<i>Kp</i> 1.50	NDM-1, CTX-M-15, SHV	6	6	20	30 (10)	>512	>512	>512	128	0.25	0.25	---	---
<i>Kp</i> 1.63	NDM-1, CTX-M-15, CMY-2, SHV TEM	6	6	15	30 (15)	>512	512	>512	256	1	0.125	---	---
<i>Kp</i> 6913	IMP, SHV	6	28	17	28 (0)	256	0.5	0.0625	128	<0.0625	<0.0625	---	---
<i>Kp</i> 11-01-13	NDM-1, CTX-M-15, SHV	6	6	17	30 (13)	>512	512	>512	128	1	0.5	---	---
<i>Pr</i> 6384	NDM-1, SHV	6	37	6	34 (-3)	512	0.0625	<0.0625	256	<0.0625	<0.0625	---	---
<i>Pr</i> 1.27	NDM-1, CMY-2, DHA	6	14	10	20 (6)	>512	64	>512	>512	32	16	---	---
<i>Mm</i> 1.39	NDM-1, CTX-M-15, DHA	6	12	10	25 (13)	>512	256	>512	512	0.125	<0.0625	---	---

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387 \*Data presented in Table 1 is the zone diameter of the CAZ/AVI disk to which ATM was added. In parenthesis is the difference

388 between this zone size and the zone of inhibition of either CAZ/AVI or ATM alone, whichever of the two was greater. Isolates in this

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389 Table were available from the laboratories of the authors and characterized previously (8). \*\*Presumed AmpC. CAZ/AVI 50 =  
390 ceftazidime 30 µg/avibactam 20 µg; ATM 30 = aztreonam 30 µg; CAZ 30 = ceftazidime 30 µg. Interpretative criteria for CAZ and  
391 ATM, MICs were according to the Clinical Laboratory Standards Institute (CLSI) guidelines (9). For CAZ/AVI, MICs were  
392 interpreted according to FDA guidelines from the package insert. CAZ S ≤ 4, I = 8, R ≥ 16; ATM S ≤ 4, I = 8, R ≥ 16; CAZ/AVI S ≤  
393 8/4, R ≥ 16/4 µg/ml. The values reported for CAZ/AVI reflect the concentration of the CAZ in the 4:1 ratio formulation. Green font  
394 indicates susceptibility, and red font indicates resistance based upon the interpretive guidelines. Abbreviations: *Ec* is *Escherichia coli*;  
395 *Ecl* is *Enterobacter cloacae*; *Kp* is *Klebsiella pneumoniae*; *Pr* is *Providencia rettgeri*; and *Mm* is *Morganella morganii*.

396 Table 2. Log<sub>10</sub> CFU/thigh counts for various antibiotic/ $\beta$ -lactamase inhibitor combinations in the  
 397 neutropenic thigh infection model for *K. pneumoniae* 1.41 infected mice: 5 log<sub>10</sub> CFU/mouse, 5  
 398 mice per treatment group. Bold font indicates a statistically significant value.

Test Article(s)	mg/kg SC q8h (5 mice per treatment)	Mean Log <sub>10</sub> CFU/thigh $\pm$ SD	Change from control at 24 h no antibiotics (log <sub>10</sub> CFU)	Tukey adjusted p-value (treatment vs 24- hour control)
24 h infection control	na	8.46 $\pm$ 1.15	na	na
CAZ	256	5.37 $\pm$ 1.17	<b>-3.08</b>	<b>0.0405</b>
	128	6.12 $\pm$ 1.34	-2.34	0.3152
	64	6.35 $\pm$ 1.57	-2.11	0.4909
ATM	256	7.30 $\pm$ 1.47	-1.16	0.9912
	128	6.46 $\pm$ 1.80	-1.99	0.5873
	64	6.59 $\pm$ 2.02	-1.86	0.6936
CAZ/AVI	256/64	3.77 $\pm$ 0.18	<b>-4.68</b>	<b>&lt; 0.0001</b>
	128/32	4.75 $\pm$ 1.00	<b>-3.70</b>	<b>0.0042</b>
	64/16	6.23 $\pm$ 1.93	-2.22	0.4018
	32/8	8.30 $\pm$ 0.88	-0.16	> 0.9999
CAZ/AVI/ATM	32/8/32	4.51 $\pm$ 0.42	<b>-3.95</b>	<b>0.0016</b>
	16/4/16	6.88 $\pm$ 1.63	-1.58	0.8818
	8/2/08	6.57 $\pm$ 1.77	-1.88	0.6777
	4/1/04	8.47 $\pm$ 0.85	0.02	> 0.9999

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