



Review

Entrance-length dendritic plate heat exchangers

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ABSTRACT

Here we explore the idea that the highest heat transfer rate between two fluids in a given volume is achieved when plate channel lengths are given by the thermal entrance length, i.e., when the thermal boundary layers meet at the exit of each channel. The overall design can be thought of an elemental construct of a dendritic heat exchanger, which consists of two tree-shaped streams arranged in cross flow. Every channel is as long as the thermal entrance length of the developing flow that resides in that channel. The results indicate that the overall design will change with the total volume and total number of channels. We found that the lengths of the surfaces swept in cross flow would have to decrease sizably as number of channels increases, while exhibiting mild decreases as total volume increases. The aspect ratio of each surface swept by fluid in cross flow should be approximately square, independent of total number of channels and volume. We also found that the minimum pumping power decreases sensibly as the total number of channels and the volume increase. The maximized heat transfer rate per unit volume increases sharply as the total volume decreases, in agreement with the natural evolution toward miniaturization in technology.

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1. Technology evolution

Technology evolution [1,2] is emerging as the most common manifestation of the universal tendency toward evolutionary design in nature, which in physics is summarized as the constructal law. Technology evolves visibly in our lifetime, and reveals the physics meaning of the evolution phenomenon: evolution means

changes that occur freely in a flow architecture over time, in a discernable direction in time from the point of view of the observer. The movie tape of evolutionary images runs in one direction, forward. Think of evolution as the phenomenon of geometric irreversibility.

The physics of evolution had its start in engineering [2,3]. Since 1996, this physics phenomenon and law have guided theoretical and applied investigations that feed an active field that continues to grow. To review the field is not the present objective, because

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kind was on two flow combs ‘interdigitated’ in the same plane [2,41].

To start, assume that in every channel the flow and heat transfer are by laminar forced convection, and the working fluids under consideration have constant transport properties and Prandtl numbers comparable to 1. Thus, along the entrance length the velocity boundary layer thickness is essentially the same as the thermal boundary layer thickness. For two parallel walls of flow length L_{flow} and spacing D , the classical result for the flow entrance length is (cf. Ref. [39], Section 3.1)

$$\frac{L_{\text{flow}}}{D} \sim f_1 Re_D \quad (1)$$

where $Re_D = UD/\nu$ and the factor f_1 is of order 10^{-2} . Considering that the fluid mean velocity is $U = \dot{m}/(\rho DL_{\text{long}})$, where \dot{m} is the mass flow rate, and L_{long} is the long side of the flat rectangular (slit) cross section of the channel, Eq. (1) becomes:

$$\frac{L_{\text{flow}}}{D} \sim f_1 \frac{\dot{m}}{\mu L_{\text{long}}} \quad (2)$$

Oriented in cross-flow is the warm stream (\dot{m}), which is shown flowing vertically in Fig. 1. The warm stream flows through n channels, each of flow length H , spacing b , width X , and mass flow rate \dot{m}/n . For the large cold channel (LaH), Eq. (2) states that

$$\frac{L}{a} \sim f_1 \frac{\dot{m}}{\mu H} \quad (3)$$

Similarly, for the large warm channel (LcX) we write

$$\frac{L}{c} \sim f_1 \frac{\dot{m}}{\mu X} \quad (4)$$

For each of the n branches of this channel, Eq. (2) requires

$$\frac{X}{W} \sim f_1 \frac{\dot{m}}{n\mu H} \quad (5)$$

For each of the n warm channels, we write similarly

$$\frac{H}{b} \sim f_1 \frac{\dot{m}}{n\mu X} \quad (6)$$

The dimensions of this three-dimensional construct are related through two geometric constraints,

$$n(b + W) = L \quad (7)$$

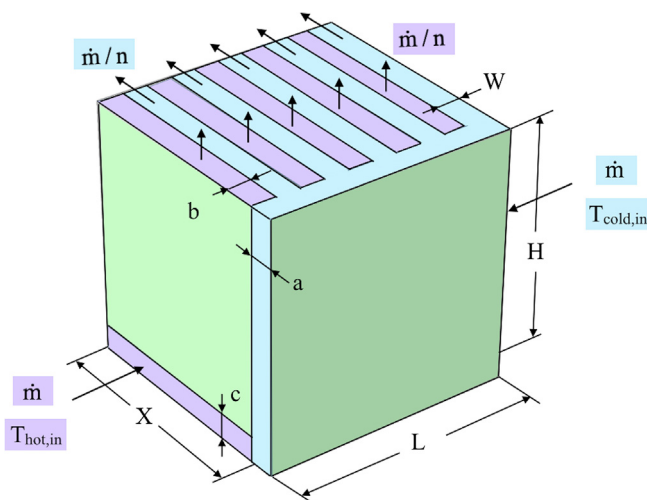


Fig. 1. Three-dimensional flow construct with two streams in cross flow.

$$L(H + c)(X + a) = V \quad (8)$$

where V is the specified volume of the construct.

To summarize, the construct is described by eight dimensions (L, H, X, a, b, c, W, n) and six equations, Eqs. (3)–(8), which means that it has two degrees of freedom. Next, Eqs. (3)–(8) are placed in dimensionless form by recognizing on the right side of Eqs. (3)–(5) the length scale

$$L_{\text{scale}} \sim f_1 \frac{\dot{m}}{\mu} \quad (9)$$

and defining the dimensionless variables

$$(\tilde{L}, \tilde{a}, \tilde{b}, \tilde{c}, \tilde{W}, \tilde{X}) = (L, a, b, c, W, X)/L_{\text{scale}} \quad (10)$$

$$\tilde{V} = V/L_{\text{scale}}^3 \quad (11)$$

Eqs. (3)–(8) reduce to six dimensionless equations

$$\xi \frac{\tilde{L}^2}{\tilde{a}} = 1 \quad (12)$$

$$\eta \frac{\tilde{L}^2}{\tilde{c}} = 1 \quad (13)$$

$$\xi n \frac{\tilde{X}\tilde{L}}{\tilde{W}} = 1 \quad (14)$$

$$\xi n \frac{\tilde{X}\tilde{L}}{\tilde{b}} = 1 \quad (15)$$

$$n(\tilde{b} + \tilde{W}) = \tilde{L} \quad (16)$$

$$\tilde{L}(\xi\tilde{L} + \tilde{c})(\eta\tilde{L} + \tilde{a}) = \tilde{V} \quad (17)$$

where ξ and η are the two aspect ratios of the three dimensional body,

$$\xi = \frac{H}{L} \quad \eta = \frac{X}{L} \quad (18)$$

Assuming that $X \gg a$, and $H \gg c$, such that Eq. (8) is replaced by $V \cong XLH$, the solution to this system of equations is:

$$\tilde{L} = \left(\frac{\tilde{V}}{\xi\eta} \right)^{1/3} \quad (19)$$

$$\tilde{a} = \xi^{1/3} \eta^{-2/3} \tilde{V}^{2/3} \quad (20)$$

$$\tilde{b} = n\xi^{1/3} \eta^{1/3} \tilde{V}^{2/3} \quad (21)$$

$$\tilde{c} = \xi^{-2/3} \eta^{1/3} \tilde{V}^{2/3} \quad (22)$$

$$\tilde{W} = n\xi^{1/3} \eta^{1/3} \tilde{V}^{2/3} \quad (23)$$

For identical working fluids, $b = W$ as shown in Eqs. (21) and (23). The validity domain of the simplifying assumptions, $X \gg a$, and $H \gg c$, will be discussed at the end of Section 5.

4. Pumping power

The pumping power required by the device is the sum of three penalties, each of the form $(\dot{m}/\rho)\Delta P$, where \dot{m} and ΔP are the mass flow rate and pressure drop of the particular channel. There are four channel sizes, indicated in Fig. 1 by the spacings a, c, W and b . Each pressure drop for laminar flow over a length comparable with the entrance length has the same scale as for fully developed flow (cf. Ref. [39], pp. 130–132),

$$\Delta P \sim v \frac{(\text{length of channel})(\text{mass flow rate})}{(\text{spacing})^4} \quad (24)$$

In sum, the total pumping power requirement (\tilde{P}) reduces to the dimensionless expression

$$\frac{\dot{W}pL_{\text{scale}}^3}{vm^2} \sim \frac{\tilde{L}}{\tilde{a}^4} + \frac{\tilde{X}}{n^2\tilde{W}^4} + \frac{\tilde{L}}{\tilde{c}^4} + \frac{\tilde{H}}{n^2\tilde{b}^4} = \tilde{P} \quad (25)$$

where the four terms account, in order, for the channels of spacings a , W , c and b . In view of the solution (19)–(23), Eq. (25) becomes

$$\tilde{P} = \frac{\eta^{7/3}}{\xi^{5/3}\tilde{V}^{7/3}} + \frac{1}{n^6\xi^{5/3}\eta^{2/3}\tilde{V}^{7/3}} + \frac{\xi^{7/3}}{\eta^{5/3}\tilde{V}^{7/3}} + \frac{16n^2\xi^2\eta}{r^4\tilde{V}} \quad (26)$$

The factor r is shorthand for

$$r = \frac{2b}{b+W} = 2(1 - n^2\xi^{2/3}\eta^{2/3}\tilde{V}^{1/3}) \quad (27)$$

which is approximately equal to 1 when the channel spacings b and W are comparable in size.

The right side of Eq. (26) is a dimensionless function of four variables, $\tilde{P}(\xi, \eta, n, \tilde{V})$, which is proportional to the pumping power required by the whole construct, Fig. 1. We studied the behavior of this function by first assuming $\tilde{V} = 1$ and $n = 4$, and then varying one parameter, for example η , while holding ξ fixed, as shown in Fig. 2. The condition $r > 0$ is satisfied by the (ξ, η) range covered in this figure.

Each curve reveals a minimum, \tilde{P}_m , which is reached at a value η_{opt} that depends on the assumed value of ξ . These results are summarized in Fig. 3 where, again, $\tilde{V} = 1$ and $n = 4$. The \tilde{P}_m curve shown in Fig. 3 has its own minimum ($\tilde{P}_{\text{mm}} \cong 3.61$) at $\xi_{\text{opt}} \cong 0.061$, which corresponds to $\eta_{\text{opt}} \cong 0.052$. These three values, \tilde{P}_{mm} , ξ_{opt} and η_{opt} , are the results that we record for the assumed parameters $n = 4$ and $\tilde{V} = 1$. Next, we repeated the procedure of Figs. 2 and 3 for other (n, \tilde{V}) pairs. First, we kept $\tilde{V} = 1$, and used larger n values, such as 8 and 16. Fig. 4 shows how the solution (\tilde{P}_{mm} , ξ_{opt} , η_{opt}) varies with n for constant \tilde{V} values.

Finally, we repeated the entire procedure of Figs. 2–4 for additional values of \tilde{V} . The results that emerged in Fig. 4 shows how the

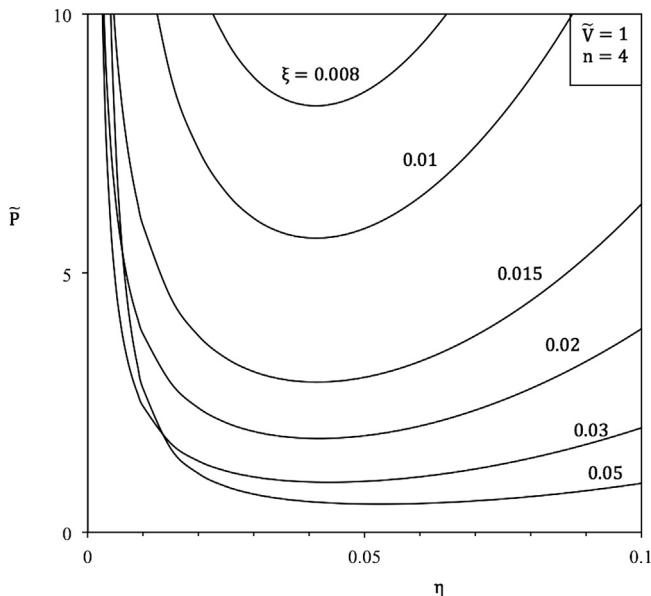


Fig. 2. The minimization of the overall pumping power requirement \tilde{P} versus η , at fixed (ξ, n, \tilde{V}) .

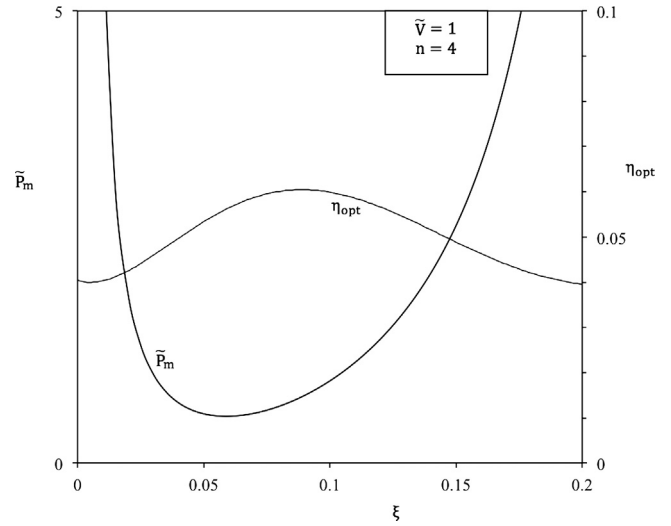


Fig. 3. Further minimization of the minimized pumping power requirement (\tilde{P}_m) by varying ξ at fixed (n, \tilde{V}) .

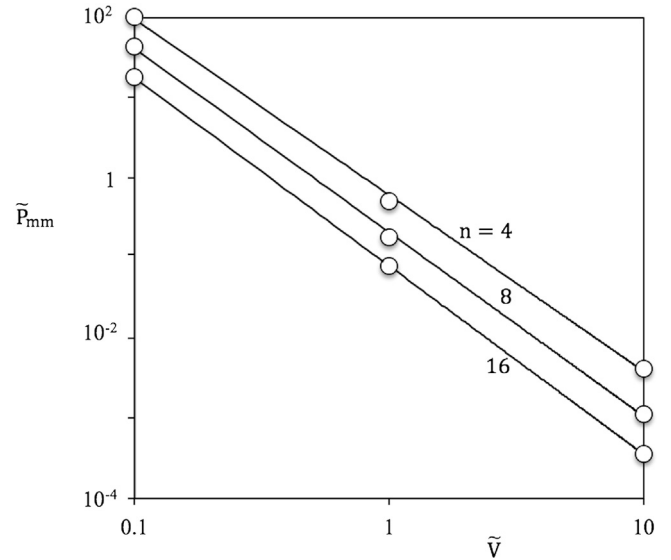


Fig. 4. The twice-minimized pumping power (\tilde{P}_{mm}) and the optimal shape of the three-dimensional construct (ξ_{opt} , η_{opt}) for assumed values of n and \tilde{V} .

solution (\tilde{P}_{mm} , ξ_{opt} , η_{opt}) depends on both n and \tilde{V} . The results are correlated as power laws,

$$\tilde{P}_{\text{mm}} = (4.06 \pm 0.75)n^{-1.4}\tilde{V}^{-2.3} \quad (28)$$

$$\xi_{\text{opt}} = (0.5 \pm 0.08)n^{-1.6}\tilde{V}^{-0.18} \quad (29)$$

$$\eta_{\text{opt}} = (0.31 \pm 0.057)n^{-1.4}\tilde{V}^{-0.24} \quad (30)$$

Worth noting is that the ξ_{opt} and η_{opt} correlations are similar with respect to the effect of n and \tilde{V} . Indeed, the power-law correlation of the values of the ratio $\eta_{\text{opt}}/\xi_{\text{opt}}$ shows a very weak dependence on n and \tilde{V} :

$$\left(\frac{\eta}{\xi}\right)_{\text{opt}} = (0.66 \pm 0.1)n^{0.18}\tilde{V}^{-0.06} \quad (31)$$

For the (n, \tilde{V}) range covered by the present results, the ratio $(\eta/\xi)_{\text{opt}}$ is practically constant and of order 1. The scaling result

$\eta_{\text{opt}} \sim \xi_{\text{opt}}$ translates into a rule of geometric similarity for all entrance-length heat exchangers, large or small:

$$H \sim X \quad (32)$$

This means that the surfaces swept by the cross flow of mini-streams (\dot{m}/n) should be square for the case of a balanced heat exchanger.

5. Heat transfer

The heat transfer rate between the two streams \dot{m} in cross flow can be determined via scale analysis. The scale of the local temperature difference across any heat transfer surface element is set by the difference between the two inlet temperatures, $\Delta T = T_{\text{hot,in}} - T_{\text{cold,in}}$. The heat flux scale is $q'' \sim k\Delta T/W \sim k \Delta T/b$. The surfaces of the small channels add up to $2nXH$. The spots of the large cold channel (LaH) that come in contact with warm channels contribute the contact surface nbH . The total heat transfer rate between the two streams is

$$q \sim (2nXH + nbH)k \frac{\Delta T}{W} \quad (33)$$

The second term in parentheses is negligible when $b < 2X$, and after using $W = L/n$ and Eqs. (29) and (30), the q expression (33) becomes

$$\frac{q}{2k\Delta T L_{\text{scale}}} \cong 0.3\tilde{V}^{0.05} \quad (34)$$

This shows that the total heat transfer rate in the 'entrance length' design is essentially independent of n and \tilde{V} .

The corresponding result for the heat transfer density q/V is

$$\frac{q/V}{2k\Delta T/L_{\text{scale}}^2} \cong 0.3\tilde{V}^{-0.95} \quad (35)$$

In conclusion, the maximized heat transfer density is insensitive to n , and increases sensibly as the size (V) decreases. In other words, this design shows that the trend toward *miniaturization* should be expected, in accord with other evolutionary designs that define the constructal-law field [1]. This conclusion holds for $b < 2X$, which after using Eqs. (21), (29) and (30) translates into $n^{1.2}\tilde{V}^{0.88} \leq 10$. In other words, when n is of order 10, \tilde{V} must be smaller than 1, i.e., toward the left side of the data plotted in Fig. 4.

6. Material volume

In most studies on novel heat exchangers the pumping costs are considered equal to the overall cost of the heat exchanger, neglecting the cost of the material and construction [42]. For a thorough design study of the dendritic object (Fig. 1) the material costs are investigated in this section. The expense is directly proportional to the amount of material. For applications in geothermal power generation, the heat exchangers are made of carbon steel or duplex stainless steel at a cost that cannot be simply neglected. The amount of this alloy material is a key parameter that follows from the dimensions determined above.

Under the approximations $c \ll H$ and $a \ll X$, the total volume is $V = LHX$, and the volume of solid material is

$$\frac{V_s}{t} = (2n + 1)HX + n(bH + WX) \quad (36)$$

where t is the thickness of the wall that separates two adjacent channels. Assume that t is a known constant, and note that t must satisfy the inequalities $t \ll b$ and $t \ll W$.

The ratio $\sigma = V_s/V$ is the solid volume fraction (the 'solidity') of the structure determined in Fig. 1. Its value is estimated by using Eqs. (19), (29) and (30) for \tilde{L} , ξ and η . The resulting expression is

$$\sigma = 2\tilde{t}\tilde{V}^{-0.473} \quad (37)$$

where $\tilde{t} = t/L_{\text{scale}}$. The σ value must meet two conditions simultaneously. First, σ must be smaller than 1, and this places a condition that the wall thickness must satisfy:

$$\tilde{t} < \frac{1}{2}\tilde{V}^{0.473} \quad (38)$$

In view of the conclusion that \tilde{V} must be smaller than 1, reached at the end of Section 5, Eq. (38) requires \tilde{t} to be smaller than 1 as well.

Second, recall that t must be smaller than both b and W . Writing $t < (b, W)$ and using Eqs. (21) and (23), we obtain

$$\tilde{t} < n\xi^{1/3}\eta^{1/3}\tilde{V}^{2/3} \quad (39)$$

which after using Eqs. (29) and (30) reduces to

$$\sigma < 0.577\tilde{V}^{0.053} \quad (40)$$

Because \tilde{V} is smaller than 1, the solidity σ is also smaller than 1. In summary, the calculation of the solid volume fraction of the dendritic construct is consistent with the \tilde{V} domain of validity of the dimensions developed in Sections 3–5. For the thermoeconomics analysis developed next, it is sufficient to account for the material volume as the product σV , where σ is a known constant factor smaller than 1.

There are two additional costs that compete with the cost of the amount of material. One is the pumping power \dot{W}_p , which after Eqs. (9), (26) and (28) scales as

$$\dot{W}_p \sim \frac{V\mu^3}{\rho\dot{m}} n^{-1.4}\tilde{V}^{-2.3} \quad (41)$$

The other cost is the loss of useful power associated with the heat transfer irreversibility of q flowing across the temperature gap ΔT , namely $\dot{W}_{\text{HT}} = q\Delta T/T$, where T is the thermodynamic temperature level at which the device functions. After using Eqs. (9) and (29) and neglecting constant dimensionless factors, the heat-transfer power loss becomes

$$\dot{W}_{\text{HT}} \sim \frac{q^2\mu}{kT\dot{m}} \tilde{V}^{-0.05} \quad (42)$$

Noteworthy is that \dot{W}_p and \dot{W}_{HT} are smaller when the device is larger. This is the universal physics phenomenon of economies of scale [43,44], and it is more pronounced in the behavior of \dot{W}_p with respect to the size \tilde{V} . This trend is oriented against the behavior of the cost of material, which increases with \tilde{V} . In future applications of the dendritic design concept this conflict is the trade off from which emerges the size \tilde{V} for which the total cost (material, \dot{W}_p , \dot{W}_{HT}) is minimal. To complete this thermoeconomics optimization calculation [42], one has to assign appropriate per-unit costs to the material amount σV , \dot{W}_p and \dot{W}_{HT} .

$$C_{\text{TOT}} = C_M\sigma V + C_P\dot{W}_p + C_{\text{HT}}\dot{W}_{\text{HT}} \quad (43)$$

where C_M , C_P and C_{HT} are per-unit costs, and C_{TOT} is the total cost. In dimensionless form, Eq. (4) reads

$$\frac{C_{\text{TOT}}}{C_M\sigma L_{\text{scale}}^3} = \tilde{V} + K_P n^{-1.4}\tilde{V}^{-2.3} + K_{\text{HT}}\tilde{V}^{-0.05} \quad (44)$$

where

$$K_P = \frac{C_P V \mu^3}{C_M \sigma L_{\text{scale}}^3 \rho \dot{m}} \quad (45)$$

$$K_{HT} = \frac{C_{HT} q^2 \mu}{C_M \sigma I_{scale}^3 k T \dot{m}} \quad (46)$$

In Eq. (44), the dominant effect on the total cost is due to the size changes in the first two terms. Approximating that the third term is constant in the \tilde{V} range of interest, and minimizing the total cost by varying V we obtain the ‘thermoeconomic’ size of the flow system:

$$\tilde{V}_{size} = 1.3 K_p^{0.3} n^{-0.42} \quad (47)$$

7. Concluding remarks

The fundamental aspect of this constructal design is that “scaling-up” does not consist of magnifying (multiplying by the same factor) all the dimensions of the small design. It means to know the physics principle that governs the small design, and to use the same principle to morph, to evolve, and to discover the architecture of the larger design.

The main conclusion is that we should expect the design to change as the size (V) changes, and as the complexity (n) changes. For example, the lengths of the surfaces swept in cross flow (H/L and X/L) should decrease sizably as n increases, while exhibiting mild decreases as V increases. The aspect ratio of each surface swept by fluid in cross flow should be approximately square, independent of n and V . The minimum pumping power (\dot{W}) decreases sensibly as n and V increase. The maximized heat transfer rate per unit volume increases sharply as the size (V) decreases. This trend is in accord with the constructal-law evolution toward miniaturization in technology [1].

Looking ahead, the design concept proposed in this paper can be refined further by taking into account the effect of local pressure losses, which was neglected in Section 4. According to Ref. [40] (p. 13), the local pressure loss on a channel that undergoes an abrupt change (contraction, expansion, elbow, junction) is

$$\Delta P_{local} = K \frac{1}{2} \rho U^2 \quad (48)$$

where K is a dimensionless factor of order 10^{-1} , and U is the mean fluid velocity in the channel. If the channel has the flow length L_{flow} and hydraulic diameter D_h , the pressure loss distributed along the channel (which was taken into account in Section 4) is

$$\Delta P_{distributed} = f \frac{4L_{flow}}{D_h} \frac{1}{2} \rho U^2 \quad (49)$$

The local pressure loss is smaller than the distributed pressure loss when, after dividing Eq. (48) by Eq. (49), and using the dimensions of the channel of length X and spacing W (or H and b , for the other stream),

$$\frac{K2W}{f4X} < 1 \quad (50)$$

This inequality can be rewritten in terms of the results of the present study, i.e., by using in order Eqs. (18), (19), (23), (29) and (30), and writing that for a channel between parallel plates the friction factor is $f = 24/Re_{D_h}$. The inequality (50) becomes

$$Re_{D_h} n^{0.4} \tilde{V} \lesssim \frac{52}{K} \quad (51)$$

where the right hand side is of order 500. This inequality establishes the domain of validity of the assumption that local pressure losses are negligible. This assumption becomes more accurate as Re_{D_h} , n and \tilde{V} decrease individually or together. For example, if $\tilde{V} = 0.1$ (Fig. 4) and $n = 10$, local pressure losses can be neglected when Re_{D_h} is less than 2000, which covers the entire laminar flow regime.

If the volume size is larger, $\tilde{V} = 1$, then local pressure losses are negligible when $Re_{D_h} < 200$, which represents the regime of operation of low Reynolds number heat exchangers.

Conflict of interest

The authors declare that there is no conflict of interest.

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