


# BMJ Open Use of Patient-Reported Outcomes Measurement Information System (PROMIS) measures to characterise health status for patients seeking care from an orthopaedic provider: a retrospective cohort study

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## ABSTRACT

**Objectives** Characterise the health status of patients newly consulting an orthopaedic specialist across eight clinical subspecialties.

**Design** Retrospective cohort.

**Setting** 18 orthopaedic clinics, including 8 subspecialties (14 ambulatory and 4 hospital based) within an academic health system.

**Participants** 14 910 patients consulting an orthopaedic specialist for a new patient consultation who completed baseline Patient-Reported Outcomes Measurement Information System (PROMIS) measures associated with their appointment from 17 November 2017 to 13 May 2019. Patients were aged 55.72±5.8 years old, and 61.3% were female and 79.3% were Caucasian and 13.4% were black or African American. Patients who did not complete PROMIS measures or cancelled their appointment were excluded from the study.

**Primary outcome** PROMIS domains of physical function, pain interference, pain intensity, depression, anxiety, fatigue, sleep disturbance and the ability to participate in social roles.

**Results** Mean PROMIS scores for physical function were (38.1±9.2), pain interference (58.9±8.1), pain intensity (4.6±2.5), depression (47.9±8.9), anxiety (49.9±9.5), fatigue (50.5±10.3), sleep disturbance (51.1±9.8) and ability to participate in social roles (49.1±10.3) for the entire cohort. Across the clinical subspecialties, neurosurgery, spine and trauma patients were most profoundly affected across almost all domains and patients consulting with a hand specialist reported the least limitations or symptoms across domains. There was a moderate, negative correlation between pain interference and physical functioning ( $r=-0.59$ ) and low correlations between pain interference with anxiety ( $r=0.36$ ), depression ( $r=0.39$ ) as well as physical function and anxiety ( $r=-0.32$ ) and depression ( $r=-0.30$ ) and sleep ( $r=-0.31$ ).

**Conclusions** We directly compared clinically meaningful PROMIS domains across eight orthopaedic subspecialties,

## Strengths and limitations of this study

- This study demonstrated the direct comparison of health status using Patient-Reported Outcomes Measurement Information System (PROMIS) measures across eight orthopaedic clinical subspecialties, which was previously a challenge using legacy outcome measures.
- This study reported the association of eight clinically relevant PROMIS domains (six physical health and two mental health domains) within an orthopaedic cohort.
- We evaluated PROMIS measures at baseline only as part of routine clinical assessment associated with a new patient consultation with an orthopaedic specialist; no follow-up data were analysed in the context of downstream healthcare utilisation.
- The findings' generalisability is limited by data collected within a private health system setting that may not reflect other health systems' characteristics.

which would not have been possible with legacy measures alone. These results support PROMIS's utility as a common metric to assess and compare patient health status across multiple orthopaedic subspecialties.

## INTRODUCTION

To determine if a patient has achieved treatment success, it is insufficient to evaluate treatment results solely on medical history, physical findings, laboratory tests or imaging findings alone.<sup>1</sup> While these are essential clinical indicators, they may not reflect what is most important to a patient. Patient-reported outcome measures (PROMs) are additional indicators that come directly from the patient. PROMs may address more important

patient-centred outcomes about a patient's health status's physical, mental and social aspects. Change in health status can be one of the measures of 'success' from a patient's perspective after an orthopaedic procedure.<sup>2</sup> PROMs are increasingly being used as part of the clinical encounter to guide treatment decisions and determine intervention effectiveness.<sup>3</sup>

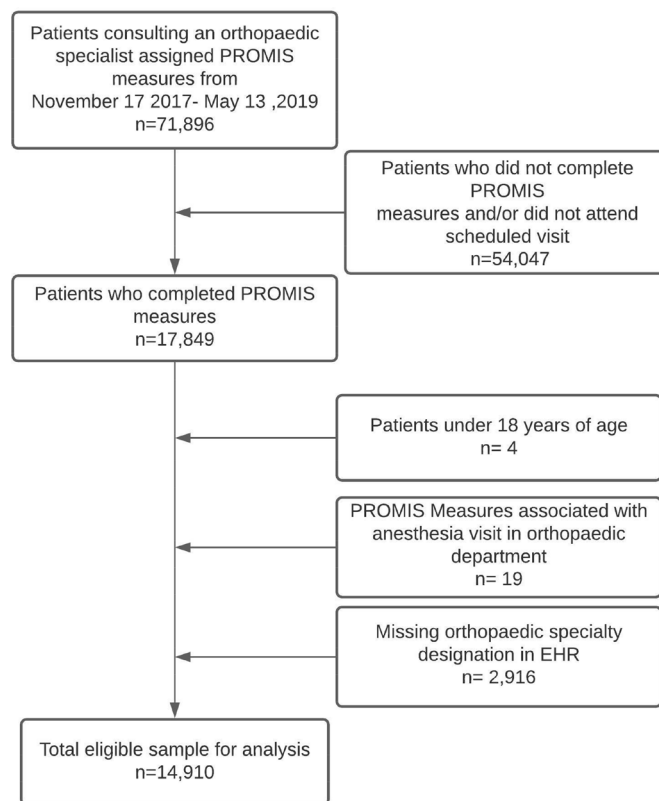
'Legacy' patient-reported outcome measures (PROMs) have been used for decades; however, they have many limitations.<sup>4</sup> To overcome the limitations of legacy measures, the NIH developed a universally accepted set of PROMs. The NIH's Patient-Reported Outcomes Measurement Information System (PROMIS) covers a broad range of relevant domains and has strong evidence for its validity and reliability in a broad range of populations.<sup>5-8</sup> In orthopaedics, the use of PROMIS measures has distinct advantages because it can be used across many clinical subspecialties as a common outcome metric.<sup>8-10</sup> This has the opportunity to allow for the evaluation of the efficacy of different interventions and inform quality improvement initiatives.<sup>11</sup>

Recently, there has been an increase in the adoption of PROMIS measures as the standard outcome measurement system in orthopaedics to assess health status in orthopaedic patients.<sup>8</sup> However, what is unknown about the use of PROMIS measures in orthopaedics is how these measures differ across patients seeking care from different orthopaedic clinical subspecialties. Moreover, there are limited data regarding the burden of disease in patients presenting to orthopaedic clinics for initial care. Therefore, there are two goals of this study. First, we will characterise the health status of a cohort of patients completing PROMIS measures as part of the clinical encounter by comparing the physical health (six domains) and mental health (two domains) across eight different clinical subspecialty areas in a large academic medical centre. Second, we will examine the correlation between the PROMIS domains in this cohort.

## METHODS

### Study setting and participants

Patients consulting an orthopaedic specialist (surgeon or advanced practice provider—nurse practitioner or physician assistant) for a new patient consultation from 17 November 2017 to 13 May 2019 were considered. In this study, patients sought care within the department of orthopaedic surgery at a large academic, private medical centre in Durham, North Carolina. Inclusion criterion for the study was patients who completed assigned PROMIS measures associated with the visit type of new patient appointment. We excluded patients from the study who (1) were under 18 years of age at time of appointment, (2) completed assigned PROMIS measures but cancelled or did not attend their scheduled appointments and (3) who attended a visit with a provider that was not classified as an orthopaedic specialist or a provider that did not have a provider specialty designation within the electronic



**Figure 1** Flow diagram for cohort selection. EHR, electronic health record; PROMIS, Patient-Reported Outcomes Measurement Information System.

health record (EHR). The department includes 18 adult clinics (14 ambulatory and 4 hospital-based clinics). See [figure 1](#) for study eligibility. The department consists of eight subspecialties (joint reconstruction, spine, neurosurgery, sports medicine, trauma, orthopaedic oncology, foot and ankle and hand) with over 100 orthopaedic specialists. We extracted all data for this study directly from the EHR.

### Standardised collection of PROMIS measures

In December 2017, the orthopaedics department implemented a standardised collection of PROMIS measures across 18 clinics and 8 clinical subspecialties. The administration of PROMIS measures was linked to new patient appointments and collected and scored passively within the EHR (Epic Systems) as part of the standard of care. Therefore, informed consent was not required for the completion of the PROMIS measures.

From November 2017 to May 2019, we collected the short-form version of the following eight PROMIS domains: physical function (seven items), pain interference (eight items), pain intensity (one item), depression (eight items), anxiety (eight items), fatigue (eight items), sleep disturbance (eight items) and ability to participate in social roles (eight items). On 20 December 2018, our health system transitioned to administering the computer adaptive testing (CAT) version of PROMIS domains instead of short form as the CAT instruments were then available within our EHR. As part of the transition

from short form to CAT, we reduced the set of PROMIS domains collected to physical function, pain interference, depression, and sleep disturbance. This change was done in response to feedback from clinicians regarding respondent burden with eight domains and perceived clinical utility of information gained. Studies to date suggest that CATs and short forms will produce very similar mean scores for a given sample and demonstrate that similar accuracy range with short forms is greater than four items such as in this study.<sup>12–14</sup> Therefore, we combined these scores with the respective PROMIS short form scores for the analysis.

The PROMIS physical function domain is a patient's self-reported capability (rather than actual performance) of physical activities. The physical function domain includes the functioning of one's upper extremities (dexterity), lower extremities (walking or mobility) and central regions (neck, back), as well as instrumental activities of daily living, such as running errands.<sup>15</sup> PROMIS pain interference measures the consequences of pain on relevant aspects of one's life. The pain interference domain includes the extent to which pain hinders engagement with social, cognitive, emotional, physical and recreational activities.<sup>16</sup> PROMIS pain intensity consists of one question, 'How would you rate your pain on average? (0–10, 0=no pain 10=worst imaginable)'.<sup>17</sup> PROMIS emotional distress domains included depression and anxiety. Depression measures negative mood (sadness, guilt), views of self (self-criticism, worthlessness) and social cognition (loneliness, interpersonal alienation), as well as decreased positive affect and engagement (loss of interest, meaning and purpose).<sup>18</sup> Anxiety domain measures fear (fearfulness, panic), anxious misery (worry, dread), hyperarousal (tension, nervousness, restlessness) and somatic symptoms related to arousal (racing heart, dizziness).<sup>19</sup> The PROMIS sleep disturbance perceptions measure sleep quality, sleep depth and restoration associated with sleep.<sup>20</sup> The PROMIS fatigue domain measures a range of symptoms, from mild subjective feelings of tiredness to an overwhelming, debilitating and sustained sense of exhaustion that likely decreases one's ability to execute daily activities and function normally in a family or social roles.<sup>21</sup> The PROMIS ability to participate in social roles and activities measures one's perceived ability to perform one's usual social roles and activities.<sup>22 23</sup>

Each PROMIS domain is scored separately on a T-score metric, where 50 is the mean and 10 is the SD of the calibration population. For all PROMIS domains (except sleep disturbance) included in this study, the calibration population is the US general population. A higher score on a domain reflects more of the measured concept (eg, more fatigue, more physical function). For example, a physical function score of 60 indicates that the sample's functioning is 1 SD better than the average US general population and lower score is less of the measured concept.<sup>5</sup> To increase the interpretability of findings, PROMIS scores can then be categorised into the categories of 'within normal limits' indicating less than a

0.5 SD from the mean; 'mild' indicating a score 0.5 SD from mean; 'moderate' indicating 1.0 SD from the mean and 'severe' indicating 2.0 SD from the mean. These categories were developed by evaluating the percentage of participants from large-scale calibration testing that would then fit into each category.<sup>10 24</sup>

### Patient demographics

Patient demographics recorded included patient age at the appointment, sex (male or female), race (American Indian or Alaskan Native, Asian, black or African American, Caucasian/white, Native Hawaiian or other Pacific Islander, not reported/declined, other and two or more races), ethnicity (Hispanic, non-Hispanic/non-Latino, not reported/declined), marital status (divorced, legally separated, life partner, married, single, unknown, widowed), geographical delineation (urban or rural) and primary and secondary insurance type (Medicare, Medicaid, workers compensation, private).

### Healthcare process variables

In this sample, we collected information related to the new patient consultation, including visit date, clinic location and type (ambulatory vs hospital based), provider type (orthopaedic physician or advanced practice provider—nurse practitioner or physician assistant) and provider specialty (joint reconstruction, spine, neurosurgery, sports medicine, trauma, orthopaedic oncology, foot and ankle and hand).

### Data analysis

We performed data analysis using R statistical software V.R 4.0.2.<sup>25</sup>

This study's primary purpose was to characterise the health status of patients seeking care from eight orthopaedic subspecialties in the department of orthopaedic surgery. We calculated descriptive statistics to characterise the cohort. Means and SD were reported for continuous variables and percentages were reported for categorical variables. Cohort characteristics were compared across clinical subspecialties using  $\chi^2$  analysis for categorical variables and one-way analyses of variance for continuous variables. We conducted ordinary least squares linear regression and reported the mean and 95% CI SD for PROMIS domain scores across each specialty and for the entire cohort. We included the variables of age, sex (male, female), race (collapsed into Caucasian/white, black/African American and other), ethnicity (collapsed into non-Hispanic, Hispanic and not reported) and instrument type (short form or CAT). These variables were included to control for the effects of differences in demographic factors and questionnaire type across specialties when comparing mean PROMIS scores. We then calculated the percentage of patients in the total cohort and each clinical subspecialty by severity categories for each PROMIS domain: within normal limits, mild, moderate and severe.<sup>10 24 26</sup> Lastly, we performed Pearson correlation analyses to determine the association of the eight

PROMIS domains in the cohort. We defined the magnitude of correlation as follows: low correlation—0.10–0.39, moderate correlation—0.40–0.69, high correlation—0.70–0.89 and very high correlation—0.90–1.00.<sup>27</sup>

### Patient and public involvement

There was no involvement from patients or members of the public in the design, or conduct, or reporting, or dissemination plans of this study.

## RESULTS

### Cohort demographics

Our study included 14 910 patients who consulted an orthopaedic specialist for a new patient consultation and completed baseline PROMIS measures. Of the entire sample, 61.3% (n=9137) were female with a mean age of 55.72 (15.8) years. Most of the samples reported being Caucasian (79.3% (n=11 831)) and 13.4% (n=2001) were black or African American. Our sample's self-reported ethnicity was 93.7% (n=13 976) non-Hispanic/non-Latino and 1.9% (n=285) Hispanic. The majority of patients in the sample were married (64.1%, n=9553). The geographic dispersion of the sample included 83.8% (n=12 488) residing in urban areas and 8.6% (n=1276) residing in rural areas in North Carolina. The primary insurance of the sample was predominantly private (90.3%, n=13 465) followed by Medicare (7.3%, n=1088) and Medicaid (2.1%, n=311).

The highest volume of patients in the sample sought care from a sports medicine provider (28.1%, n=4197) or a spine provider (20.3%, n=3028), followed by total joint (15.8%, n=2353), foot and ankle (14.8%, n=2208) and hand (12.5%, n=1858). Orthopaedic oncology, neurosurgery and trauma had fewer than 10% of the total volume of patients in the sample, see [table 1](#).

### PROMIS scores

As presented in [table 2](#), the adjusted mean scores for the PROMIS domains for the entire cohort were 38.14 (38.00, 38.28) for physical function, 58.84 (58.71, 58.98) for pain interference, 4.57 (4.53, 4.62) for pain intensity (on a 0–10 scale), 47.87 (47.73, 48.01) for depression, 49.85 (49.67, 50.03) for anxiety, 50.49 (50.29, 50.68) for fatigue, 51.08 (50.92, 51.24) for sleep disturbance and 49.06 (48.86, 49.25) for ability to participate in social roles. Higher pain interference and lower physical function were at least 0.5 and –1.0 SD away, respectively, from the average US general population.

[Table 3](#) provides more context to the range of observed health status scores by categorising scores into degrees of severity: within normal limits, mild, moderate and severe.<sup>10 24 26</sup> In this cohort, 24.9% of all patients reported their physical functioning within normal limits, the majority of patients (75%) reported mild, moderate or severe limitations in physical functioning. There is a similar trend for pain interference, where 73% of patients reported mild, moderate or severe limitations with pain

interference. The majority of the cohort reported within normal limits for the ability to participate in social roles (63.5%), fatigue (68.0%) and sleep disturbance (66.0%). For the mental health domains (anxiety and depression), across the samples, most patients reported normal limits for anxiety (69.6%) and depression (76.8%). Few patients reported severe symptoms of anxiety (1.7%) and depression (1.1%).

In [table 4](#), we examine the correlation of unadjusted PROMIS domains in the cohort. As expected, we found high correlations between depression and anxiety (r=0.76) and pain interference and pain intensity (r=0.75). Additionally, we found moderate correlations between commonly administered PROMIS domains in orthopaedics—physical function and pain interference (r=–0.60) and physical function and pain intensity (–0.52). There was a low correlation found between anxiety and pain intensity (r=0.30), anxiety and pain interference (r=0.36) and anxiety and physical function (r=–0.32). Similar trends were found with depression where there were low correlations between depression and pain intensity (r=0.29), depression and pain interference (r=0.39) and depression and physical function (r=–0.30).

### PROMIS scores by major clinical specialties

#### Foot and ankle

Patients consulting with a foot and ankle orthopaedic specialist scored less than 0.5 SD from the mean for all domains except for pain interference, where patients reported a mean score of 57.2 (8.6). When looking at the clinically interpretable categories for physical function, 30.7% of patients reported moderate limitations and 16.7% severe limitations. For pain interference, 39.4% reported moderate limitations and 3.2% reported severe limitations.

#### Hand

Patients who consulted with a hand orthopaedic specialist reported scores less than 0.5 SD from the mean for all domains except for pain interference and physical function. Patients reported a mean score of 55.7 (8.8) and 41.85 (9.5), respectively. For physical function, 26.4% of patients reported moderate limitations and 12.5% reported severe limitations.

#### Neurosurgery and spine

Patients either consulting with a neurosurgeon or a spine orthopaedic specialist reported between 1 SD and 1.5 SD from the US mean for pain interference and physical functioning. Neurosurgery patients reported higher levels of pain interference (61.53 (8.1)) compared with spine patients (57.23 (9.7)) and also reported more limitations in physical functioning (35.0 (9.0)) as compared with spine patients (38.35 (10.5)). For physical function, a majority of neurosurgery patients reported moderate (38.9%) and severe (31.8%) limitations and this was similar in spine patients (moderate 40.5%, severe 28.8%). For pain interference, most neurosurgery (59.7%) and

**Table 1** Sample characteristics by clinical speciality

Provider speciality	Foot and ankle (n=2208)	Hand (n=1858)	Neurosurgery (n=1044)	Orthopaedic oncology (n=124)	Spine (n=3028)	Sports medicine (n=4197)	Total joint arthroplasty (n=2353)	Trauma (n=98)	Total (n=14 910)	P value
Age (years)	56.72 (15.2)	54.63 (15.9)	56.11 (15.3)	55.18 (15.5)	57.2 (15.3)	52.68 (16.3)	59 (14.9)	56.18 (19.9)	55.72 (15.8)	<0.001
Gender										
Female	64.1% (1416)	61.3% (1138)	61.2% (639)	51.6% (64)	60.0% (1818)	60.3% (2532)	62.39% (1468)	63.3% (62)	61.3% (9137)	0.015
Male	35.9% (792)	38.8 (720)	38.8% (405)	48.4% (60)	40.0% (1210)	39.7% (1665)	37.61% (885)	36.7% (36)	38.7% (5773)	
Race										
Two or more races	1.2% (26)	1.7% (31)	0.9% (9)	0% (0)	1.4% (42)	1.3% (55)	1.0% (24)	3.1% (3)	1.3% (190)	0.01
American Indian or Alaskan Native	0.2% (4)	0.3% (6)	0.7% (7)	0.8% (1)	0.4% (11)	0.3% (14)	0.2% (4)	1.0% (1)	0.3% (48)	
Asian	1.9% (42)	2.1% (54)	2.0% (21)	2.4% (3)	2.2% (65)	2.8% (118)	1.3% (31)	1.0% (1)	2.2% (335)	
Black or African American	12.6% (278)	14.9% (278)	13.1% (137)	14.5% (18)	12.6% (380)	13.4% (564)	14.2% (333)	13.3% (13)	13.4% (2001)	
Caucasian/white	80.6% (1780)	77.6% (1441)	80.9% (845)	79.0% (98)	80.5% (2438)	78.0% (3274)	79.9% (1879)	77.6% (76)	79.3% (11 831)	
Native Hawaiian or other Pacific Islander	0.1% (1)	0.1% (2)	0.1% (1)	0% (0)	0.1% (2)	0.1% (4)	0.1% (2)	0% (0)	0.1% (12)	
Not reported/declined	2.7% (60)	2.0% (37)	1.5% (16)	2.4% (3)	2.3% (68)	3.2% (132)	2.6% (60)	1.0% (1)	2.5% (377)	
Other	0.8% (17)	0.5 (9)	0.7% (8)	0.8% (1)	0.7% (22)	0.9% (36)	0.9% (20)	3.1% (3)	0.8% (116)	
Ethnicity										
Hispanic	1.7% (37)	2.4% (45)	2.2% (23)	0.8% (1)	1.9% (57)	2.0% (85)	1.4% (32)	5.1% (5)	1.9% (285)	0.013
Non-Hispanic/Latino	94.4% (2085)	93.3% (1733)	94.8% (990)	92.7% (115)	94.0% (2846)	92.9% (3898)	94.3% (2218)	92.9% (91)	93.7% (13 976)	
Not reported/declined	3.9% (86)	4.3% (80)	3.0% (31)	6.5% (8)	4.1% (125)	5.1% (214)	4.4% (103)	2.0% (2)	4.4% (649)	
Marital status										
Divorced	6.2% (137)	8.0% (149)	7.9% (82)	5.7% (7)	8.3% (251)	6.5% (273)	8.0% (187)	15.3% (15)	7.4% (1101)	<0.001
Legally separated	0.9% (20)	1.1% (21)	1.0% (10)	0.8% (1)	1.1% (32)	1.1% (47)	0.4% (9)	1.0% (1)	0.9% (141)	
Life partner	0.8% (17)	0.6% (11)	0.5% (5)	0% (0)	0.3% (10)	0.5% (19)	0.3% (6)	1.0% (1)	0.5% (69)	
Married	65.4% (1445)	61.9% (1150)	66.6% (695)	71.8% (89)	66.1% (2002)	61.3% (2572)	66.0% (1552)	49.0% (48)	64.1% (9553)	
Single	18.4% (406)	19.8% (367)	16.6% (173)	18.6% (23)	15.9% (482)	22.4% (939)	17.3% (408)	26.5% (26)	18.9% (2824)	
Unknown	3.6% (80)	4.1% (76)	2.6% (27)	0.8% (1)	3.1% (94)	4.7% (199)	2.6% (61)	0% (0)	3.6% (538)	
Widowed	4.7% (103)	4.5% (84)	5.0% (52)	2.4% (3)	5.2% (157)	3.5% (148)	5.5% (130)	7.1% (7)	4.6% (684)	
Geographic location										
Rural, North Carolina	7.2% (158)	7.4% (138)	11.9% (124)	12.1% (15)	9.7% (295)	7.3% (308)	9.4% (221)	17.4% (17)	8.6% (1276)	<0.001
Urban, North Carolina	82.4% (1820)	87.2% (1621)	77.1% (805)	72.6% (90)	82.3% (2491)	87.5% (3671)	81.3% (1914)	77.6% (76)	83.8% (12 488)	
Other	10.4% (230)	5.3% (99)	11.0% (115)	15.3% (19)	8.0% (242)	5.2% (218)	9.3% (218)	5.1% (5)	7.7% (1146)	
Primary insurance										
Medicare	25.2% (556)	20.8% (387)	25.9% (270)	23.4% (29)	27.2% (823)	17.6% (738)	28.9% (679)	32.7% (32)	23.6% (3514)	<0.001
Medicaid	0.5% (10)	0.9% (17)	2.5% (26)	3.2% (4)	1.9% (58)	0.8% (33)	0.6% (15)	2.0% (2)	1.1% (165)	
Worker's compensation	0.9% (20)	1.9% (35)	0.6% (6)	0.8% (1)	0.4% (12)	1% (42)	0.9% (21)	1.0% (1)	0.9% (138)	
Privately insured	73.5% (1622)	76.4% (1419)	71.1% (742)	72.6% (90)	70.5% (2135)	80.6% (3384)	69.6% (1638)	64.3% (63)	74.4% (11 093)	

Continued

Table 1 Continued

Provider specialty	Foot and ankle (n=2208)	Hand (n=1858)	Neurosurgery (n=1044)	Orthopaedic oncology (n=124)	Spine (n=3028)	Sports medicine (n=4197)	Total joint arthroplasty (n=2353)	Trauma (n=98)	Total (n=14 910)	P value
Secondary insurance										
Medicare	7.7% (169)	6.5% (121)	8.0% (83)	7.3% (9)	7.8% (235)	5.5% (229)	9.8% (231)	11.2% (11)	7.3% (1088)	<0.001
Medicaid	1.3% (28)	1.5% (28)	3.7% (39)	3.2% (4)	3.0% (90)	1.6% (67)	2.1% (49)	6.1% (6)	2.1% (311)	
Worker's compensation	0.3% (6)	0.4% (8)	0.3% (3)	0% (0)	0.3% (8)	0.3% (13)	0.3% (7)	1.0% (1)	0.3% (46)	
Privately insured	90.8% (2005)	91.6% (1701)	88.0% (919)	89.5% (111)	89% (2695)	92.6% (3888)	87.8% (2066)	81.6% (80)	90.3% (13 465)	
Questionnaire type completed										
PROMIS short forms (eight domains)	74.7% (1650)	70.8% (1316)	71.7% (749)	76.6% (95)	74.1% (2243)	68.6% (2879)	64.9% (1526)	69.4% (68)	70.6% (10 526)	<0.001
PROMIS CAT (four domains)	25.3 (558)	29.2 (542)	28.3 (295)	23.4% (29)	28.9 (785)	31.4 (1318)	35.2 (827)	30.6 (30)	29.4 (4384)	
Year in which PROMIS questionnaire completed										
2017	2.9% (65)	2.5% (47)	3.5% (37)	6.5% (8)	4.9% (149)	3.3% (138)	3.3% (77)	3.1% (3)	3.5% (624)	<0.001
2018	66.2% (1462)	63.2% (1175)	63.1% (659)	65.3% (81)	64.8% (1961)	61.6% (2587)	57.5% (1353)	61.2% (60)	62.6% (9338)	
2019	30.8% (681)	34.2% (636)	33.3% (348)	28.2% (35)	30.3% (918)	35.1% (1472)	39.2% (923)	35.7% (35)	33.9% (5048)	

Mean (SD), % (n).

CAT, computer adaptive testing; PROMIS, Patient-Reported Outcomes Measurement Information System.

spine patients (55.8%) reported moderate limitations and a small percentage of neurosurgery (7.4%) and spine patients (6.2%) reported severe limitations.

### Sports medicine

Patients seeking care from a sports medicine specialist reported less than 0.5 SD from the US mean in all domains except in physical function (39.51 (8.8)) and pain interference (58.0 (7.6)) where their scores were between 0.5 SD and 1.0 SD from the US mean. Overall, 33.3% of patients reported moderate limitations in physical function and 15.4% reported severe limitations. For pain interference, 38.0% of patients reported moderate limitations and 3.7% reported severe limitations.

### Total joint arthroplasty

Patients consulting a total joint arthroplasty orthopaedic surgeon reported less than 0.5 SD from the US mean in all domains except in physical functioning (36.58 (8.5)) and pain interference (60.21 (8.4)). Most patients reported moderate limitations in physical functioning (40.2%) and pain interference (48.9%), 23.2% reported severe limitations in physical function and only a small percentage reported severe limitations for pain interference (6.5%).

We did not report on trauma or orthopaedic oncology due to low sample sizes in each of these subspecialties.

## DISCUSSION

The goal of PROMIS was to create a measurement system that could standardise PROMs across chronic conditions to better enable comparisons across different disease conditions.<sup>14</sup> To this end, we described approximately 15 000 orthopaedic patients across eight different clinical subspecialties who completed PROMIS measures associated with a new patient consultation with an orthopaedic specialist. We found across an orthopaedic department at an academic medical centre that most patients reported scores within 0.5 SD from the US mean on all domains except on pain interference and physical functioning where they reported approximately 1.0 SD US mean on pain interference and physical functioning. These findings are expected, where the primary drivers of seeking care for orthopaedic issues are decreased physical functioning and increased interference with activities due to pain.<sup>28 29</sup> When further examining the difference of PROMIS scores between the clinical subspecialties for the physical health domains, we found that patients seeking care from hand specialists reported less overall physical health impairments. However, we primarily attribute this finding to administer the generic PROMIS physical function measure rather than the upper extremity physical function PROMIS measure, which is more specific to upper extremity conditions and may better reflect limitations in this group.<sup>30</sup> Patients seeking care from a neurosurgery, spine or a trauma specialist reported significant physical health impairments. When examining PROMIS mental health domains, most patients across clinical

**Table 2** Summary of scores for PROMIS domains by clinical subspecialty

	Foot and ankle	Hand	Neurosurgery	Orthopaedic oncology	Spine	Sports medicine	Total joint arthroplasty	Trauma	Total cohort	Sample size (n)
<b>Physical health domains</b>										
Physical function	39.82 (39.46, 40.17)	41.79 (41.41, 42.18)	35.13 (34.61, 35.65)	38.41 (36.92, 39.89)	35.71 (35.41, 36.02)	39.13 (38.88, 39.39)	36.61 (36.27, 36.96)	31.60 (29.92, 33.28)	38.14 (38.00, 38.28)	14 748
Ability to participate in social roles	50.53 (50.05, 51.01)	52.32 (51.77, 52.86)	44.30 (43.59, 45.02)	47.49 (45.48, 49.49)	46.30 (45.89, 46.72)	50.88 (50.51, 51.25)	47.93 (47.42, 48.44)	43.65 (41.23, 46.08)	49.06 (48.86, 49.25)	10 451
Pain intensity	4.07 (3.96, 4.19)	3.71 (3.58, 3.84)	5.48 (5.31, 5.65)	4.02 (3.54, 4.50)	5.35 (5.25, 5.45)	4.26 (4.17, 4.35)	4.90 (4.78, 5.03)	4.79 (4.20, 5.36)	4.57 (4.53, 4.62)	10 424
Pain interference	57.25 (56.92, 57.58)	55.65 (55.29, 56.02)	61.56 (61.08, 62.04)	57.47 (56.08, 58.86)	61.21 (60.93, 61.49)	58.01 (57.77, 58.25)	60.03 (59.71, 60.35)	60.97 (59.37, 62.56)	58.84 (58.71, 58.98)	14 474
Fatigue	49.13 (48.65, 49.62)	48.61 (48.06, 49.15)	54.94 (54.22, 55.66)	52.14 (50.12, 54.15)	53.22 (52.81, 53.64)	47.78 (48.42, 49.15)	50.31 (49.80, 50.82)	53.82 (51.42, 56.21)	50.49 (50.29, 50.68)	10 452
Sleep disturbance	49.20 (48.80, 49.61)	49.73 (49.29, 50.17)	53.85 (53.26, 54.43)	50.83 (49.14, 52.51)	53.08 (52.73, 53.42)	50.36 (50.07, 50.66)	51.26 (50.87, 51.65)	53.46 (51.54, 55.39)	51.08 (50.92, 51.24)	14 465
<b>Mental health domains</b>										
Anxiety	48.61 (48.16, 49.06)	49.00 (48.49, 49.51)	52.65 (51.99, 53.32)	53.18 (51.32, 55.04)	51.69 (51.30, 52.07)	48.72 (48.37, 49.06)	49.64 (49.17, 50.11)	52.00 (49.77, 54.24)	49.85 (49.67, 50.03)	10 351
Depression	47.07 (46.70, 47.44)	47.27 (46.87, 47.67)	50.22 (49.69, 50.76)	49.22 (47.68, 50.77)	49.36 (49.05, 49.68)	46.87 (46.61, 47.14)	47.66 (47.31, 48.02)	50.85 (49.06, 52.64)	47.87 (47.73, 48.01)	14 391

Regression models adjusted for age, sex, race, ethnicity and questionnaire type.  
 Mean (95% CI).  
 PROMIS, Patient-Reported Outcomes Measurement Information System.

**Table 3** PROMIS severity categories by clinical subspecialty

	Foot and ankle	Hand	Neurosurgery	Orthopaedic oncology	Spine	Sports medicine	Total joint arthroplasty	Trauma	Total
<b>Physical health domains</b>									
Physical function									
Within normal limits	29.6%	40.5%	16.2%	32.3%	15.7%	29.1%	16.6%	11.3%	24.9%
Mild	22.9%	20.7%	13.1%	11.3%	15.0%	22.1%	20.0%	8.3%	19.5%
Moderate	30.7%	26.4%	38.9%	33.1%	40.5%	33.3%	40.2%	29.9%	35.0%
Severe	16.7%	12.5%	31.8%	23.4%	28.8%	15.4%	23.2%	50.5%	20.6%
Ability to participate in social roles									
Within normal limits	67.3%	74.3%	42.2%	51.6%	52.8%	72.8%	60.6%	44.6%	63.5%
Mild	18.4%	13.9%	23.4%	24.2%	21.3%	14.2%	18.9%	15.4%	17.8%
Moderate	11.5%	9.6%	24.9%	20.0%	19.5%	10.3%	16.1%	24.6%	14.4%
Severe	2.9%	2.2%	9.5%	4.2%	6.4%	2.7%	4.4%	15.4%	4.3%
Pain interference									
Within normal limits	33.8%	43.7%	16.3%	33.1%	16.7%	29.8%	20.3%	21.7%	27.0%
Mild	23.6%	24.3%	16.7%	16.5%	21.4%	28.4%	24.3%	13.0%	24.1%
Moderate	39.4%	28.8%	59.7%	46.3%	55.8%	38.0%	49.0%	55.4%	44.1%
Severe	3.2%	3.3%	7.4%	4.1%	6.2%	3.7%	6.5%	9.8%	4.8%
Fatigue									
Within normal limits	73.4%	73.9%	50.7%	63.2%	57.2%	74.4%	70.6%	55.2%	68.0%
Mild	12.3%	11.3%	17.7%	13.7%	17.1%	12.3%	13.8%	17.9%	13.9%
Moderate	12.0%	12.2%	24.6%	20.0%	21.8%	11.0%	13.2%	20.9%	15.1%
Severe	2.3%	2.7%	7.0%	3.2%	3.9%	2.3%	2.4%	6.0%	3.1%
Sleep disturbance									
Within normal limits	74.3%	71.5%	54.3%	67.2%	58.0%	69.0%	64.8%	55.3%	66.0%
Mild	13.7%	14.1%	17.5%	18.0%	18.1%	14.4%	16.0%	13.8%	15.5%
Moderate	10.5%	11.7%	22.3%	11.5%	19.3%	13.7%	16.1%	23.4%	15.1%
Severe	1.6%	2.7%	5.9%	3.3%	4.7%	2.9%	3.0%	7.5%	3.3%
<b>Mental health domains</b>									
Anxiety									
Within normal limits	74.6%	71.6%	59.7%	55.8%	62.0%	73.4%	72.5%	60.6%	69.6%
Mild	14.0%	14.8%	16.2%	22.1%	17.2%	14.7%	15.2%	16.7%	15.4%
Moderate	10.5%	11.4%	20.2%	19.0%	18.9%	10.9%	10.7%	19.7%	13.4%
Severe	0.9%	2.1%	3.9%	3.2%	1.9%	1.1%	1.6%	3.0%	1.7%
Depression									
Within normal limits	80.7%	78.3%	66.5%	71.9%	71.5%	79.9%	78.6%	65.6%	76.8%
Mild	12.1%	12.0%	15.9%	14.9%	14.9%	12.2%	12.5%	20.0%	13.1%
Moderate	6.5%	8.2%	15.2%	12.4%	12.3%	7.3%	8.0%	12.2%	9.1%
Severe	0.6%	1.5%	2.5%	0.8%	1.4%	0.7%	1.0%	2.2%	1.1%

PROMIS, Patient-Reported Outcomes Measurement Information System.



**Table 4** Correlation of PROMIS domains

	Participation	Anxiety	Depression	Fatigue	Pain intensity	Pain interference	Physical function	Sleep disturbance
Participation	–	–0.48	–0.51	–0.66	–0.52	–0.69	0.67	–0.45
Anxiety	–0.48	–	0.76	0.58	0.30	0.36	–0.32	0.44
Depression	–0.51	0.76	–	0.58	0.29	0.39	–0.30	0.43
Fatigue	–0.66	0.58	0.58	–	0.42	0.52	–0.48	0.54
Pain intensity	–0.52	0.30	0.29	0.42	–	0.75	–0.52	0.39
Pain interference	–0.69	0.36	0.39	0.52	0.75	–	–0.60	0.45
Physical function	0.67	–0.32	–0.30	–0.48	–0.52	–0.60	–	–0.31
Sleep disturbance	–0.45	0.44	0.43	0.54	0.39	0.45	–0.31	–

All correlations were statistically significant  $p < 0.001$ .  
 PROMIS, Patient-Reported Outcomes Measurement Information System.

subspecialties reported that their anxiety and depression symptoms were within normal limits; however, up to 24.1% reported moderate or severe anxiety and up to 17.6% reported moderate or severe depressive symptoms. Following a similar trend as the specialties across the PROMIS physical health domains, patients seeking care from a neurosurgery, spine or trauma specialist reported the highest anxiety and depression symptoms. This relationship is consistent with the literature supporting patients with spine conditions and orthopaedic trauma have higher anxiety levels than other orthopaedic conditions.<sup>31 32</sup>

Majority of patients seeking care in orthopaedics across clinical subspecialties do so because of limitations in physical function and pain.<sup>33–35</sup> Measurement of these constructs can be done using PROMs. Many legacy measures commonly used in orthopaedics measure more than one construct. This makes it difficult to elucidate limitations or symptom contributions from a specific construct on patients perception of their health status. For example, a patient seeking care for knee osteoarthritis may report more limitations in physical functioning rather than pain. Using traditional, concise legacy measures such as Knee Injury and Osteoarthritis Outcome Score Junior (KOOS Jr)<sup>36</sup> to evaluate stiffness, pain, function and activities of daily living using seven items would be challenging to isolate the relative limitations in physical functioning compared with the other constructs included in the KOOS Jr. Whereas PROMIS physical function can be administered to capture this construct separately and concisely, giving a valid estimate of a patient's perception of their physical function. PROMIS physical function and has been shown to be equal or superior in regard to floor and ceiling effects when compared with previously established legacy PROM in several patient populations including trauma, shoulder, elbow, hand, spine, and knee; making this measure applicable across patient populations and range of severity of symptoms.<sup>37</sup> However, a noted limitation is, to date, not all PROMIS measures have been evaluated for floor and ceiling effects across multiple populations or found to be as responsive as PROMIS physical function for orthopaedic

patients. In particular, PROMIS measures that capture emotional distress and psychosocial illness impact have not been extensively researched for widespread use in orthopaedics.<sup>31</sup>

### Strengths and limitations

Our study has noted strengths. First, our study is novel in that we reported eight PROMIS domains across eight different orthopaedic clinical subspecialties. The use of PROMIS measures in clinical practice and research has been increasing in prevalence in spine, total joint, sports medicine, upper extremity disorders, trauma and lower extremity disorders.<sup>38</sup> In a systematic review on the uptake of PROMIS measures in orthopaedics, Horn *et al* found that studies typically report around three PROMIS domains.<sup>38</sup> Our study is the first that we are aware of to report and directly compare differences in these eight health domains across orthopaedic clinical subspecialties, providing baseline for PROMIS scores in orthopaedic. The direct comparisons reported in this paper would not be possible if using region-specific measures common to orthopaedic practice and research. By implementing PROMIS measures as a standard set of outcome measures, we can draw inferences about differences in patient-reported health status across orthopaedic populations that are typically not compared. Second, our study reported a clinical interpretation of PROMIS scores addressing the reported barrier to PROMIS use uptake.<sup>8 39</sup> Providing a clinical interpretation is vital because often there is a disconnection between mean PROMIS scores (ie, physical functioning score 38.2) and how to interpret this information (moderate limitations in physical functioning).<sup>39</sup>

Our study is not without limitations. First, this was a cross-sectional cohort analysis, so we did not report PROMIS measures beyond baseline. Therefore, we cannot identify predictors of clinical outcomes or compare the change in PROMIS scores across subspecialties over time or downstream utilisation of orthopaedic procedures or rehabilitation services associated with baseline scores. Second, our findings may have limited generalisability. For example, the setting was a private hospital. It may not

capture the broad diversity of non-white individuals in the area (79.3% of individuals reported being Caucasian). Our instruments were only available in English, limiting data collection on non-English speaking patients. Moreover, in some clinical subspecialties reported (ie, trauma and orthopaedic oncology), small sample sizes potentially limit generalisability of these findings. Lastly, we did not compare PROMIS upper extremity physical function or legacy measures to our PROMIS measures as part of this study. Therefore, we cannot make direct comparisons of the performance of the eight reported PROMIS domains in this study to legacy or PROMIS upper extremity. However, the relationship between legacy measures in orthopaedics and PROMIS measures is well documented in the literature.<sup>40</sup>

Our study's findings are consistent with other literature regarding orthopaedic populations' physical and mental health status.<sup>41</sup> In comparison to a study by Perruccio *et al* using the SF-36 as the outcome measure to physical and mental health in patients seeking care for musculoskeletal disorders, we found similar results where patients with spine disorders reported the most impairments in the cohort.<sup>41</sup> Additionally, consistent with our results, they found hand upper extremity/hand patients were the healthiest and total joint arthroplasty patients demonstrated low levels of physical functioning. Our study's unexpected finding low levels of sleep disturbance, fatigue and depression reported across clinical subspecialties. Sleep disruption and pain frequently co-occur, both are uniquely linked with depressed mood<sup>42–45</sup> and various forms of functional disability.<sup>46–48</sup> Depression appears to play a substantial role in the sleep–pain linkage, particularly where the pain is severe.<sup>49</sup> However, in our study, we did not find this relationship between pain, physical function, sleep disturbance and depression in the cohort, despite the research supporting these relationships.<sup>49</sup>

## CONCLUSIONS

Reporting patients' health status consulting an orthopaedic provider using a standard set of outcome measures across various clinical subspecialties has numerous clinical care and research implications. Understanding the health status and clinical examination measures may improve patient and provider communication during the clinical encounter<sup>50</sup> and be used as part of the prognostic evaluation.<sup>51</sup> Moreover, this study can provide a context for informing bundled care or value-based care models. Classifying heterogeneous orthopaedic patients' baseline status on a standard metric could better inform the effectiveness and cost of treatment pathways.<sup>52</sup> Lastly, reporting PROMIS scores has allowed the direct comparison of eight meaningful constructs across orthopaedic subspecialties. This comparison would not be possible with legacy measures, which is a noted strength of PROMIS measures. These comparisons allow unique insights to be made for orthopaedic departments and align clinical and research data collection with value-based care initiatives

outside of orthopaedic departments. Clinicians and administrators can use this information to improve the delivery and the efficiency of care, improve and inform referral practices and inform subspecialty-specific education to improve patient outcomes from orthopaedic care.

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