

1 Mass Footprints of the North Pacific Atmospheric Blocking Highs

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Abstract

25 The mass footprints associated with atmospheric blocks over the North Pacific are
26 evaluated by constructing daily tendencies of total mass over the blocking domain from 3-
27 dimensional mass fluxes throughout the lifecycle of a composite blocking event. The results
28 highlight the major role of mass convergence driven by low-frequency (with periods > one
29 week) atmospheric motions (disturbances) during both the development and decay stage of a
30 block. Specifically, low-frequency motions are responsible for the accelerated mass build-up
31 3 days prior to the peak intensity of a block and they also account for the rapid mass loss
32 afterwards. High-frequency motions related to sub-weekly-scale disturbances have
33 statistically significant contributions to the mass loss during the decay stage, and also show
34 positive yet very weak contributions to the development of the blocking high at the very early
35 stage of the event. Majority of the mass convergence/divergence responsible for the
36 intensification/decay of the blocking high occurs in the mid-upper troposphere and is largely
37 attributed to mass flux driven by meridional winds. Also discussed are the implications of this
38 new mass perspective of atmospheric blocks for understanding dynamics of blocking highs
39 and for model bias detection and attribution.

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42 **1. Introduction**

43 Blocking highs constitute a crucial component of the extratropical atmospheric low-
44 frequency variability. The flow fields of these “atmospheric blocks” are characterized by
45 persistent anticyclones that that tend to obstruct the normal zonal flow (Berggren et al. 1949;
46 Rex 1950). Being vertically coherent and quasi-stationary, blocking highs exert strong
47 impacts not only on regional weather patterns but also on global atmospheric circulation
48 (Carrera et al. 2004; Trigo et al. 2004).

49 On one hand, high-frequency (sub-weekly-scale) transient eddies have been known to
50 play a critical role in the development of atmospheric blocks. For example, Green (1977)
51 suggested the importance of storm track eddies in maintaining blocking flows. Nakamura and
52 Wallace (1993) provided further evidences of elevated activity of high-frequency baroclinic
53 eddies prior to the onset of blocking events. Blocking formation in some general circulation
54 models (GCMs) was also shown to be tied to dynamical forcing of high-frequency eddies
55 (Maeda et al. 2000; Shutts 1983). On the other hand, effects of low-frequency (10-30 day
56 period) eddies themselves are also felt throughout the lifecycles of atmospheric blocks. For
57 example, the occurrence of a quasi-stationary wave train may induce the formation of a
58 persistent anticyclonic flow anomaly (Stewart 1993). Colucci (1985) showed that the
59 planetary wave environment created by a vorticity source during explosive cyclogenesis can
60 result in subsequent downstream blocking. Breaking of low-frequency Rossby waves entails
61 reversals of the meridional gradients of potential temperature at the dynamical tropopause,
62 which are also recognized as key features of atmospheric blocks (Pelly and Hoskins 2003).
63 Nakamura et al. (1997) pointed out that forcing from high-frequency eddies is indispensable
64 for blocking formation over the North Pacific while low-frequency dynamics represented by
65 the emergence of a quasi-stationary Rossby wave train over the North Atlantic is more
66 important for blocking development over Europe.

67 From the point of view of mass change, the formation of a blocking high can be regarded
68 as the process of mass convergence into the air column underneath which the surface high
69 emerges. In the literature, however, no study has examined the development and decay of
70 blocking highs from the perspective of mass accumulation and dissipation. Homeyer and
71 Bowman (2013) investigated the mass transport between tropical and extratropical regions
72 associated with events of Rossby wave breaking through Lagrangian trajectory calculations,
73 but “area” transport” instead of actual mass was dealt in the analysis. The goal of this study is
74 to provide a preliminary, mass-circulation-based assessment of the relative roles of
75 atmospheric eddies (motions) of different temporal scales in determining the lifecycle of
76 atmospheric blocks observed over the North Pacific. The calculations will be done in a semi-
77 Lagrangian framework and focus on quantifying the column mass divergence/convergence
78 based upon daily 3-dimensional (3D) mass flux in isentropic coordinates.

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80 **2. Methods**

81 The study period considered here is 1979 to 2010. The data used in the analysis are
82 obtained from the European Center for Medium-Range Weather Forecasts (ECMWF)
83 ReAnalysis Interim (ERA-Interim) (Dee et al. 2011). Specifically, daily potential temperature
84 (θ) on the 2-potential-vorticity-unit (PVU) surface is used to identify prominent blocking
85 events. Mass fluxes across boundaries of blocking events are derived from daily geopotential
86 height (Z), temperature (T), zonal and meridional winds (u and v), and vertical velocity (ω)
87 on 36 isentropic levels, which are interpolated from 37 isobaric levels in the original ERA-
88 Interim data.

89 The detection of blocking events follows the methodology of Pelly and Hoskins (2003).
90 An instantaneous (daily) blocking index is first calculated for each longitude centered about a
91 line of central blocking latitude that roughly follows the line of local maxima in the kinetic

92 energy of atmospheric transients. A blocking event is considered occurring if the blocking
 93 index is positive. To identify prominent, long-lasting blocking events, we adopt the criteria
 94 that the instantaneous blocking (positive block index values) should occur over at least 10° of
 95 longitude and last at least 4 consecutive days. Focusing on a region extending from the
 96 eastern North Pacific to the west coast of North America, we are able to detect a total of 30
 97 major blocking events for the study period and these events are used in the subsequent
 98 composite analysis.

99 The calculation of mass flux is done for a cuboid bounded by two adjacent isentropic
 100 levels in the vertical and with 60° (longitude) and 20° (latitude) in length in the horizontal.
 101 The center of the cuboid is placed at the location of the maximum surface pressure that is
 102 identified for each selected blocking event when it attains its peak intensity (defined as “day
 103 0” in the analysis). In an isentropic layer bounded two adjacent isentropic levels, atmospheric
 104 mass per unit area (unit: $\text{kg}\cdot\text{m}^{-2}$) is computed following

$$105 \quad M = -\frac{1}{g} \cdot \Delta p, \quad (1)$$

106 where g is gravity constant (9.81 ms^{-2}) and Δp is the pressure difference between the two
 107 levels. The net convergence of mass into the region of a blocking high is the sum of 3
 108 components: the convergence in the zonal, meridional, and vertical direction. Zonal mass
 109 convergence can be estimated as the difference of the total zonal mass fluxes (mfx) between
 110 the western and eastern boundary of the analysis region following

$$111 \quad mc_{zonal} = \sum_{wb} mfx_{wb} - \sum_{eb} mfx_{eb} = \sum_{wb} M_{wb} \cdot a \cdot \Delta\phi \cdot u_{wb} - \sum_{eb} M_{eb} \cdot a \cdot \Delta\phi \cdot u_{eb}, \quad (2)$$

112 where wb and eb stand for “western boundary” and “eastern boundary”, respectively; a
 113 is the mean radius of the earth (6370 m); $\Delta\phi$ is the latitude interval (1.5°); u is zonal wind.

114 In a similar manner, meridional mass convergence is computed as the difference of the

115 total meridional mass fluxes (mfy) between the southern and northern boundary of the
 116 analysis region following

$$117 \quad mc_{meridional} = \sum_{sb} mfy_{sb} - \sum_{nb} mfy_{nb} = \sum_{sb} M_{sb} \cdot a \cdot \cos \phi \cdot \Delta \lambda \cdot v_{sb} - \sum_{nb} M_{nb} \cdot a \cdot \cos \phi \cdot \Delta \lambda \cdot v_{nb}, \quad (3)$$

118 where sb and nb stand for “southern boundary” and “northern boundary”,
 119 respectively; $\Delta \lambda$ is the longitude interval (1.5°); v is meridional wind.

120 Vertical mass convergence into the cuboid is calculated as the difference between the
 121 mass flux (mfz) at the upper and lower isentropic level bounding the cuboid following

$$122 \quad mc_{vertical} = \sum_{lb} mfz_{lb} - \sum_{ub} mfz_{ub} = \sum_{lb} M_{lb} \cdot A \cdot \frac{\dot{\theta}_{lb}}{\Delta \theta_{lb}} - \sum_{ub} M_{ub} \cdot A \cdot \frac{\dot{\theta}_{ub}}{\Delta \theta_{ub}}, \quad (4)$$

123 where lb and ub stand for “lower boundary” and “upper boundary”, respectively. A is the
 124 area of the grid box and $\dot{\theta}$ is the total diabatic heating rate, which is estimated through the
 125 thermodynamic energy equation.

126 To investigate the contributions of atmospheric eddies of different frequencies to the total
 127 mass flux convergence, we further decompose the mass flux across the horizontal and vertical
 128 boundaries of the analysis region into those associated with wind fluctuations at various
 129 frequencies. Specifically, we separate the total winds into a high-frequency component
 130 (obtained via 6-day high-pass filter) and a low-frequency/stationary component (obtained as
 131 the difference between the total and high-pass filtered value). This decomposition produces 3
 132 parts in the mass flux: (i) flux due to high-frequency eddies, (ii) flux due to low-
 133 frequency/stationary eddies, and (iii) flux due to the interaction between high- and low-
 134 frequency/stationary eddies following

$$135 \quad M \cdot V = (M_{high} + M_{low}) \cdot (V_{high} + V_{low}) = \underbrace{M_{high} \cdot V_{high}}_{(i)} + \underbrace{M_{low} \cdot V_{low}}_{(ii)} + \underbrace{M_{high} \cdot V_{low} + M_{low} \cdot V_{high}}_{(iii)}. \quad (5)$$

136

137 **3. Results**

138 Figure 1a shows the composite mean 300hPa geopotential height (contours) and the
139 corresponding anomaly field (shading) for the identified 30 North Pacific blocking events at
140 the time of peak intensity (day 0). The positive height anomaly characterizing the blocking
141 sits over the southern Alaska with local maximum values reaching $1,500 \text{ m}^2 \text{ s}^{-2}$. A weaker
142 negative height anomaly is found southwest of the blocking high. Intrusion of high- θ
143 (potential temperature) airmass into the high latitudes is clearly visible through the folding of
144 the 326K θ contour (think solid line in Fig. 1a). Since the poleward extrusion of high- θ air is
145 more pronounced compared to the equatorward extrusion of low- θ air, the composite
146 blocking represents dynamically a warm-cyclonic Rossby wave breaking event (Masato et al.
147 2012). Figure 1b plots the temporal evolution of the airmass above 280K θ surface over a
148 blocking area ($\pm 30^\circ$ longitude and $\pm 10^\circ$ latitude from the center of each blocking event)
149 throughout the lifecycle of the composite blocking event (day -8 to day $+8$). Note that the
150 280K surface is used here instead of the actual surface to avoid the relatively large errors
151 produced when near surface data is interpolated from isobaric to isentropic levels (Ziv and
152 Alpert 1994). Furthermore, the airmass above 280K in the study region in the lifecycle of the
153 blocking events on average accounts for 97% of the total mass in a column, and this allows
154 our calculations to capture majority of the processes responsible for the mass accumulation
155 and dissipation during the lifecycle of the blocking events. Figure 1b shows that the mass in
156 the blocking domain steadily increases starting from day -8 and the increase accelerates on
157 day -3 . The mass reaches its peak value around day $+1$, slightly later compared to the time
158 when the composite height anomaly attains its maximum value (day 0). The decay of the
159 block is characterized by a rather rapid dissipation of mass out of the domain. Approximately
160 3 days after the peak intensity of the blocking, the total mass in the domain goes back to the

161 pre-blocking level.

162 The accumulation and dissipation of air mass over the blocking domain is further
163 illustrated by the day-to-day mass tendency shown in Figure 2a (solid line). Positive mass
164 tendency lasts throughout the period day -8 to day +1, responsible for the eventual mass
165 build-up while large amplitude, negative tendency dominates since day +2, indicating a rapid
166 evacuation of mass out of the domain. Shaded bars in Figure 2a are the mass tendencies
167 estimated through the total mass convergence (into the blocking domain) computed on
168 isentropic surfaces with isentropic wind and pressure data. The daily mass convergence
169 matches the daily mass tendency (derived from pressure change) very well throughout the
170 lifecycle of the blocking event. Following Eq. (5), we decompose the total daily mass
171 convergence into components associated with high frequency atmospheric motion (Fig. 2b),
172 low-frequency motion (Fig. 2d) and the interaction between high- and low-frequency motions
173 (Fig. 2c). It is quite evident that the mass convergence driven by low-frequency motions (Fig.
174 2d) dictates the mass tendency during both the development and decay stage of the blocking
175 event. High-frequency, synoptic-scale motions (Fig. 2b), on one hand, tend to work against
176 the low-frequency motions by producing weak yet statistically significant mass depletion
177 during the development stage of the blocking (day -1 to day +1). On the other hand, mass
178 depletion driven by high-frequency motions during the period day +2 to day +3 contributes
179 positively to the decay of the blocking. It is also interesting to note that during the early stage
180 of the blocking development, e.g., day -8 to day -4, high-frequency motions have consistent
181 positive contributions. This is in agreement with earlier studies that demonstrated the
182 importance of high-frequency disturbances in the early development of atmospheric blocks. It
183 also suggests that dynamical effects (i.e., heat and momentum fluxes) of high-frequency
184 disturbances on blocking development are more evident in fields such as middle/upper level
185 geopotential height while mass footprints of atmospheric blocks are less influenced directly

186 by high-frequency disturbances. The magnitude of the mass convergence associated with the
187 interaction between high- and low-frequency motions (Fig. 2c) is also small compared to the
188 low-frequency motions and it has a negative contribution to the blocking decay on day +3.
189 Figure 2 thus proves that the mass footprints of a Pacific blocking event, i.e., the build-up and
190 decay of the surface high, is mainly driven by atmospheric motions with a period longer than
191 a week.

192 We further evaluate the vertical structure of the mass convergence during the lifecycle of
193 a blocking event. Figure 3a plots the mass tendency derived from isentropic level pressure
194 change computed for individual isentropic layers between 280K and 370K from day -8 to
195 day +8. It agrees very well with the mass convergence shown in Figure 3b. Prior to day +1,
196 the mass accumulation over the blocking domain is largely caused by mass build-up between
197 300K and 340K in the upper troposphere. This build-up of mass is accompanied by weak
198 mass loss underneath 300K. During the decaying stage of the blocking (after day +1), the
199 mass loss in the column also occurs primarily in the upper troposphere while weak mass
200 build-up is found underneath 290K. The vertical structure of mass convergence is further
201 divided into two parts: one driven horizontal motions (Fig. 3c) and the other by vertical
202 motions (Fig. 3d). By definition, the sum of the mass convergence associated with vertical
203 motions in the vertical direction reduces to the weak vertical mass flux at 280K, the lower
204 boundary considered in the analysis. This is clearly the case given the significant
205 cancellations between mass divergence (-) and convergence (+) in the vertical direction seen
206 in Figure 3d. Mass convergence associated with horizontal motions (Fig. 3c) drives the mass
207 change in the blocking domain and its structure bears a high degree of similarity with that of
208 the total mass tendency (Fig. 3a). A further separation of the horizontal mass convergence
209 into the components related to zonal (Fig. 3e) and meridional (Fig. 3f) transport reveals the
210 dominant role of meridional transport in determining the mass footprints of an atmospheric

211 block. The magnitude of the meridional transport is about 2-3 times greater than that of the
212 zonal transport. Specifically, the meridional transport is responsible for the accelerated mass
213 build-up starting from day -2 and the rapid mass loss starting from day $+2$ (Fig. 3f). The
214 statistically significant signals of transport are found between 300K and 320K during the
215 development stage of the blocking and between 320K and 340K during the decay stage of the
216 blocking. The zonal transport (Fig. 3e) generally works against the meridional transport
217 during the development stage of the blocking and contributes positively to the decay in the
218 column integrated sense.

219 Figure 4 shows the breakdown of the Figure 3 results into components associated with high-
220 frequency and low-frequency motions. Consistent with the previous discussion, low-
221 frequency motions prove to be the main driver of the mass footprints of the blocking event
222 (i.e., comparing Fig. 4b with Fig. 3b), although high-frequency motions show some positive
223 contributions to the mass build-up prior to day -3 . This is also true in terms of the mass
224 convergence associated with horizontal motions (i.e., comparing Fig. 4d with Fig. 3c).
225 Similarly, low-frequency motions determine the structures of mass convergence driven by
226 both zonal (Fig. 4f versus Fig. 3e, Fig. 4h versus Fig. 3f) and meridional transport. Note that
227 the high- and low-frequency interaction terms are omitted here due to the lack of statistical
228 significance.

229

230 **4. Concluding Remarks**

231 The mass footprints associated with atmospheric blocks over the North Pacific are
232 investigated based on the evaluation of the 3D mass fluxes and the resulted mass convergence
233 into the blocking domain. The results highlight the critical role of mass convergence driven
234 by low-frequency atmospheric motions in the development and decay of a blocking event.
235 Specifically, it is shown that low-frequency disturbances are responsible for the accelerated

236 mass build-up 3 days prior to the peak intensity of a block and they also account for the rapid
237 mass loss during the decay stage of the block. High-frequency motions have statistically
238 significant contributions to the mass loss during the decay stage, and also show positive yet
239 very weak contributions to the development of the blocking high at the very early stage of the
240 event. This later result is consistent with previous findings that suggested a “precursor” role
241 of high-frequency, synoptic-scale disturbances in the development of atmospheric blocks. It
242 is also found that majority of the mass convergence/divergence responsible for the
243 intensification/decay of the blocking high occurs in the mid-upper troposphere and is largely
244 attributed to meridional mass transport, i.e., mass flux related to meridional winds. Mass flux
245 related to zonal winds has positive contributions to the decay of the blocking. In summary,
246 low-frequency atmospheric motions in the meridional direction largely determine the mass
247 footprints of atmospheric blocks and high-frequency motions play an auxiliary role mostly
248 during the decay stage.

249 The present analysis is among the earliest to approach the blocking mechanism from a
250 mass circulation perspective. It offers a different way to understand the lifecycle
251 characteristics of atmospheric blocks by focusing on their surface/near-surface signatures, i.e.,
252 blocking highs. This is in contrast to traditional analyses that tend to focus on mid-upper level
253 height or PV anomalies. This new perspective also provides a different angle to detect and
254 trace potential model biases in simulating atmospheric blocks. Additionally, the calculations
255 of 3D mass flux can facilitate the diagnosis of transport properties of blocking events and can
256 be readily extended to the analysis of other classes of weather phenomena such as
257 extratropical cyclones and hurricanes. A notable limitation of the current approach is the use
258 of a fixed-size blocking domain since in reality flow deformation (e.g., zonal stretching and
259 meridional compression) tends to occur during the decay stage of a blocking event (Carrera et
260 al. 2004). Further improvement of the diagnosis approach will be explored when we continue

261 the examination of atmospheric blocks over other geographical domains such as the North
262 Atlantic.

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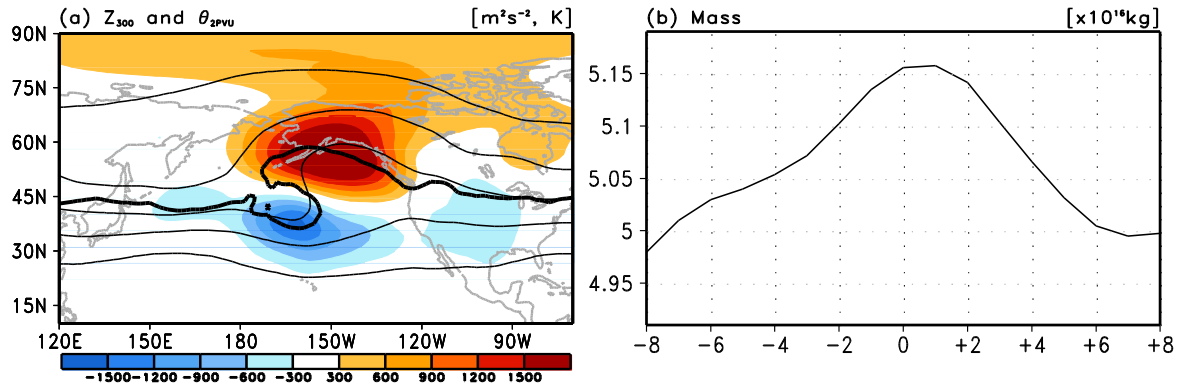
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305 **List of Figures**

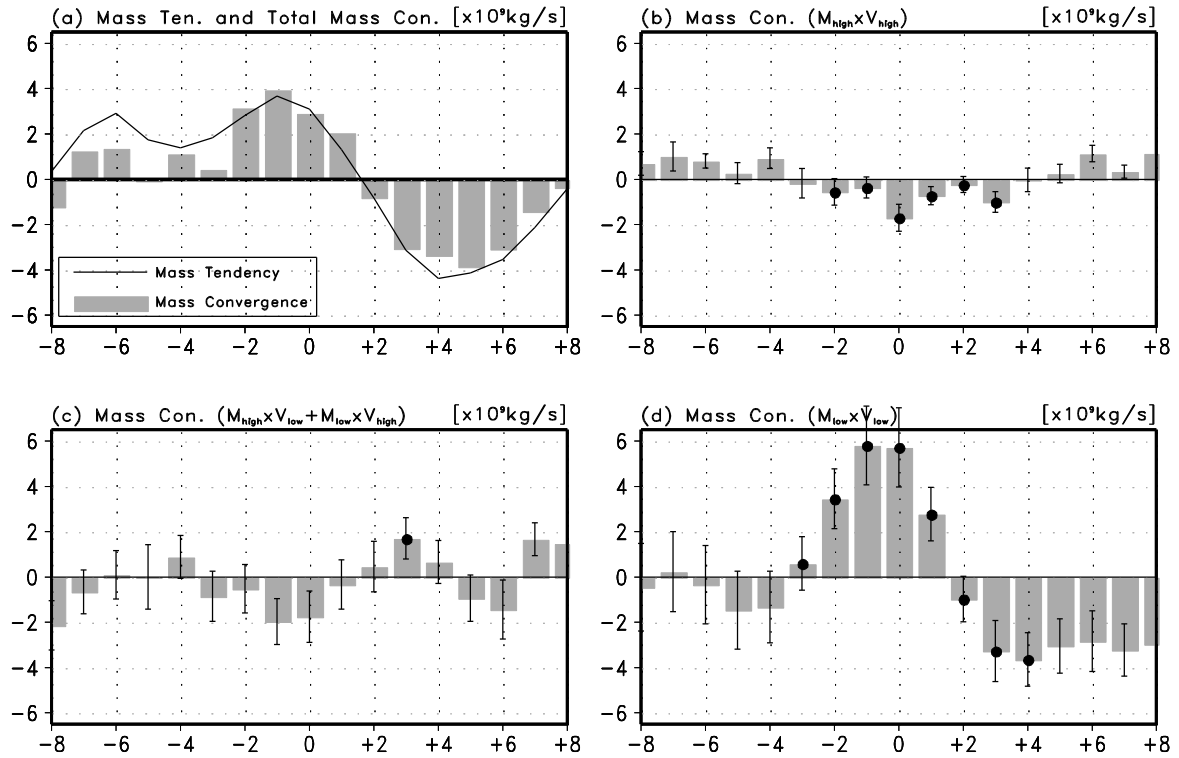
North Pacific Blocking



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308 Figure 1. (a) The composite geopotential height at 300 hPa (contour with an interval of 20 m^2
309 s^{-2}) and the corresponding anomaly (shading) for the North Pacific blocking. The black solid
310 line indicates the θ contour of 326K on PVU=2 surface.. (b) Temporal evolution of the total
311 mass (unit: kg) within the blocking domain above 280K.

North Pacific Blocking (above 280K)



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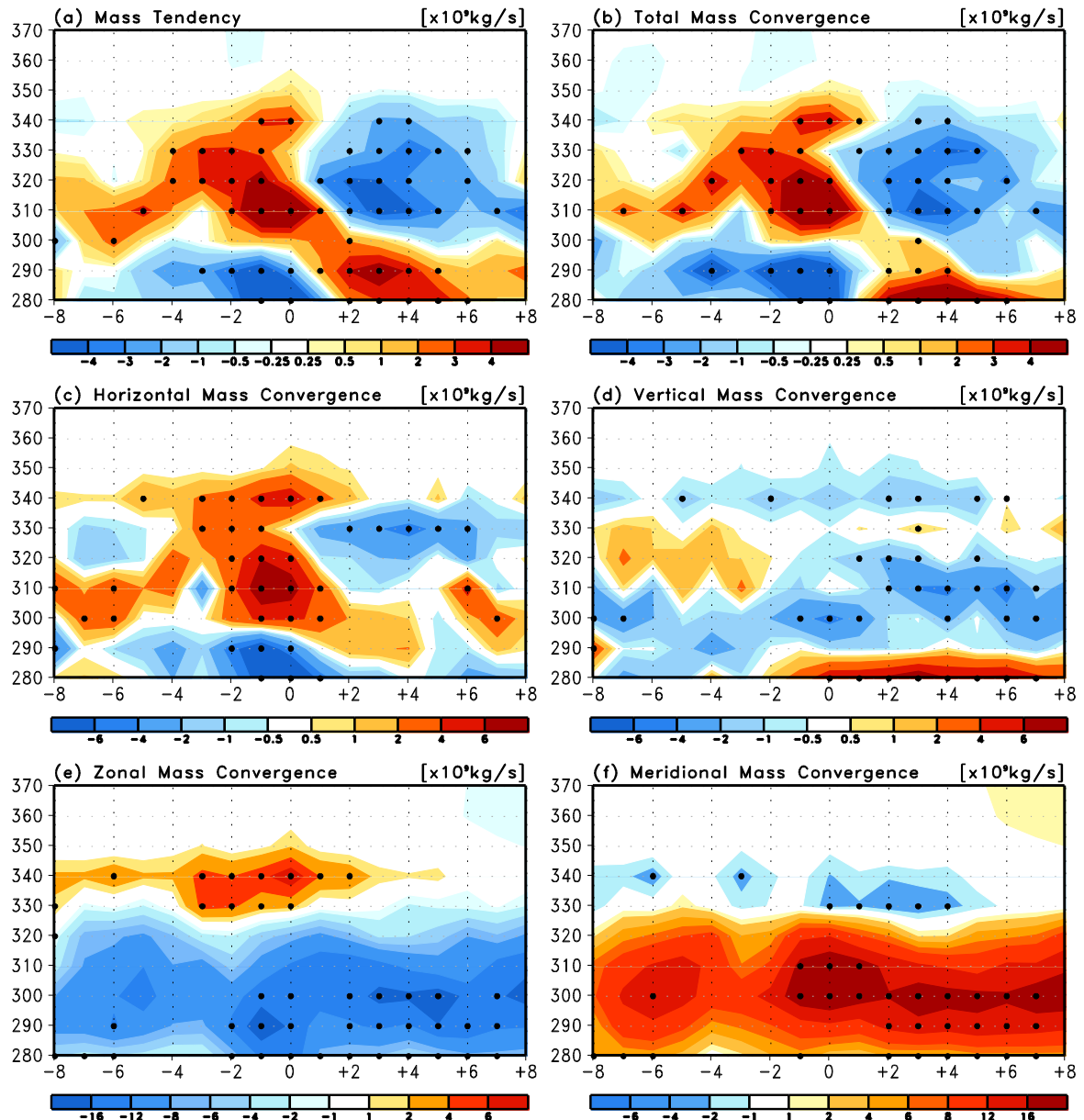
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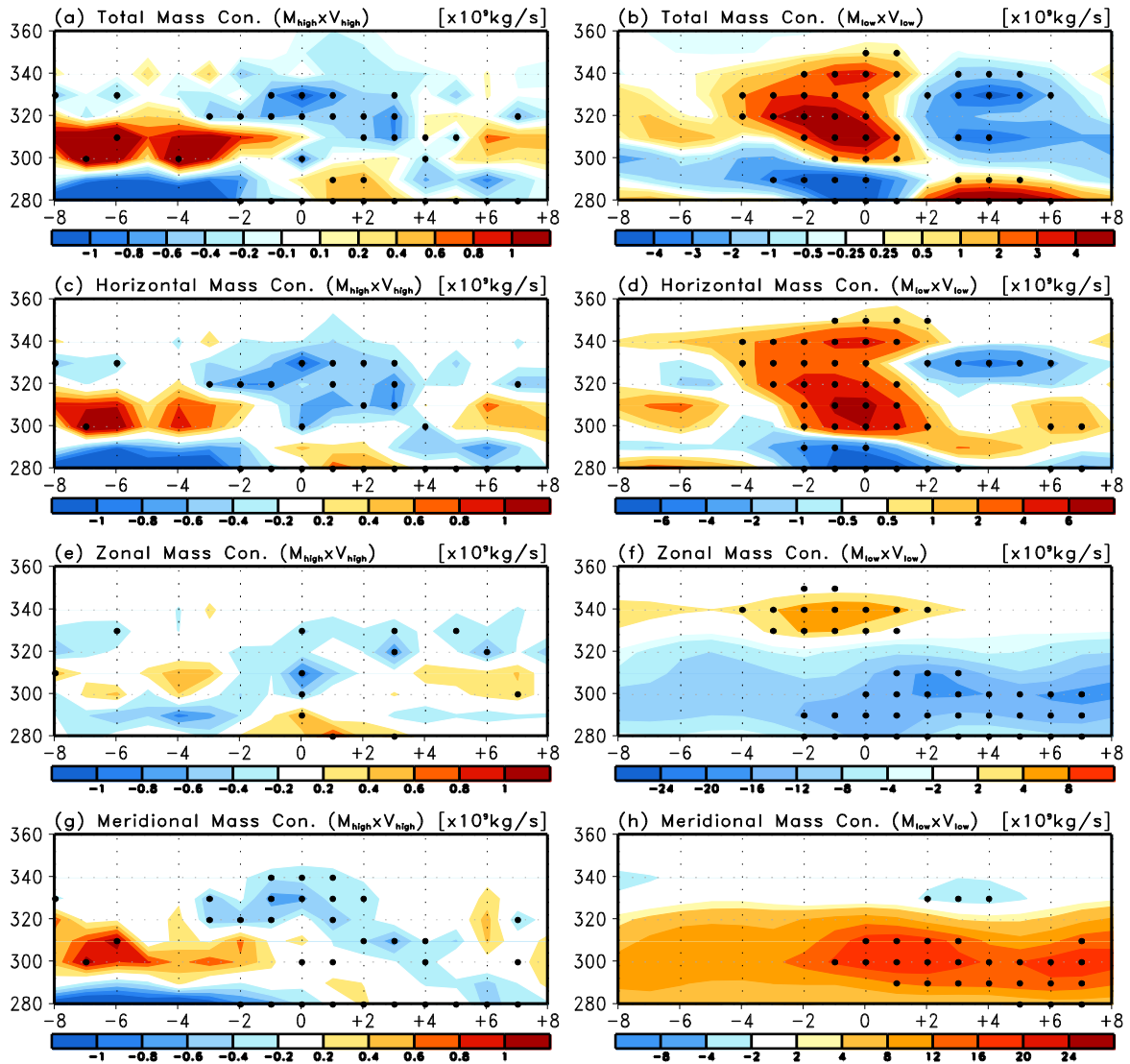
Figure 2. (a) Temporal evolutions of the daily mass tendency (solid line) and total mass convergence evaluated from 3D mass fluxes (shaded bars). Mass convergence driven by (b) high-frequency atmospheric motions, (c) the interaction between high- and low-frequency atmospheric motions, and (d) low-frequency motions (for detailed definitions, please see Section 2 of the main text). In (b)-(d), black dots indicate statistical significance at the 90% level and the error bars correspond to ± 1 standard deviation error. Units: kg/s .

North Pacific blocking (above 280K)



320
 321 Figure 3. Temporal evolutions of (a) the mass tendency, and the mass convergence associated
 322 with (b) total, (c) horizontal, (d) vertical, (e) zonal, and (f) meridional transports for
 323 individual isentropic layers ranging from 280K to 370K. Black dots indicate statistical
 324 significance at the 90% level. Units: kg/s .
 325

North Pacific blocking (above 280K)



326
 327 Figure 4. Temporal evolutions of the mass convergence associated with (a,b) total, (c,d),
 328 horizontal, (e,f) zonal, and (g,h) meridional transports for individual isentropic layers ranging
 329 from 280K to 370K. All panels in the left column correspond to mass convergence driven by
 330 high-frequency motions and all panels in the right column correspond to mass convergence
 331 driven by low-frequency motions. Black dots indicate statistical significance at the 90% level.
 332 Units: kg/s .

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