

# **PLN-encoded phospholamban mutation in a large cohort of hypertrophic cardiomyopathy cases: Summary of the literature and implications for genetic testing**

Andrew P. Landstrom, BS,<sup>a,b,\*</sup> Babatunde A. Adekola, MD,<sup>a,\*</sup> J. Martijn Bos, MD, PhD,<sup>b</sup> Steve R. Ommen, MD,<sup>c</sup> and Michael J. Ackerman, MD, PhD<sup>b,c,d</sup> *Rochester, MN*

**Background** Hypertrophic cardiomyopathy (HCM) is a major cause of sudden death in young athletes and one of the most common inherited cardiovascular diseases, affecting 1 in 500 individuals. Often viewed as a disease of the cardiac sarcomere, mutations in genes encoding myofilament proteins are associated with disease pathogenesis. Despite a clinically available genetic test, a significant portion of HCM patients remain genetically unexplained. We sought to determine the spectrum and prevalence of mutations in *PLN*-encoded phospholamban in a large cohort of HCM cases as a potential cause of mutation-negative HCM.

**Methods** Comprehensive genetic interrogation of the promoter and coding region of *PLN* was conducted using polymerase chain reaction, denaturing high-performance liquid chromatography, and direct DNA sequencing.

**Results** One L39X nonsense mutation was identified in 1 of 1,064 HCM proband cases with a family history of HCM, previously found to be negative for the current HCM genetic test panel. This mutation cosegregated with incidence of HCM in a multigenerational family. Compared with similar studies, we identified an overall yield of *PLN*-HCM mutations of 0.65%, similar to 3 genes that are part of current HCM genetic test panels. We did not observe any *PLN* coding sequence genetic variation in 600 reference alleles.

**Conclusions** Overall, mutations in *PLN* are rare in frequency, yet the small size of the genetic locus may make it amenable to inclusion on HCM gene test panels, especially because the frequency of background genetic variation among otherwise healthy subjects appears negligible. The exact role of mutations in *PLN* and other calcium-handling proteins in the development of HCM warrants further investigation. (*Am Heart J* 2011;161:165-71.)

*Hypertrophic cardiomyopathy* (HCM), defined as unexplained cardiac hypertrophy, affects approximately 1 in 500 persons and is one of the most common genetic cardiovascular diseases.<sup>1</sup> Hypertrophic cardiomyopathy is one of the most common causes of sudden cardiac arrest in young athletes and a significant cause of sudden

death in the young in general.<sup>2,3</sup> Often viewed as a disease of the cardiac sarcomere, mutations in the genes encoding sarcomeric proteins of the heart, such as *MYH7*-encoded  $\beta$ -myosin heavy chain and *MYBPC3*-encoded cardiac myosin binding protein C, cause pathologic cardiac hypertrophy. This group of myofilament-encoding genes is the centerpiece of clinically available genetic tests for HCM, with *MYH7*- and *MYBPC3*-HCM being, by far, the 2 most common HCM genotypes. However, a large proportion of patients with HCM remain genetically and mechanistically elusive.<sup>4-6</sup>

Several independent studies have identified rare genetic mutations in genes encoding calcium ( $\text{Ca}^{2+}$ )-handling or  $\text{Ca}^{2+}$ -regulatory proteins in individuals with myofilament-negative HCM including junctophilin 2 (*JPH2*),<sup>7</sup> calreticulin (*CALR3*),<sup>8</sup> and troponin C (*TNNC1*).<sup>9</sup> All told, the prevalence of mutations in these genes constitutes a small minority of patients with mutation-negative HCM, yet may expand understanding of the role of  $\text{Ca}^{2+}$  in the pathogenesis of HCM.

From the <sup>a</sup>Mayo Medical School, Mayo Clinic, Rochester, MN, <sup>b</sup>Department of Molecular Pharmacology & Experimental Therapeutics, Mayo Clinic, Rochester, MN, <sup>c</sup>Department of Medicine/Division of Cardiovascular Diseases, Mayo Clinic, Rochester, MN, and <sup>d</sup>Department of Pediatric and Adolescent Medicine/Division of Pediatric Cardiology, Mayo Clinic, Rochester, MN.

\*Authors contributed equally and are coequal first authors.

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Reprint requests: Michael J. Ackerman, MD, PhD, Mayo Clinic Windland Smith Rice Sudden Death Genomics Laboratory, Guggenheim 501, Mayo Clinic, Rochester, MN 55905.

E-mail: ackerman.michael@mayo.edu

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Coordinated, highly regulated  $\text{Ca}^{2+}$  flux and  $\text{Ca}^{2+}$  homeostasis within the cardiocyte is critical for efficient excitation-contraction coupling and proper functioning of the beating heart. In cardiocytes, voltage-gated L-type  $\text{Ca}^{2+}$  channels at the sarcolemma allow for an influx of extracellular  $\text{Ca}^{2+}$  that triggers a relatively large  $\text{Ca}^{2+}$  release from the sarco/endoplasmic reticulum via intracellular  $\text{Ca}^{2+}$  release channels known as ryanodine receptors. This process, known as  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release, is the molecular initiator of myofilament-based myocyte contraction. Systolic contraction is terminated and diastolic relaxation is initiated by uptake of cytosolic  $\text{Ca}^{2+}$  back into the SR through the action of the energy-expending SR  $\text{Ca}^{2+}$  ATPase (SERCA2). *PLN*-encoded phospholamban (PLN, also known as PLB) is a small, 52-amino acid protein that negatively regulates the  $\text{Ca}^{2+}$  uptake action of SERCA2. Upon protein kinase A or calmodulin-dependent protein kinase 2 phosphorylation at serine 16 and threonine 17, respectively, this inhibition is relieved, leading to increased  $\text{Ca}^{2+}$  transfer from the cytosol into the SR increasing diastolic relaxation.<sup>10,11</sup>

Recently, familial mutations and common polymorphisms in the coding sequence and promoter regions of *PLN* have been implicated in human disease including dilated cardiomyopathy (DCM) and HCM.<sup>8,12</sup> Based on these studies and the recent identification of a potential  $\text{Ca}^{2+}$ -mishandling HCM genetic subtype, we sought to determine the prevalence of *PLN* mutations in a large cohort of HCM patients and to summarize the literature to date.

## Methods

### Study populations

Between April 1997 and April 2007, 1,064 unrelated index cases were evaluated in the Hypertrophic Cardiomyopathy Clinic at Mayo Clinic, Rochester, MN, and consented to HCM genetic testing. Following receipt of written consent for this Mayo Foundation Institutional Review Board–approved protocol, DNA was extracted from peripheral blood lymphocytes using the Puregene DNA extraction kit (Gentra, Inc, Minneapolis, MN).

Clinical data were collected on all HCM patients including physical examination, pertinent personal and family history, 12-lead electrocardiogram (ECG) analysis, and echocardiographic testing to determine mean left ventricular wall thickness (MLVWT) and maximum left ventricular outflow tract gradient before myectomy. Each of the subjects met the clinical diagnostic criteria for HCM (ie, MLVWT >13 mm in the absence of other confounding diagnoses). A panel of 300 control samples, including 200 white subjects and 100 African American subjects, was obtained from the Coriell Institute for Medical Research (Camden, NJ) to serve as ostensibly healthy control individuals.

### Mutational analysis

Comprehensive genetic analysis of the promoter and coding region of *PLN* was conducted using polymerase chain reaction (PCR) amplification followed by denaturing high-performance liquid chromatography (DHPLC; Transgenomic, Omaha, NE) heteroduplex analysis. Promoter sequence was based on the

**Table I.** Summary of the demographics and clinical characteristics of the 1064-proband HCM cohort

Clinical characteristics	HCM cohort
No. of individuals	1064
Sex, male/female	635/429
Age at diagnosis (y)	44.4 ± 18.6
MLVWT (mm)	20.9 ± 5.9
Resting LVOTO (mm Hg)	43.7 ± 43.5
Positive fam hx for HCM	29%
Positive fam hx for SCD	12%
Surgical myectomy	39%
Atrial fibrillation	21%
Ablation	9%
ICD	18%
Pacemaker	19%

LVOTO, Left ventricular outflow tract obstruction; fam hx, family history in a first-degree relative; SCD, sudden cardiac death/arrest; ICD, implantable cardioverter-defibrillator.

National Center for Biotechnology Information accession number AF177763. Primer sequences, PCR, and DHPLC conditions are available upon request. Abnormal DHPLC elution profiles were directly sequenced (ABI Prism 377; Applied Biosystem, Foster City, CA) to characterize the difference between the wild-type and variant alleles. *PLN*-positive subjects were analyzed for mutations in 9 myofilament-HCM associated genes (*MYBPC3*, *MYH7*, *TNNT2*, *TNNI3*, *TNNC1*, *TPM1*, *ACTC*, *MYL2*, and *MYL3*) as well as the HCM phenocopy-associated genes (*PRKAG2*, *GLA*, and *LAMP2*).

### Pedigree analysis

Pedigree expansion of *PLN* mutation-positive probands was done in accordance with the Mayo Foundation Institutional Review Board. Clinical history and genomic DNA extracted from peripheral blood lymphocytes were obtained from all participating family members, and mutation status for each individual was determined.

### Statistics

Cohort demographics, where appropriate, were expressed as mean ± SD.

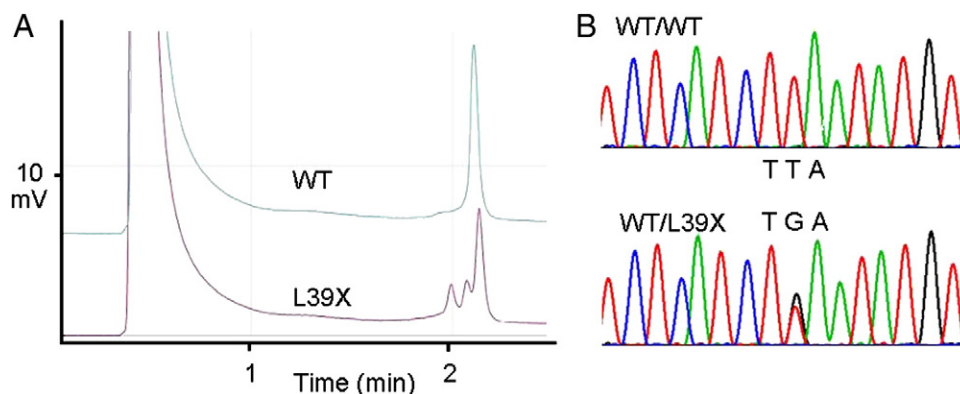
The analyses were performed with support from the Mayo Clinic Windland Smith Rice Comprehensive Sudden Cardiac Death Program, a Leducq Fondation program grant “Alliance for Calmodulin Kinase II Signaling in Heart Disease,” and the National Institutes of Health 1P01HL094291. The authors are solely responsible for the design and content of this study, all study analyses, the drafting and editing of the paper, and its final contents.

## Results

### Genetic analysis

The demographics of our proband-based HCM cohort are shown in Table I. Briefly, our cohort of 1,064 cases (~60% male) were diagnosed with HCM at 44.4 ± 18.6 years with a MLVWT of 20.9 ± 5.9 mm and a mean resting left ventricular outflow tract gradient of 43.7 ± 43.5 mm Hg.

**Figure 1**



L39X nonsense mutation identification. **A**, Denaturing high-performance liquid chromatography elution profile of the PCR product for the L39X mutation compared with wild type. **B**, Direct DNA sequencing chromatogram of the heterozygous L39X mutation demonstrating a TTA to TGA mutation compared with wild type.

Genetic analysis of this cohort revealed one open reading frame nucleotide alteration in a single index case. As depicted in [Figure 1](#), this T to G transition resulted in a leucine (TTA) to termination codon (TGA) nonsense mutation at position 39 (L39X) in a heterozygous manner. This white patient was negative for mutations in all 9 myofilament genes that have been associated with HCM and are currently part of commercially available genetic tests. This mutation was absent in 600 reference alleles (200 African American, 400 white American). Furthermore, no amino acid-altering genetic variants were observed in the *PLN* coding sequence in any of these 600 reference alleles.

In addition, rare promoter variants were identified in 5 of 1,064 probands that were not identified in 600 reference alleles. Two heterozygous common polymorphisms were also identified in the promoter (A>C -36: 85/1,064, 8.0% of HCM probands; 2/100, 2% of African Americans; and 15/200, 7.5% of white Americans) and in the 5' untranslated region (G>A; 8/1,064, 0.75% of HCM probands; and 9/100, 9% of African Americans).

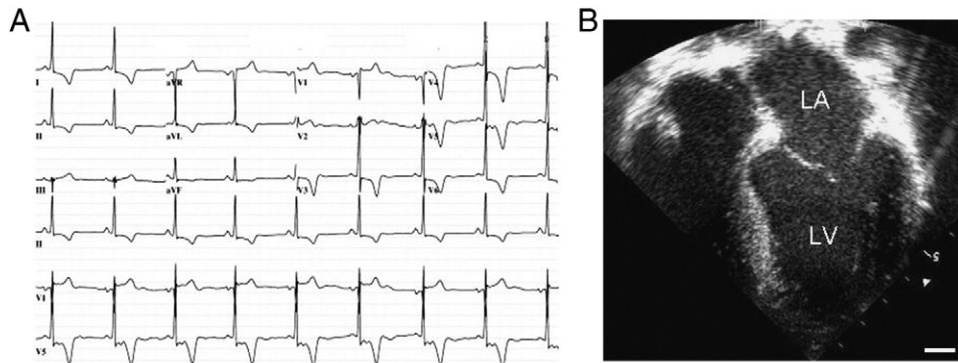
#### PLN-L39X proband

The PLN-L39X nonsense mutation was identified in a 58-year-old white man with septal and apical HCM. He was diagnosed at 51 years of age presenting with palpitations and was maintained on atenolol and amiodarone for approximately 2 years when he developed recurrent palpitations and chest discomfort with normal angiography. At this time, he was diagnosed with Wolff-Parkinson-White (WPW) syndrome because of abnormalities on resting ECG. He was also diagnosed with thyrotoxicosis secondary to amiodarone and was placed

on propylthiouracil after discontinuing the amiodarone. He was placed on candesartan cilexetil and sotalolol.

Over the next month, he continued to develop episodic palpitations of increasing frequency, presyncope, dyspnea, and left-sided chest discomfort radiating to the left shoulder and arm, particularly while physically active and occasionally while supine. At this time, ECG ([Figure 2, A](#)) and echocardiographic ([Figure 2, B](#)) analysis revealed sinus bradycardia with paroxysmal atrial fibrillation/flutter, a MLVWT of 24 mm, and left atrial enlargement with mild mitral valve regurgitation without resting or valsalva-induced left ventricular outflow tract obstruction. He demonstrated a normal ejection fraction of 68% at rest, increasing to 90% at peak physical exertion. He was found to have conduction block as well as ventricular ectopy with symptomatic nonsustained ventricular tachycardia for which he received an internal cardioverter-defibrillator. He reported a positive family history of HCM involving his mother, one sister, and one grandchild who have been diagnosed with HCM echocardiographically. He reported no family history of sudden cardiac death, DCM, or heart failure.

Given the proband's previous diagnosis of WPW and the association between ventricular preexcitation and specific HCM disease phenocopies, we next explored the possibility that a compound mutation in *PRKAG2*-encoded  $\gamma 2$  regulatory subunit of adenosine monophosphate-activated protein kinase, *GLA*-encoded  $\alpha$ -galactosidase A, or *LAMP2*-encoded lysosome-associated membrane protein 2 might account for this disease phenotype. Although mutations in *PRKAG2* have been associated with the development of WPW, the proband was found to be *PRKAG2* mutation negative.<sup>13,14</sup> In addition, the proband

**Figure 2**

L39X proband clinical characteristics. **A**, Twelve-lead ECG demonstrating criteria for left ventricular hypertrophy and T-wave abnormalities. Bar = 0.4 second. **B**, Still image of end diastole from an echocardiogram demonstrating asymmetric apical and septal hypertrophy with left atrial dilation. Bar = 10 mm. LA, Left atrium; LV, left ventricle.

did not host a mutation in Fabry disease-associated *GLA* or Danon syndrome-associated *LAMP2*.<sup>15-17</sup>

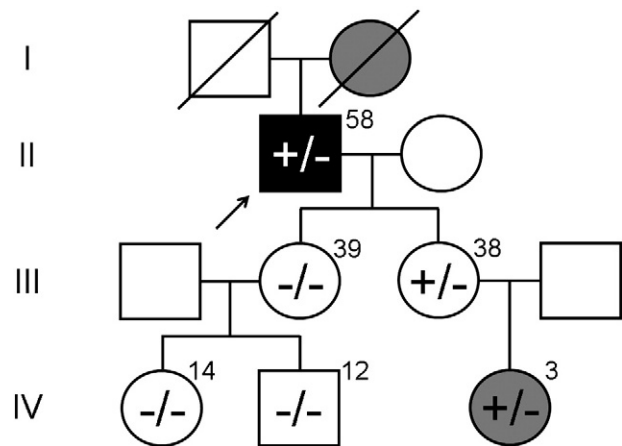
#### PLN-L39X pedigree

In an attempt to investigate whether this nonsense mutation might cosegregate with the proband's family history of HCM, we obtained genomic DNA from as many first- and second-degree relatives as possible (Figure 3). The proband has 2 daughters, ages 39 and 38 years. The older daughter is HCM phenotype negative with a phenotype-negative son (14 years old) and daughter (12 years old) who are all PLN-L39X mutation negative. Conversely, the younger daughter, who does not demonstrate features of HCM currently, has a 3-year-old HCM phenotype-positive daughter. Both host the PLN-L39X mutation. Unfortunately, no autopsy tissue was available on the proband's deceased HCM-positive mother to permit a PLN-L39X confirmatory postmortem genetic test.

## Discussion

### PLN mutations in HCM and DCM

Over the past few years, promoter and coding region variants of *PLN* have been associated with DCM/heart failure and HCM. For example, a C to G conversion at position -42 (C>G -42) promoter variant has been described in one HCM case in a study that included 186 HCM and DCM patients.<sup>18</sup> This variant, found in a woman diagnosed with HCM at 67 years of age with atrial fibrillation, had reduced penetrance in a small familial pedigree. A second promoter variant, an A>G -77 mutation, was identified in 1 of 87 HCM patients.<sup>19</sup> As with the C>G -42 variant, the proband hosting this variant was female, diagnosed with HCM at 56 years of age, and demonstrated paroxysmal atrial fibrillation. In

**Figure 3**

L39X proband pedigree. The PLN-L39X proband (arrow) with a history of HCM and atrial fibrillation has an echocardiography-proven HCM-affected mother (deceased, diagonal line), sister (not shown), and granddaughter. One daughter of the proband with no evidence of HCM does not host the L39X mutation, nor do her 2 phenotype-negative daughters. The second daughter of the proband, who has yet to develop signs of HCM, hosts the PLN-L39X mutation, as does her daughter who is HCM phenotype positive. Numbers indicate age of family members at time of study. White, no cardiovascular phenotype; gray, HCM; black, HCM and atrial fibrillation; +, PLN-L39X positive; -, wild-type *PLN*.

addition to unique variants in the promoter, a common A>C -36 variant has been controversially found in higher frequency among patients with DCM.<sup>20,21</sup> However, in this study, we did not observe overrepresentation of this variant in HCM probands (8.0%) compared with

**Table II.** Summary of *PLN* promoter and coding region mutations identified across multiple proband-based cohorts

HCM cohort		Promoter		Coding region		Reference
N	Ethnicity	Mutations	Prevalence (% frequency)	Mutations	Prevalence (% frequency)	
87	Japanese	A>G -77	1/87 (1.15)	–	0/87	[19]
101	Spanish	C>G -42	1/101 (0.99)	–	0/101	[18]
53	Greek	–	0/53 (0)	–	0/53	[27]
38	European	–	0/38 (0)	–	0/38	[28]
252	Australian	Not reported	Not reported	L39X	1/252 (0.40)	[8]
1064	North American	C>T -235, A>C -198, A>G -120, T>C -114, G>T -47	5/1064 (0.47)	L39X	1/1064 (0.094)	
	Overall		7/1343 (0.52)		2/1595 (0.13)	

N, Number of probands genotyped for *PLN*.

ostensibly healthy, ethnically matched individuals (2% of African Americans and 7.5% of white Americans).

In addition to promoter variants, mutations in the coding region of *PLN* have been associated with cardiovascular disease, mainly DCM or heart failure. The PLN-R9C mutation, identified in a large family of DCM patients,<sup>22</sup> and a deletion of arginine 14 (PLN-R14del), found in a large cohort of 1,203 DCM cases,<sup>23</sup> have been described. Notably, Haghghi et al<sup>12</sup> described the PLN-L39X mutation in a large family of hereditary heart failure and demonstrated that genetic “dosage” correlated with progression of individuals toward heart failure. Individuals within the family homozygous for PLN-L39X either demonstrated or quickly progressed to heart failure, whereas individuals hosting one PLN-L39X mutation were either unaffected or demonstrated HCM. Chiu et al<sup>8</sup> recently demonstrated the same nonsense mutation in 1 of 252 HCM cases. In close similarity with previously described HCM-associated *PLN* promoter variants, the L39X proband hosting this heterozygous mutation was a woman who was diagnosed later in life at 61 years of age with HCM upon development of palpitations, syncope, and dyspnea, and who demonstrated atrial fibrillation.

In our HCM cohort, heterozygous PLN-L39X was identified in a man, diagnosed at 58 years of age, demonstrating paroxysmal atrial fibrillation. The proband was genotype negative for the 9 canonical HCM-associated myofibrillar genes as well as the 3 HCM phenocopy-associated genes. Furthermore, although HCM phenocopy diseases can present with isolated left ventricular hypertrophy, the proband did not demonstrate additional clinical findings that might suggest a non-HCM diagnosis such as skeletal muscle myopathy, mental retardation, or ophthalmic abnormalities commonly associated with Danon disease,<sup>24</sup> and acroparesthesias, angiokeratoma, corneal and lenticular opacities, and anhidrosis variably associated with Fabry disease.<sup>25,26</sup>

In agreement with previous findings, our PLN-L39X proband demonstrated cardiac hypertrophy with a family history suggestive of an autosomal dominant mode of

inheritance, as his mother, sister, and granddaughter have HCM. The PLN-L39X mutation cosegregates with incidence of HCM in this pedigree, and absence of an HCM phenotype in the proband's genotype-positive daughter at the present time indicates incomplete penetrance of the disease. Although all HCM-positive members of this pedigree host the PLN-L39X mutation, the relatively small size of the family and of the number of individuals available for genotyping prevents formal quantification of the strength of this cosegregation with a logarithm of the odds score. The absence of a family history of heart failure or DCM in this pedigree, as well as a normal ejection fraction by the proband, supports the conclusion that heterozygous PLN-L39X is an HCM-predisposing mutation specifically. Importantly, future studies identifying the PLN-L39X variant in a larger family with HCM are required to validate this possibility. In addition, given that the frequency of atrial fibrillation in our cohort is 21%, the identification of this arrhythmia in all 4 PLN-HCM mutation cases described to date is notable. Again, further studies are required to elucidate any potential association between *PLN* function and atrial fibrillation.

Our data further suggest that genetic variation in *PLN* is rare and might even contain a relative “hot-spot”-termination mutation at position 39. In an effort to determine the prevalence of *PLN* mutations in HCM patients across multiple cohorts, we identified several studies in the literature that genetically interrogated the coding region of *PLN* across multiple index-case cohorts from variable ethnic regions. These results are summarized in Table II. Four studies that genotyped small HCM cohorts of Japanese,<sup>19</sup> Spanish,<sup>18</sup> Northern Greek,<sup>27</sup> and European individuals (Swiss and German)<sup>28</sup> did not identify coding region mutations in *PLN*. A single study demonstrated an Australian case hosting the L39X mutation in 1 of 252 cases.<sup>8</sup> Likewise, three putative mutations, not found in healthy individuals, were identified in the promoter region of *PLN* including the previously mentioned 1 of 87 Japanese cases (A>G -77),<sup>19</sup> and 1 of 101 Spanish cases (C>G -42).<sup>18</sup>

## PLN genotyping in HCM

Across the 6 independent cohorts we identified in the literature, including our own, we identified 2 probands hosting the L39X premature truncation among 1,605 cases genotyped—a yield of 0.13%. Incorporation of promoter variants identified that were not found in healthy control populations (7/1,343, 0.52%) brings the overall yield of PLN genetic interrogation to 0.65% in HCM. We have shown previously that the prevalence of mutations in some canonical sarcomeric-HCM genes including *TNNC1* (0.4%), *TPM1*-encoded  $\alpha$ -tropomyosin (0.5%), and *ACTC*-encoded actin (0.3%) are similar.

Importantly, across the 600 reference alleles that were genotyped for *PLN* genetic variants, we did not identify any amino acid–altering variation; nor did we identify any rare promoter variants that might be considered “false-positive” results for *PLN* genetic testing. Indeed, identification of 2 well-documented common polymorphisms in the promoter and 5′ untranslated region constituted all “healthy” genetic variability in *PLN*. Furthermore, although the yield of genetic interrogation of *PLN* in HCM probands is low, comprehensive genotyping can be accomplished in just 2 amplicons, which may argue favorably for inclusion on the genetic test for HCM especially because the interpretative signal-to-noise ratio for a particular *PLN* mutation would be favorable.<sup>29</sup>

## Conclusions

Mutations in *PLN*, such as the L39X, are rare among patients with HCM. However, despite the low yield of *PLN*-associated HCM genetic testing, the small size of *PLN* and the paucity of genetic variation in *PLN* among healthy subjects warrant consideration for its inclusion in clinically available HCM gene test panels. Whether or not perturbations in phospholamban are directly responsible for the observed phenotype of HCM with atrial fibrillation in the *PLN*-positive subjects requires further investigation.

## Disclosures

M. J. A. is a consultant for Medtronic; St. Jude Medical, Inc; Boston Scientific; and PGxHealth and serves on PGxHealth's Scientific Advisory Board.

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