

Progressive Spinal Kyphosis in the Aging Population

Tamir Ailon, MD, MPH*
Christopher I. Shaffrey, MD, FACS*
Lawrence G. Lenke, MD‡
James S. Harrop, MDS
Justin S. Smith, MD, PhD*

*Department of Neurosurgery, University of Virginia Health System, Charlottesville, Virginia; ‡Department of Orthopedic Surgery, University School of Medicine, Saint Louis, Missouri; §Department of Neurosurgery, Thomas Jefferson University, Philadelphia, Pennsylvania

Correspondence:

Justin S. Smith, MD, PhD,
 University of Virginia Health Sciences
 Center, Department of Neurosurgery,
 PO Box 800212,
 Charlottesville, VA 22908.
 E-mail: jss7f@virginia.edu

Copyright © 2015 by the
 Congress of Neurological Surgeons.

Thoracic kyphosis tends to increase with age. Hyperkyphosis is defined as excessive curvature of the thoracic spine and may be associated with adverse health effects. Hyperkyphosis in isolation or as a component of degenerative kyphoscoliosis has important implications for the surgical management of adult spinal deformity. Our objective was to review the literature on the epidemiology, etiology, natural history, management, and outcomes of thoracic hyperkyphosis. We performed a narrative review of literature on thoracic hyperkyphosis and its implications for adult spinal deformity surgery. Hyperkyphosis has a prevalence of 20% to 40% and is more common in the geriatric population. The cause is multifactorial and involves an interaction between degenerative changes, vertebral compression fractures, muscular weakness, and altered biomechanics. It may be associated with adverse health consequences including impaired physical function, pain and disability, impaired pulmonary function, and increased mortality. Nonoperative management may slow the progression of kyphosis and improve function. Surgery is rarely performed for isolated hyperkyphosis in the elderly due to the associated risk, but is an option when kyphosis occurs in the context of significant deformity. In this scenario, increased thoracic kyphosis influences selection of fusion levels and overall surgical planning. Kyphosis is common in older individuals and is associated with adverse health effects and increased mortality. Current evidence suggests a role for nonoperative therapies in reducing kyphosis and delaying its progression. Isolated hyperkyphosis in the elderly is rarely treated surgically; however, increased thoracic kyphosis as a component of global spinal deformity has important implications for patient selection and operative planning.

KEY WORDS: Adult spinal deformity, Dowager's hump, Proximal junctional kyphosis, Senile kyphosis, Thoracic hyperkyphosis

Neurosurgery 77:S164–S172, 2015

DOI: 10.1227/NEU.0000000000000944

www.neurosurgery-online.com

Degenerative changes affecting the spine accumulate and contribute to an overall trend of kyphosis with increased age.¹ Hyperkyphosis, defined as excessive curvature of the thoracic spine in the sagittal plane, is commonly referred to as “dowager’s hump.” The prevalence of hyperkyphosis in older individuals is not precisely known but has been reported to be between 20% and 40%.^{2,3} In general,

ABBREVIATIONS: **ASD**, adult spinal deformity; **BMD**, bone mineral density; **DDD**, degenerative disc disease; **LL**, lumbar lordosis; **OR**, odds ratio; **PI**, pelvic incidence; **PJK**, proximal junctional kyphosis; **PT**, pelvic tilt; **SSV**, sagittal stable vertebra; **TK**, thoracic kyphosis; **UIV**, upper-most instrumented vertebra

a thoracic kyphosis angle greater than 40 degrees, which represents the 95th percentile of normal in young adults, is defined as hyperkyphosis.^{4,5} Mean thoracic kyphosis (TK) increases as we age: from 20 to 29 degrees in individuals under 40 years of age⁴ to 53 degrees in 60 to 74 year olds, and 66 degrees in those older than 75 years of age.⁶ The kyphosis angle increases more rapidly with age in women than in men.⁷ This progression makes it difficult to establish uniform thresholds that distinguish pathological hyperkyphosis from “normal” changes associated with aging.

Hyperkyphosis may negatively impact several aspects of an afflicted individual’s health including physical function, pulmonary function, pain and disability, and even mortality. Although

evidence is still limited, certain medical strategies seem to be effective in delaying progression and mitigating the adverse consequences of hyperkyphosis. Surgery is not commonly performed in elderly persons with isolated hyperkyphosis due to their typically high-risk profile, which often includes multiple medical comorbidities and poor bone quality. Operative intervention is therefore reserved for patients with neurological compromise, documented progressive disease impacting function, or intractable and refractory pain.⁸ In the broader context of the management of adult spinal deformity (ASD), hyperkyphosis has important implications for surgical planning, as its presence often necessitates long segment fusions, including the upper thoracic spine, to reduce the risk of proximal junctional kyphosis (PJK).

The present review will discuss the pathogenesis, evaluation, and management of thoracic hyperkyphosis. The surgical management of degenerative spinal deformity, including isolated sagittal plane deformity, the category in which hyperkyphosis falls, is discussed in a separate article within this supplement entitled “Degenerative Spinal Deformity.” The medical and surgical management of osteoporosis and osteoporotic vertebral fractures, a major contributing factor to hyperkyphosis in the elderly, will be discussed in articles within this supplement entitled “Perioperative Medical Management of Spine Surgery Patients With Osteoporosis” and “Management of the Elderly With Vertebral Compression Fractures,” respectively.

PATHOGENESIS

The pathogenesis of hyperkyphosis is multifactorial. The main anatomic structures that contribute to the sagittal curvature of the spine are the vertebral bodies and intervertebral discs. As such, any process that results in anterior wedging of the vertebral bodies or asymmetric collapse of the disc will increase kyphosis. Vertebral compression fractures are widely thought to be a major contributing factor in the development of age-related hyperkyphosis. A greater degree of thoracic kyphosis is seen in patients with one or more vertebral fractures than those without such fractures.^{7,9,10} Furthermore, observational studies have demonstrated an increase of 3.8 degree in overall kyphosis for each vertebral compression fracture.^{11,12} However, the minority of elderly individuals with the worst kyphosis (less than 40%) have underlying fractures of the vertebrae.^{9,13} These data suggest that other factors contribute to the development of increased kyphosis in older persons.

There is a strong association between osteoporosis, vertebral fractures, and hyperkyphosis. The failure load of a vertebral body is determined by the density and architecture of trabecular bone and the shape and size of the vertebral body. Fractures occur when forces on the vertebral body exceed its failure load, which can occur in the setting of weak bone or large forces.¹⁴ Biomechanical models of the spine that simulate stress loading indicate that forces applied to the osteoporotic spine comparable to those experienced in daily life are sufficient to cause vertebral wedging

and compression fractures.^{15,16} In women, a greater degree of kyphosis is correlated with increased likelihood of subsequent vertebral fractures, even in the absence of prior fractures.¹⁷ Additionally, as bone mineral density (BMD) decreases, the severity of wedging associated with compression fractures increases; this perpetuates further vertebral compression fractures due to increased load on the anterior column and progression of kyphosis in a cascading fashion.^{1,18,19}

Degenerative disc disease (DDD) likely plays an important role in both loss of lumbar lordosis and progression of thoracic kyphosis angle. With age, discs desiccate with resultant height loss, commonly in an asymmetric fashion with greater loss anteriorly. This may lead to anterior wedging and thus progression of kyphosis.^{9,12,18} For example, in a study of healthy women aged 39 to 91, a significant correlation between kyphotic angle and anterior disc height was identified ($r = -0.34$).²⁰ DDD exists in the majority of older adults with hyperkyphosis, including those with no evidence of vertebral fractures or osteoporosis.⁹ Nevertheless, strong associations between vertebral wedging and kyphosis angle^{1,18} suggest that it is the combination of DDD and vertebral compression deformity that contribute to development and progression of hyperkyphosis.

One important mechanism through which DDD may contribute to the development of osteoporotic vertebral fractures emerges in the concept of “stress-shielding.” According to this principle, disc degeneration is associated with reduced loading of the anterior vertebral body in the erect posture. As a result of decreased loading (“stress-shielding”), there is local reduction in BMD and inferior trabecular architecture. Flexion concentrates forces on the weakened vertebral body leading to failure and fracture at a decreased load.^{21,22} Cadaveric studies have demonstrated that, with upright posture, advanced disc degeneration transfers compressive load bearing from the anterior vertebral body to the posterior neural arch. Vertebral bodies between such severely degenerated discs had 20% lower trabecular volume fraction, 16% fewer trabeculae, and greater intertrabecular spacing in the anterior third compared with the posterior third. During flexion, more than 50% of the load bearing is transferred to the anterior vertebral body where compressive strength is proportional to BMD.²¹ Thus, intervertebral disc degeneration transmits load bearing from the anterior and middle columns to the posterior column, weakening the anterior vertebral body and predisposing it to fracture during flexion.

In addition to structural changes in the vertebral column, functional changes in posture and muscle strength are associated with degree of kyphosis. Several studies have demonstrated an inverse correlation between spinal extensor muscle strength and hyperkyphosis.^{23,24} In the lumbar spine, fatty degeneration and volume loss of the paraspinal muscles has been demonstrated in association with degenerative kyphosis.²⁵ Weak grip and ankle strength are associated with age-related hyperkyphosis, suggesting that general deconditioning is an important contributing factor in the geriatric population.^{19,26} Several spinopelvic parameters

have been shown to be strongly correlated with maximum TK including, pelvic incidence (PI), pelvic tilt (PT), and maximum lumbar lordosis (LL).²⁷ Similarly, cervical lordosis is correlated with thoracic kyphotic angle.⁶ These associations suggest that regional alignment of the pelvis and other spine regions influence and are impacted by thoracic kyphosis.

Thoracic hyperkyphosis may also result from a variety of idiopathic, genetic, and metabolic conditions. The most common idiopathic cause of hyperkyphosis is Scheuermann disease, which has an estimated prevalence of 8.3%²⁴ and likely has a genetic component.²⁸ Scheuermann disease is characterized by a kyphotic deformity of the thoracic or lumbar spine that typically manifests in early adolescence and results from developmental anterior wedging of the vertebrae. Genetic conditions associated with early onset hyperkyphosis include Marfan syndrome, Ehlers Danlos syndrome, osteogenesis imperfecta, and mucopolysaccharidoses.³ Hyperkyphosis is also more likely in older individuals who report a family history of the same, even after adjusting for family history of osteoporosis or vertebral fractures.³

ADVERSE HEALTH EFFECTS

The adverse health consequences of thoracic hyperkyphosis are varied and include impairment in physical function, diminished pulmonary function, increased vertebral fractures, increased falls, and an increase in mortality. Physical function impairment in association with hyperkyphosis has been demonstrated in several observational studies.^{24,29-35} Patients with hyperkyphosis perform poorer on formal tests of physical function including the timed get up and go test,³⁵ walking speed,^{29,33} and standing from a chair test.³⁴ Self-reported impairment in activities of daily living has also been reported in such patients.³²⁻³⁴ Women with hyperkyphosis have worse balance, wider stance, and slower gait velocity²⁶; importantly, these factors have all been associated with an increased risk of falls.¹⁹

Hyperkyphosis is associated with back pain, disability,^{7,32,36} and decreased quality of life.^{37,38} There are multiple potential mechanisms through which hyperkyphosis could cause pain including underlying degenerative changes, vertebral fractures (especially when acute), increased positive sagittal malalignment, sternal insufficiency fractures, and reflux esophagitis. Patients with osteoporosis report increased physical difficulty, more modifications to daily life, and greater fear regarding the future.³⁷ They also report poorer satisfaction with their health status and with their lives in general.³⁸

As discussed above, hyperkyphosis is associated with vertebral fractures. Although traditionally it has been presumed that fractures perpetuate kyphosis, the reverse is likely also true.^{17,39} Hyperkyphosis may result in altered mechanical forces on the thoracic vertebrae, which could increase the risk of osteoporotic fractures. Huang et al¹⁷ found an increased risk of fracture in older women with hyperkyphosis compared to those without (odds ratio [OR] 1.7 [1.0-3.0]) even after adjusting for age, BMD, and prior vertebral fracture. This suggests that hyperkyphosis is an

independent risk factor for future vertebral fractures. The risk of fracture increases with greater degree of thoracic kyphosis.

Modest impairment in pulmonary function has been consistently demonstrated in studies examining the impact of hyperkyphosis.^{40,41} Patients with hyperkyphosis are more likely to exhibit obstructive and restrictive ventilatory dysfunction,⁴² dyspnea,⁴² and decreased exercise tolerance⁴³ than those with <40 degrees of kyphosis. An increase in kyphosis, as measured by Cobb angle, is associated with decreased forced vital capacity.⁴⁴

Thoracic hyperkyphosis is associated with increased all-cause mortality and this association is stronger with more severe kyphosis. In particular, pulmonary death is more common in the presence of severe hyperkyphosis.¹³ Even after adjusting for vertebral fractures and osteoporosis, hyperkyphotic posture is a predictor of increased all-cause mortality.^{2,45} Furthermore, Kado et al⁴⁵ also showed that older women with hyperkyphosis and vertebral fractures had a higher mortality rate than those with either condition alone.

EVALUATION

Hyperkyphotic posture is readily identified on physical examination. History should focus on when the postural abnormality developed, associated pain and disability, and alleviating and aggravating factors. Risk factors for hyperkyphosis, including osteoporosis, previous vertebral fractures, and family history, should be evaluated. The presence of any neurological deficits should be ascertained, although these are uncommon in isolated thoracic hyperkyphosis. Medical comorbidities, common in this demographic, should be ascertained, as these may guide treatment selection. As with the evaluation of any spinal deformity, physical examination begins with postural assessment in the supine, standing, and walking position. Comparison between upright and supine position gives the examiner a sense of the rigidity of the kyphotic deformity. A thorough neurological examination, including motor power, sensation, and reflexes (including pathological), should be conducted, as the presence of deficits may mandate more urgent investigation and treatment.

The gold standard measurement of kyphosis is the Cobb angle from the cranial endplate of T2 to the caudal endplate of T12 on standing lateral long cassette radiographs. When T2 cannot be visualized due to overlying bone or soft tissue structures, T4 or T5 are used as a substitute. In the context of assessing a patient with ASD, the TK is measured along with standard radiographic parameters including the PI, PT, sagittal vertical axis, C7 plumb line, and Cobb angle of any coronal curves. For discussion of these measurements, please see the article "Degenerative Spinal Deformity" in this supplement. These measurements allow for a comprehensive assessment of spinal alignment to aid in selection of operative vs nonoperative care and, in the case of the former, surgical planning.

Several clinical methods are available to measure kyphosis and are typically used by clinicians who follow patients with hyperkyphosis and are involved in their nonoperative management (eg, physiatrists, geriatricians, rheumatologists). These include the Debrunner kyphometer, flexicurve ruler, inclinometer, and

goniometer.³ The Debrunner kyphometer and flexicurve ruler in particular have similar reliability and validity to standard radiographic measurements in the assessment of TK.¹¹

IMPACT OF HYPERKYPHOSIS ON SPINAL ALIGNMENT

Global spinal alignment is dependent on regional alignment of the various spine regions and the pelvis.⁴⁶ All else being equal, thoracic hyperkyphosis results in increased positive sagittal malalignment. Multiple studies have demonstrated that sagittal imbalance is the strongest driver of pain and disability in patients with ASD.⁴⁶⁻⁵⁴ As such, the degree of thoracic kyphosis is an important consideration in the overall management of patients with ASD. When considering operative intervention, the presence of increased thoracic kyphosis has important implications. Selection of the upper-most instrumented vertebra (UIV) in a planned thoracolumbar fusion depends in part on whether thoracic hyperkyphosis is present. The physiological apex of thoracic kyphosis, T5-8, should be avoided,^{55,56} and hence the UIV is typically at or below T10 (distal thoracic) or between T2 and T5 (proximal thoracic). Selection of a distal thoracic UIV in the presence of thoracic hyperkyphosis is undesirable, as there is an increased risk of suboptimal realignment and the development of postoperative PJK (Figure 1). Furthermore, patients with increased preoperative TK and distal thoracic, as compared to proximal thoracic UIV, have greater postoperative loss of correction.^{57,58} Selection of proximal thoracic UIV is not benign, however, as it has been associated with longer operative time, greater blood loss, and longer hospital stay.⁵⁹

Hyperkyphosis has a significant yet incompletely defined relationship with PJK. Glattes et al⁶⁰ have defined PJK as an increase in proximal junctional kyphotic angle by 10 degrees or more as measured from the caudal endplate of the UIV to the cephalad endplate of the vertebra 2 segments cranial to the UIV. According to this definition, PJK generally does not significantly impact clinical outcome or quality of life⁶⁰ and rarely leads to revision surgery.⁶¹ There are a subset of patients, however, with more severe PJK which is associated with pain, increased deformity, increased need for revision surgery and, occasionally, neurological deficit.⁶²⁻⁶⁵ The term proximal junctional failure (PJF) has been proposed to encompass this subgroup. Hart et al⁶⁶ have defined PJF as PJK that is associated with structural failure and associated with clinical deterioration (Figure 2).

Several studies have shown that thoracic hyperkyphosis is associated with an increased risk of PJK after long segment instrumentation for spinal deformity.⁶⁵ In particular, increased kyphosis from T2 to the UIV,⁶⁷ preoperative TK greater than 30 degrees⁶⁸ and greater than 40 degrees,⁶⁹ and greater preoperative and postoperative TK⁷⁰ have all been associated with increased risk of PJK. The impact of number of instrumented levels and location of UIV (proximal vs distal thoracic) on PJK rate is less well defined. Studies have demonstrated conflicting results: both greater and lesser number of instrumented levels has been

associated with higher risk of PJK.^{71,72} Similarly, upper and lower thoracic UIV have been reported as risk factors for PJK.^{59,72-74} Conversely, Ha et al⁷⁵ found no association between UIV location (proximal vs distal thoracic) and risk of PJK. Interestingly, they showed that the mechanism of failure differed by UIV location. Compression fracture was the most common mechanism for distal thoracic failure, whereas sublaxation was more frequent in the upper thoracic spine. Given the increased risk of PJK associated with preoperative thoracic hyperkyphosis and the propensity for surgeons to select a more proximal UIV in the presence of hyperkyphosis, studies evaluating the impact of UIV location on PJK rate are likely confounded. Indeed, the aforementioned studies did not adequately control for preoperative TK. Nevertheless, assessment of preoperative TK is an important consideration in surgical planning in the treatment of ASD.

MANAGEMENT

Nonoperative Treatment

Clinical guidelines for the nonoperative management of age-related hyperkyphosis do not currently exist. Potential modalities include exercise-based interventions, spinal orthosis, postural taping, and pharmacological therapy. Prospective cohort studies have demonstrated a modest improvement in thoracic hyperkyphosis with exercise-based interventions.⁷⁶⁻⁷⁸ However, randomized trials on the efficacy of such interventions have yielded conflicting results. Although some have demonstrated improved physical functioning⁷⁹ and spine extensor muscle strength,⁸⁰ others have not shown any difference in back strength²³ or kyphosis angle.^{23,81} It has been suggested that flexion exercises increase the risk of fractures in those with underlying osteoporosis and, hence, extension exercises should be used instead.⁸²

A single randomized controlled trial evaluating the effect of bracing on kyphosis was conducted in 62 older women with osteoporosis and TK of 60 degrees or greater. Wearing the brace (Spinomed; Medi, Whitsett, North Carolina) 2 hours a day for 6 months resulted in a decrease in kyphosis by 11% and was associated with increased spinal extensor muscle strength and improved standing height.⁸³ Despite the apparent efficacy of this orthosis, the benefit and potential consequences of passive bracing over the long term are unclear. Similarly, postural taping has been shown to reduce kyphosis in the immediate term,⁸⁴ but its long-term efficacy has not been investigated.

Elderly individuals with hyperkyphosis are commonly treated for concomitant osteoporosis with medications to improve bone density. These medications, which include antiresorptive (bisphosphonate) and bone-building agents, are effective in preventing vertebral compression fractures, but have not been demonstrated to improve hyperkyphosis. In a trial of 4432 postmenopausal women with osteopenia or osteoporosis treated with alendronate, there was no decrease in the progression of kyphosis over 4 years compared to placebo.⁸⁵



FIGURE 1. Standing long-cassette radiographs of a 72-year-old female with severe back pain and inability to stand upright show degenerative kyphoscoliosis. **A**, preoperative lateral view demonstrates severe sagittal malalignment with sagittal vertical axis of 18 cm. Thoracic kyphosis of 66 degrees was present, necessitating a proximal thoracic upper instrumented vertebra. **B**, postoperative lateral radiographs following T4 to ilium instrumented fusion with multiple thoracic and lumbar Smith-Petersen osteotomies demonstrates improved global alignment with reduction of thoracic hyperkyphosis.

Operative Treatment

Surgery to correct isolated hyperkyphosis in the elderly is not typically recommended due to the high prevalence of osteoporosis and other medical comorbidities that result in an unfavorable risk-benefit ratio. Based on 2 randomized controlled trials and a meta-analysis thereof, less invasive interventions including vertebroplasty and kyphoplasty do not seem to provide significant improvement in pain relief as compared to sham procedure in the treatment of vertebral fractures.⁸⁶⁻⁸⁸ Please see “Management

of the Elderly With Vertebral Compression Fractures” in this special issue for further discussion.

Surgery may be considered for hyperkyphosis when there is intractable pain, severe disability, significant pulmonary function impairment, or progressive neurological deficits. This is typically performed through a posterior-only approach, which carries the advantage of decreased blood loss and shorter surgical times as compared to anterior or combined approaches. Pedicle screw fixation combined with multiple Smith-Petersen osteotomies

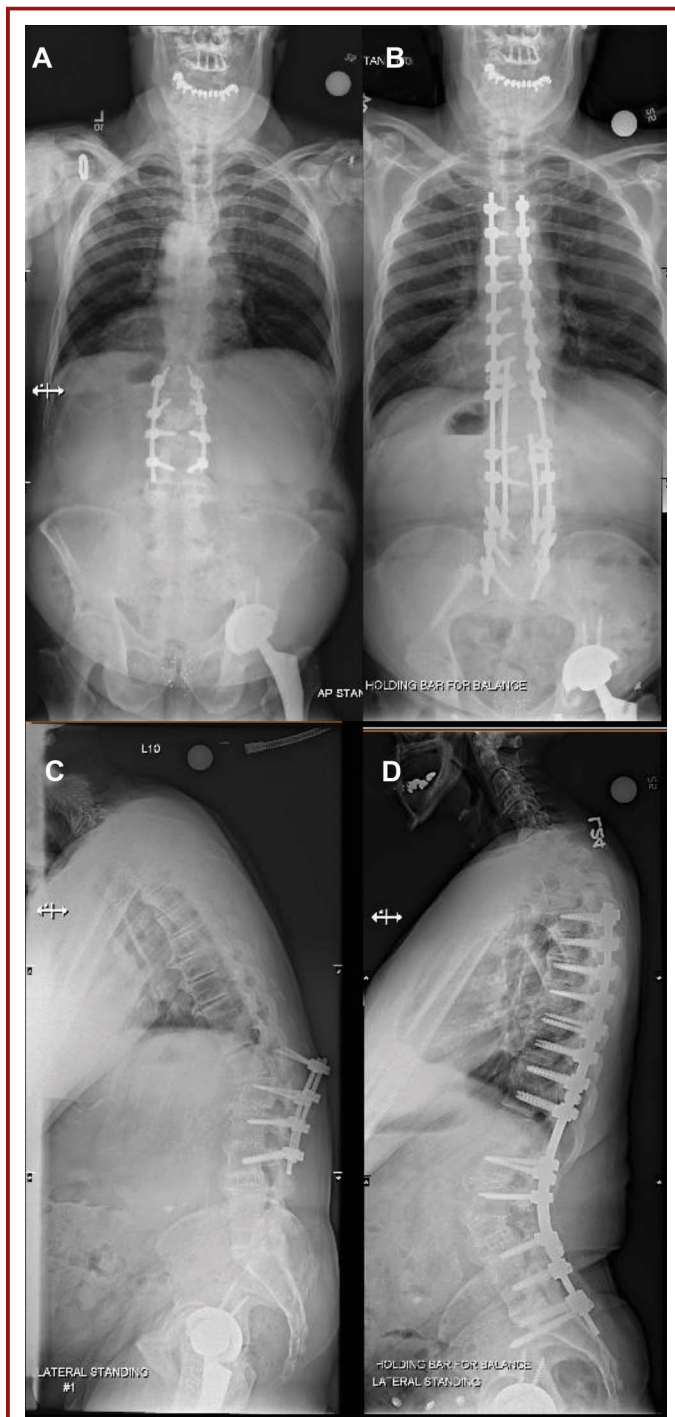


FIGURE 2. Case of a 75-year-old man with multiple previous spine surgeries who presented with severe pain, inability to stand, and prominent instrumentation. The patient was neurologically intact on presentation. Preoperative radiographs (A and C) demonstrate proximal junctional kyphosis and instrumentation failure (screw pull-out) at the upper instrumented vertebra (T12). The patient underwent T4-ilium posterior instrumented fusion, L3 extended pedicle subtraction osteotomy, and multiple Smith-Petersen osteotomies to achieve the correction shown in postoperative radiographs B and D.

yields satisfactory curve correction with a low incidence of loss of correction.^{89,90} Focal, severe angular deformity may necessitate 3 column osteotomy, typically performed at the apex of kyphosis, to successfully restore alignment.^{46,91}

Selection of the proximal fusion level should adhere to the principles outlined above regarding proximal vs distal thoracic UIV. In treating isolated thoracic hyperkyphosis, the UIV must include all kyphotic segments and should be symmetric with the construct below the apex. As stated above, one should avoid stopping at the physiological apex of thoracic kyphosis (T5-8), as this carries an increased risk of PJK. To guide selection of distal fusion level in the operative management of thoracic hyperkyphosis, Cho et al⁹² introduced the concept of the sagittal stable vertebra (SSV). They defined the SSV as the most proximal lumbar vertebral body that is contacted by a vertical line drawn from the posterior-superior corner of the sacrum. In 31 patients with thoracic hyperkyphosis who underwent long segment posterior instrumentation and fusion, the authors identified a significantly higher rate of distal junctional disease in patients in whom fusion stopped at the first lordotic vertebra as compared to the SSV. They concluded that the fusion should extend to the SSV to prevent distal junctional kyphosis.⁹² Surgical management of thoracic hyperkyphosis that occurs as a component of spinal deformity is discussed in “Degenerative Spinal Deformity.”

Recommendations

Hyperkyphosis may be associated with adverse health conditions, pain, and decreased function. As such, it should be recognized and treatment initiated to minimize its progression and mitigate the accompanying negative consequences. Nonoperative management should be considered first line. Patients should have a comprehensive assessment for osteoporosis and, if indicated, appropriate therapy instituted to optimize bone mineral density and reduce fracture risk. Exercise-based treatments focusing on postural alignment, strengthening back extensor muscles, and maintaining flexibility should be initiated. There are limited data showing reliable benefit of other nonoperative options (spinal orthosis, postural taping). Vertebroplasty and kyphoplasty are options for management of severe pain associated with vertebral fractures in select patients; however, data supporting their efficacy is lacking. Surgery may be considered for patients with severe pain and disability associated with hyperkyphosis and should adhere to principles of deformity correction outlined above. Such patients should undergo a thorough preoperative evaluation to characterize their surgical risk in the context of their medical comorbidities, bone quality, and overall health status.

CONCLUSION

Kyphosis is common in older individuals and, with the changing demographics in North America, its prevalence is expected to increase. Hyperkyphosis is associated with vertebral fractures (both as a cause and effect), impaired physical function, decreased quality of life, and increased mortality. Current evidence

suggests a role for nonoperative therapies in reducing kyphosis and delaying its progression. Isolated hyperkyphosis in the elderly is rarely treated surgically; however, increased thoracic kyphosis as a component of global spinal deformity has important implications for surgical patient selection and operative planning.

Disclosures

Dr Shaffrey is a consultant or stockholder, receives royalties, or has patents with Biomet, Nuvasive, Stockholder K2M, and Stryker. Dr Lenke has received grant monies from Axial Biotech and DePuy Synthes Spine. Dr Lenke shares numerous patents with Medtronic (unpaid). He is a consultant for Medtronic (monies donated to a charitable foundation). He was a consultant for DePuy Synthes Spine, K2M during the past 3 years. He receives substantial royalties from Medtronic and modest royalties from Quality Medical Publishing. Dr Lenke also receives or has received reimbursement related to meetings or courses from AOSpine, Broad-Water, DePuy Synthes Spine, K2M, Medtronic, the Scoliosis Research Society, the Seattle Science Foundation, and the Spinal Research Foundation. He is a past president of the Scoliosis Research Society. He is an Orthopaedic Research & Education Fund (OREF) board member, on the Associate Editorial Board of *Spine*, on the Editorial Board of the *Journal of Spinal Disorders and Techniques and Scoliosis*, on the Professional Advisory Board of Backtalk and the Scoliosis Association, on the Associate Board of the *Journal of Neurosurgery: Spine, The Spine Journal*, an Associate Editor for *iscoliosis.com* and *spineuniverse.com* and Deputy Editor of *Spine Deformity*, all of which are unpaid positions. Dr Harrop receives financial support from Asterias, Bioventus, and Tejjin; has stock in Axiomed; is a consultant for DePuy Synthes and Geron; is an unpaid consultant for Ascubio; is on the executive board of *Spine Universe, CNS* quarterly, and Congress of Neurological Surgeons (CNS); is a board or committee member for cervical Spine Research Society (CSRS), Peripheral Nerve Society (PNS), Jefferson University Physicians, and Lumbar Spine Research Society (LSRS). Dr Smith is a consultant or receives honorarium or royalties from Biomet; is a consultant, honorarium, with Nuvasive; is a consultant with Cerapedics; receives honorarium from K2M; receives research grants from DePuy Synthes and Arbeitsgemeinschaft für Osteosynthesefragen Spine North America (AOSNA); and receives research support from Arbeitsgemeinschaft für Osteosynthesefragen Spine North America (AOSNA). The other author has no personal, financial, or institutional interest in any of the drugs, materials, or devices described in this article.

REFERENCES

1. Milne JS, Lauder IJ. Age effects in kyphosis and lordosis in adults. *Ann Hum Biol.* 1974;1(3):327-337.
2. Kado DM, Huang MH, Karlamangla AS, Barrett-Connor E, Greendale GA. Hyperkyphotic posture predicts mortality in older community-dwelling men and women: a prospective study. *J Am Geriatr Soc.* 2004;52(10):1662-1667.
3. Kado DM, Prenovost K, Crandall C. Narrative review: hyperkyphosis in older persons. *Ann Intern Med.* 2007;147(5):330-338.
4. Fon GT, Pitt MJ, Thies AC Jr. Thoracic kyphosis: range in normal subjects. *AJR Am J Roentgenol.* 1980;134(5):979-983.
5. Voutsinas SA, MacEwen GD. Sagittal profiles of the spine. *Clin Orthop Relat Res.* 1986;210:235-242.
6. Boyle JJ, Milne N, Singer KP. Influence of age on cervicothoracic spinal curvature: an ex vivo radiographic survey. *Clin Biomech (Bristol, Avon).* 2002;17(5):361-367.
7. Ensrud KE, Black DM, Harris F, Ettinger B, Cummings SR. Correlates of kyphosis in older women. The fracture intervention trial research group. *J Am Geriatr Soc.* 1997;45(6):682-687.
8. Heary RF. Evaluation and treatment of adult spinal deformity. Invited submission from the Joint Section Meeting on Disorders of the Spine and Peripheral Nerves, March 2004. *J Neurosurg Spine.* 2004;1(1):9-18.
9. Schneider DL, von Muhlen D, Barrett-Connor E, Sartoris DJ. Kyphosis does not equal vertebral fractures: the Rancho Bernardo study. *J Rheumatol.* 2004;31(4):747-752.

10. Lyles KW, Gold DT, Shipp KM, Pieper CF, Martinez S, Mulhausen PL. Association of osteoporotic vertebral compression fractures with impaired functional status. *Am J Med.* 1993;94(6):595-601.
11. Siminoski K, Warshawski RS, Jen H, Lee KC. The accuracy of clinical kyphosis examination for detection of thoracic vertebral fractures: comparison of direct and indirect kyphosis measures. *J Musculoskeletal Neuronal Interact.* 2011;11(3):249-256.
12. Kado DM, Huang MH, Karlamangla AS, et al. Factors associated with kyphosis progression in older women: 15 years' experience in the study of osteoporotic fractures. *J Bone Miner Res.* 2013;28(1):179-187.
13. Kado DM, Browner WS, Palermo L, Nevitt MC, Genant HK, Cummings SR. Vertebral fractures and mortality in older women: a prospective study. Study of Osteoporotic Fractures Research Group. *Arch Intern Med.* 1999;159(11):1215-1220.
14. Myers ER, Wilson SE. Biomechanics of osteoporosis and vertebral fracture. *Spine (Phila Pa 1976).* 1997;22(24 suppl):25S-31S.
15. Bouxsein ML, Melton LJ III, Riggs BL, et al. Age- and sex-specific differences in the factor of risk for vertebral fracture: a population-based study using QCT. *J Bone Miner Res.* 2006;21(9):1475-1482.
16. Keller TS, Harrison DE, Colloca CJ, Harrison DD, Janik TJ. Prediction of osteoporotic spinal deformity. *Spine (Phila Pa 1976).* 2003;28(5):455-462.
17. Huang MH, Barrett-Connor E, Greendale GA, Kado DM. Hyperkyphotic posture and risk of future osteoporotic fractures: the Rancho Bernardo study. *J Bone Miner Res.* 2006;21(3):419-423.
18. Goh S, Price RI, Leedman PJ, Singer KP. The relative influence of vertebral body and intervertebral disc shape on thoracic kyphosis. *Clin Biomech (Bristol, Avon).* 1999;14(7):439-448.
19. Katzman WB, Wanek L, Shepherd JA, Sellmeyer DE. Age-related hyperkyphosis: its causes, consequences, and management. *J Orthop Sports Phys Ther.* 2010;40(6):352-360.
20. Manns RA, Haddaway MJ, McCall IW, Cassar Pullicino V, Davie MW. The relative contribution of disc and vertebral morphometry to the angle of kyphosis in asymptomatic subjects. *Clin Radiol.* 1996;51(4):258-262.
21. Adams MA, Pollintine P, Tobias JH, Wakley GK, Dolan P. Intervertebral disc degeneration can predispose to anterior vertebral fractures in the thoracolumbar spine. *J Bone Miner Res.* 2006;21(9):1409-1416.
22. Pollintine P, Dolan P, Tobias JH, Adams MA. Intervertebral disc degeneration can lead to "stress-shielding" of the anterior vertebral body: a cause of osteoporotic vertebral fracture? *Spine (Phila Pa 1976).* 2004;29(7):774-782.
23. Itoi E, Sinaki M. Effect of back-strengthening exercise on posture in healthy women 49 to 65 years of age. *Mayo Clin Proc.* 1994;69(11):1054-1059.
24. Sinaki M, Itoi E, Rogers JW, Bergstralh EJ, Wahner HW. Correlation of back extensor strength with thoracic kyphosis and lumbar lordosis in estrogen-deficient women. *Am J Phys Med Rehabil.* 1996;75(5):370-374.
25. Hyun SJ, Bae CW, Lee SH, Rhim SC. Fatty degeneration of paraspinal muscle in patients with the degenerative lumbar kyphosis: a new evaluation method of Quantitative Digital analysis using MRI and CT Scan. *J Spinal Disord Tech.* Epub 2013 Sep 3.
26. Balzini L, Vannucchi L, Benvenuti F, et al. Clinical characteristics of flexed posture in elderly women. *J Am Geriatr Soc.* 2003;51(10):1419-1426.
27. Vialle R, Levassor N, Rillardon L, Templier A, Skalli W, Guigui P. Radiographic analysis of the sagittal alignment and balance of the spine in asymptomatic subjects. *J Bone Joint Surg Am.* 2005;87(2):260-267.
28. Findlay A, Conner AN, Connor JM. Dominant inheritance of Scheuermann's juvenile kyphosis. *J Med Genet.* 1989;26(6):400-403.
29. Ryan SD, Fried LP. The impact of kyphosis on daily functioning. *J Am Geriatr Soc.* 1997;45(12):1479-1486.
30. Sinaki M, Brey RH, Hughes CA, Larson DR, Kaufman KR. Balance disorder and increased risk of falls in osteoporosis and kyphosis: significance of kyphotic posture and muscle strength. *Osteoporosis Int.* 2005;16(8):1004-1010.
31. Chow RK, Harrison JE. Relationship of kyphosis to physical fitness and bone mass on post-menopausal women. *Am J Phys Med.* 1987;66(5):219-227.
32. Ryan PJ, Blake G, Herd R, Fogelman I. A clinical profile of back pain and disability in patients with spinal osteoporosis. *Bone.* 1994;15(1):27-30.
33. Lombardi I Jr, Oliveira LM, Monteiro CR, Confessor YQ, Barros TL, Natour J. Evaluation of physical capacity and quality of life in osteoporotic women. *Osteoporosis Int.* 2004;15(1):80-85.
34. Kado DM, Huang MH, Barrett-Connor E, Greendale GA. Hyperkyphotic posture and poor physical functional ability in older community-dwelling men and women: the Rancho Bernardo study. *J Gerontol A Biol Sci Med Sci.* 2005;60(5):633-637.
35. Katzman WB, Vittinghoff E, Kado DM. Age-related hyperkyphosis, independent of spinal osteoporosis, is associated with impaired mobility in older community-dwelling women. *Osteoporosis Int.* 2011;22(1):85-90.
36. Erttinger B, Black DM, Palermo L, Nevitt MC, Melnikoff S, Cummings SR. Kyphosis in older women and its relation to back pain, disability and osteopenia: the study of osteoporotic fractures. *Osteoporosis Int.* 1994;4(1):55-60.
37. Martin AR, Sornay-Rendu E, Chandler JM, Duboeuf F, Girman CJ, Delmas PD. The impact of osteoporosis on quality-of-life: the OFELY cohort. *Bone.* 2002;31(1):32-36.
38. Takahashi T, Ishida K, Hirose D, et al. Trunk deformity is associated with a reduction in outdoor activities of daily living and life satisfaction in community-dwelling older people. *Osteoporosis Int.* 2005;16(3):273-279.
39. Porter RW, Johnson K, McCutchan JD. Wrist fracture, heel bone density and thoracic kyphosis: a case control study. *Bone.* 1990;11(3):211-214.
40. Harrison RA, Siminoski K, Vethanayagam D, Majumdar SR. Osteoporosis-related kyphosis and impairments in pulmonary function: a systematic review. *J Bone Miner Res.* 2007;22(3):447-457.
41. Lombardi I Jr, Oliveira LM, Mayer AF, Jardim JR, Natour J. Evaluation of pulmonary function and quality of life in women with osteoporosis. *Osteoporosis Int.* 2005;16(10):1247-1253.
42. Di Bari M, Chiarlone M, Matteuzzi D, et al. Thoracic kyphosis and ventilatory dysfunction in unselected older persons: an epidemiological study in Dicomano, Italy. *J Am Geriatr Soc.* 2004;52(6):909-915.
43. Ordu Gokkaya NK, Koseoglu F, Albayrak N. Reduced aerobic capacity in patients with severe osteoporosis: a cross sectional study. *Eur J Phys Rehabil Med.* 2008;44(2):141-147.
44. Leech JA, Dulberg C, Kellie S, Pattee L, Gay J. Relationship of lung function to severity of osteoporosis in women. *Am Rev Respir Dis.* 1990;141(1):68-71.
45. Kado DM, Lui LY, Ensrud KE, et al. Hyperkyphosis predicts mortality independent of vertebral osteoporosis in older women. *Ann Intern Med.* 2009;150(10):681-687.
46. Ames CP, Smith JS, Scheer JK, et al. Impact of spinopelvic alignment on decision making in deformity surgery in adults: a review. *J Neurosurg Spine.* 2012;16(6):547-564.
47. Glassman SD, Berven S, Bridwell K, Horton W, Dimar JR. Correlation of radiographic parameters and clinical symptoms in adult scoliosis. *Spine (Phila Pa 1976).* 2005;30(6):682-688.
48. Glassman SD, Bridwell K, Dimar JR, Horton W, Berven S, Schwab F. The impact of positive sagittal balance in adult spinal deformity. *Spine (Phila Pa 1976).* 2005;30(18):2024-2029.
49. Schwab F, Lafage V, Patel A, Farcy JP. Sagittal plane considerations and the pelvis in the adult patient. *Spine (Phila Pa 1976).* 2009;34(17):1828-1833.
50. Lafage V, Schwab F, Patel A, Hawkinson N, Farcy JP. Pelvic tilt and truncal inclination: two key radiographic parameters in the setting of adults with spinal deformity. *Spine (Phila Pa 1976).* 2009;34(17):E599-E606.
51. Bess S, Boachie-Adjei O, Burton D, et al. Pain and disability determine treatment modality for older patients with adult scoliosis, while deformity guides treatment for younger patients. *Spine (Phila Pa 1976).* 2009;34(20):2186-2190.
52. Schwab F, Patel A, Ungar B, Farcy JP, Lafage V. Adult spinal deformity-postoperative standing imbalance: how much can you tolerate? an overview of key parameters in assessing alignment and planning corrective surgery. *Spine (Phila Pa 1976).* 2010;35(25):2224-2231.
53. Bridwell KH, Baldus C, Berven S, et al. Changes in radiographic and clinical outcomes with primary treatment adult spinal deformity surgeries from two years to three- to five-years follow-up. *Spine (Phila Pa 1976).* 2010;35(20):1849-1854.
54. Blondel B, Schwab F, Ungar B, et al. Impact of magnitude and percentage of global sagittal plane correction on health-related quality of life at 2-years follow-up. *Neurosurgery.* 2012;71(2):341-348; discussion 348.
55. Bernhardt M, Bridwell KH. Segmental analysis of the sagittal plane alignment of the normal thoracic and lumbar spines and thoracolumbar junction. *Spine (Phila Pa 1976).* 1989;14(7):717-721.
56. Silva FE, Lenke LG. Adult degenerative scoliosis: evaluation and management. *Neurosurg Focus.* 2010;28(3):E1.
57. Lafage V, Ames C, Schwab F, et al. Changes in thoracic kyphosis negatively impact sagittal alignment after lumbar pedicle subtraction osteotomy: a comprehensive radiographic analysis. *Spine (Phila Pa 1976).* 2012;37(3):E180-E187.
58. Rose PS, Bridwell KH, Lenke LG, et al. Role of pelvic incidence, thoracic kyphosis, and patient factors on sagittal plane correction following pedicle subtraction osteotomy. *Spine (Phila Pa 1976).* 2009;34(8):785-791.

59. O'Shaughnessy BA, Bridwell KH, Lenke LG, et al. Does a long-fusion "T3-sacrum" portend a worse outcome than a short-fusion "T10-sacrum" in primary surgery for adult scoliosis? *Spine (Phila Pa 1976)*. 2012;37(10):884-890.
60. Glattes RC, Bridwell KH, Lenke LG, Kim YJ, Rinella A, Edwards C II. Proximal junctional kyphosis in adult spinal deformity following long instrumented posterior spinal fusion: incidence, outcomes, and risk factor analysis. *Spine (Phila Pa 1976)*. 2005;30(14):1643-1649.
61. Kim YJ, Bridwell KH, Lenke LG, Glattes CR, Rhim S, Cheh G. Proximal junctional kyphosis in adult spinal deformity after segmental posterior spinal instrumentation and fusion: minimum five-year follow-up. *Spine (Phila Pa 1976)*. 2008;33(20):2179-2184.
62. Hart R, McCarthy I, O'Brien M, et al. Identification of decision criteria for revision surgery among patients with proximal junctional failure after surgical treatment of spinal deformity. *Spine (Phila Pa 1976)*. 2013;38(19):E1223-E1227.
63. Hart RA, McCarthy I, Ames CP, Shaffrey CI, Hamilton DK, Hostin R. Proximal junctional kyphosis and proximal junctional failure. *Neurosurg Clin N Am*. 2013;24(2):213-218.
64. Hostin R, McCarthy I, O'Brien M, et al. Incidence, mode, and location of acute proximal junctional failures after surgical treatment of adult spinal deformity. *Spine (Phila Pa 1976)*. 2013;38(12):1008-1015.
65. Lau D, Clark AJ, Scheer JK, et al. Proximal junctional kyphosis and failure after spinal deformity surgery: a systematic review of the literature as a background to classification development. *Spine (Phila Pa 1976)*. 2014;39(25):2093-2102.
66. Hart RA, Prendergast MA, Roberts WG, Nesbit GM, Barnwell SL. Proximal junctional acute collapse cranial to multi-level lumbar fusion: a cost analysis of prophylactic vertebral augmentation. *Spine J*. 2008;8(6):875-881.
67. Lee GA, Betz RR, Clements DH III, Huss GK. Proximal kyphosis after posterior spinal fusion in patients with idiopathic scoliosis. *Spine (Phila Pa 1976)*. 1999;24(8):795-799.
68. Maruo K, Ha Y, Inoue S, et al. Predictive factors for proximal junctional kyphosis in long fusions to the sacrum in adult spinal deformity. *Spine (Phila Pa 1976)*. 2013;38(23):E1469-E1476.
69. Kim JH, Kim SS, Suk SI. Incidence of proximal adjacent failure in adult lumbar deformity correction based on proximal fusion level. *Asian Spine J*. 2007;1(1):19-26.
70. Lonner BS, Newton P, Betz R, et al. Operative management of Scheuermann's kyphosis in 78 patients: radiographic outcomes, complications, and technique. *Spine (Phila Pa 1976)*. 2007;32(24):2644-2652.
71. Kim YJ, Bridwell KH, Lenke LG, Kim J, Cho SK. Proximal junctional kyphosis in adolescent idiopathic scoliosis following segmental posterior spinal instrumentation and fusion: minimum 5-year follow-up. *Spine (Phila Pa 1976)*. 2005;30(18):2045-2050.
72. Bridwell KH, Lenke LG, Cho SK, et al. Proximal junctional kyphosis in primary adult deformity surgery: evaluation of 20 degrees as a critical angle. *Neurosurgery*. 2013;72(6):899-906.
73. Kim HJ, Yagi M, Nyugen J, Cunningham ME, Boachie-Adjei O. Combined anterior-posterior surgery is the most important risk factor for developing proximal junctional kyphosis in idiopathic scoliosis. *Clin Orthop Relat Res*. 2012;470(6):1633-1639.
74. Denis F, Sun EC, Winter RB. Incidence and risk factors for proximal and distal junctional kyphosis following surgical treatment for Scheuermann kyphosis: minimum five-year follow-up. *Spine (Phila Pa 1976)*. 2009;34(20):E729-E734.
75. Ha Y, Maruo K, Racine L, et al. Proximal junctional kyphosis and clinical outcomes in adult spinal deformity surgery with fusion from the thoracic spine to the sacrum: a comparison of proximal and distal upper instrumented vertebrae. *J Neurosurg Spine*. 2013;19(3):360-369.
76. Ball JM, Cagle P, Johnson BE, Lucasey C, Lukert BP. Spinal extension exercises prevent natural progression of kyphosis. *Osteoporos Int*. 2009;20(3):481-489.
77. Katzman WB, Sellmeyer DE, Stewart AL, Wanek L, Hamel KA. Changes in flexed posture, musculoskeletal impairments, and physical performance after group exercise in community-dwelling older women. *Arch Phys Med Rehabil*. 2007;88(2):192-199.
78. Pawlowsky SB, Hamel KA, Katzman WB. Stability of kyphosis, strength, and physical performance gains 1 year after a group exercise program in community-dwelling hyperkyphotic older women. *Arch Phys Med Rehabil*. 2009;90(2):358-361.
79. Morey MC, Schenkman M, Studenski SA, et al. Spinal-flexibility-plus-aerobic versus aerobic-only training: effect of a randomized clinical trial on function in at-risk older adults. *J Gerontol A Biol Sci Med Sci*. 1999;54(7):M335-M342.
80. Benedetti MG, Berti L, Presti C, Frizziero A, Giannini S. Effects of an adapted physical activity program in a group of elderly subjects with flexed posture: clinical and instrumental assessment. *J Neuroeng Rehabil*. 2008;5:32.
81. Greendale GA, Huang MH, Karlamangla AS, Seeger L, Crawford S. Yoga decreases kyphosis in senior women and men with adult-onset hyperkyphosis: results of a randomized controlled trial. *J Am Geriatr Soc*. 2009;57(9):1569-1579.
82. Sinaki M, Mikkelsen BA. Postmenopausal spinal osteoporosis: flexion versus extension exercises. *Arch Phys Med Rehabil*. 1984;65(10):593-596.
83. Pfeifer M, Begerow B, Minne HW. Effects of a new spinal orthosis on posture, trunk strength, and quality of life in women with postmenopausal osteoporosis: a randomized trial. *Am J Phys Med Rehabil*. 2004;83(3):177-186.
84. Greig AM, Bennell KL, Briggs AM, Hodges PW. Postural taping decreases thoracic kyphosis but does not influence trunk muscle electromyographic activity or balance in women with osteoporosis. *Man Ther*. 2008;13(3):249-257.
85. Cummings SR, Black DM, Thompson DE, et al. Effect of alendronate on risk of fracture in women with low bone density but without vertebral fractures: results from the Fracture Intervention Trial. *JAMA*. 1998;280(24):2077-2082.
86. Buchbinder R, Osborne RH, Kallmes D. Vertebroplasty appears no better than placebo for painful osteoporotic spinal fractures, and has potential to cause harm. *Med J Aust*. 2009;191(9):476-477.
87. Kallmes DF, Comstock BA, Heagerty PJ, et al. A randomized trial of vertebroplasty for osteoporotic spinal fractures. *N Engl J Med*. 2009;361(6):569-579.
88. Staples MP, Kallmes DF, Comstock BA, et al. Effectiveness of vertebroplasty using individual patient data from two randomised placebo controlled trials: meta-analysis. *BMJ*. 2011;343:d3952.
89. Geck MJ, Macagno A, Ponte A, Shufflebarger HL. The Ponte procedure: posterior only treatment of Scheuermann's kyphosis using segmental posterior shortening and pedicle screw instrumentation. *J Spinal Disord Tech*. 2007;20(8):586-593.
90. Lee SS, Lenke LG, Kuklo TR, et al. Comparison of Scheuermann kyphosis correction by posterior-only thoracic pedicle screw fixation versus combined anterior/posterior fusion. *Spine (Phila Pa 1976)*. 2006;31(20):2316-2321.
91. Dorward IG, Lenke LG. Osteotomies in the posterior-only treatment of complex adult spinal deformity: a comparative review. *Neurosurg Focus*. 2010;28(3):E4.
92. Cho KJ, Lenke LG, Bridwell KH, Kamiya M, Sides B. Selection of the optimal distal fusion level in posterior instrumentation and fusion for thoracic hyperkyphosis: the sagittal stable vertebra concept. *Spine (Phila Pa 1976)*. 2009;34(8):765-770.

Acknowledgments

The authors thank AOSpine North America for the opportunity to participate in this special issue and Chi Lam, MS, for coordinating this endeavor.