

Attenuation of Inflammatory Events in Human Intervertebral Disc Cells with a Tumor

Necrosis Factor Antagonist

By

Steven Michael Sinclair

Department of Biomedical Engineering
Duke University

Date: _____

Approved:

Lori A. Setton, Supervisor

Fan Yuan

Jun Chen

Thesis submitted in partial fulfillment of
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ABSTRACT

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Abstract

Tumor necrosis factor alpha (TNF α) is a known mediator of inflammation and pain associated with radiculopathy and intervertebral disc (IVD) degeneration. Soluble TNF receptors (sTNFR) and their analogues are of interest for the clinical treatment of these IVD pathologies although information on the effects of sTNFR on human IVD cells remains unknown. The objective of this study was to investigate an ability for soluble TNF receptor type II (sTNFRII) to antagonize TNF α induced inflammatory events in primary human IVD cells *in vitro*. IVD cells were isolated from surgical tissues procured from 15 patients and cultured with or without 1.4 nM TNF α (25ng/ml). Treatment groups were co-incubated with varying doses of sTNFRII (12.5-100nM). Nitric oxide (NO), prostaglandin E₂ (PGE₂), and interleukin-6 (IL6) levels in media were quantified to characterize the inflammatory phenotype of the IVD cells.

Across all patients, TNF α induced large, statistically significant increases in NO, PGE₂, and IL6 secretion from cells compared to controls (60, 112, and 4-fold increases, respectively; $p < 0.0001$). Coincubation of TNF α with nanomolar doses of sTNFRII significantly attenuated the secretion of NO and PGE₂ in a dose-dependent manner, while IL6 levels were unchanged. Mean IC₅₀ values for NO and PGE₂ were found to be 24.0 nM and 29.0 nM, respectively. These results suggest this soluble TNF receptor to be a potent TNF antagonist with potential to attenuate inflammation in IVD pathology.

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1. Introduction

Tumor necrosis factor (TNF) is one of several pro-inflammatory cytokines believed to contribute to the painful symptoms and progressive pathology of intervertebral disc (IVD) disorders, including disc degeneration, disc herniation and lumbar or cervical radiculopathy[1-4]. TNF α is spontaneously produced by IVD tissues *ex vivo*[5,6], is expressed at higher levels in herniated IVD tissues from symptomatic patients compared to asymptomatic or autaptic controls, and its expression levels increase with increasing radiographic grade of degeneration in the IVD[1,7,8]. Macrophages are key producers of TNF α and their increased presence in herniated and degenerated IVD tissues has been suggested as one of several sources in the IVD[9-12].

Cells of the IVD respond to proinflammatory stimuli (TNF α , lipopolysaccharide (LPS), and interleukin-1 beta (IL-1 β)) *in vitro* with a net catabolic response characterized by decreased collagen and aggrecan synthesis, increased gene or protein expression for degradative collagenases, gelatinases and aggrecanases, as well as increased release of inflammatory mediators, such as nitric oxide (NO), prostaglandin E₂ (PGE₂), and interleukin-6 (IL6)[2,5,11,13-16]. TNF α exposure can also promote nerve growth factor (NGF) and NGF receptor expression in primary IVD cells *in vitro*[17]. Exogenous TNF α placed on dorsal root ganglia can induce decreased nerve conduction velocities[6,18] and increased mechanical allodynia and thermal hyperalgesia characteristic of IVD herniation in rat models[18,19], which suggests a potential role for TNF α in regulating pain-related IVD pathology. Together, these studies have motivated interest in developing and

characterizing therapies able to antagonize the inflammatory and catabolic events associated with TNF α exposure in the IVD[20-24].

While TNF α binds multiple receptors, two cell surface receptors, TNF receptors (TNFR) type I and II, are the most abundant and potent receptors for stimulating multiple apoptotic and pro-inflammatory pathways[25,26]. The molecular basis of TNF α signaling is complex and depends on cell lineage, but is known to involve the activation of NF- κ B, AP-1, and MAPK transduction factors in IVD cells[15,16]. Both p38 MAPK[14] and NF- κ B inhibitors[27] have been studied as therapeutic targets for antagonizing intracellular effects of TNF in IVD cells, and two anti-proliferative antibiotics, minocycline[28,29] and pentoxifylline[6], have been evaluated for treating neuropathic pain models in rats. TNF-neutralizing antibodies have also been examined for mediating inflammation in IVD tissues *in vitro*[30], evaluated in animal models[3,6,31,32], and clinically for IVD herniation-associated radiculopathy[21].

Soluble cleavage products of the Type I and Type II TNF receptors (sTNFR I and sTNFR II respectively) retain a high affinity for TNF α [33] and function as decoy binding sites for this cytokine[34,35]. TNF α activity can hence be antagonized by sequestering soluble TNF α away from target receptors or by interacting with membrane-associated TNF α that will promote cytokine internalization and degradation. Clinical interest in the use of soluble receptors as TNF antagonists has led to the development of sTNFR I and sTNFR II analogues formed by conjugation or fusion to a macromolecular carrier, such as polyethylene glycol (PEG) or immunoglobulin G (IgG) constant domain (pegsunercept[36]; lenercept[37] and etanercept[38]). Clinical trials of systemic

administration of etanercept, a sTNFRII:IgG fusion protein[38], have been conducted with the hope of reducing symptoms associated with lumbar radiculopathy[22,23]. While these and prior studies have shown interest in use of soluble TNF receptors or TNF-blocking antibodies for mediating nerve root-associated radiculopathy, data on the direct biological effects of sTNFR on IVD cells challenged with TNF α is not available. The objective of this study was to characterize the inflammatory response of human primary IVD cells following TNF α stimulation and test for a dose-dependent attenuation of these effects with sTNFRII.

2. Methods

2.1 Isolation of human IVD cells

Pathologic intervertebral disc tissues were obtained according to IRB approved protocols from 15 different patients undergoing surgery for treatment of degeneration or adult scoliosis (n=15). Tissues were procured from levels between L1 and L5 in patients ranging from 14 to 74 years of age. Regions corresponding to anulus fibrosus and nucleus pulposus were mechanically separated where possible, and only anulus fibrosus cells were isolated via enzymatic digestion as described previously[39] (0.3% pronase (Boehringer-Mannheim, Gaithersburg, MD, USA) and 0.3% collagenase II (Worthington Biochemical Corp., Lakewood, NJ, USA) per gram tissue). All reagents were obtained from Gibco (Invitrogen), unless otherwise noted. Cells were plated onto 25 cm² tissue culture plastic flasks, overlaid with culture medium (F-12 medium with 10% FBS (HyClone, Thermo Scientific, Waltham, MA, USA), 100 U/ml penicillin and 100 µg/ml streptomycin, 10 mM HEPES, 1 µg/ml Fungizone) and allowed to grow to confluence with a change of culture medium every 2-3 days. Cells for all experiments were used within 2 passages of culture after isolation.

2.2 Cell stimulation with TNF α and sTNFRII

Cells were seeded in gelatin-coated wells of 48-well plates (50,000 cells/well; 3 replicates per treatment group per patient sample), overlaid with 300µl of culture medium, and allowed to attach for 24hrs. Fresh culture medium (300µl) supplemented

with 1.4 nM rhTNF α (Abcam, Cambridge, MA, USA; 25 ng/ml; 17.4 kDa) was added to attached cells; control cells received an exchange of culture medium without TNF α . Cells in one of four treatment groups were co-treated with rh-sTNFR II (Abcam; 18.9kDa) at 12.5, 25, 50, or 100 nM, which was added at the same time as TNF α . The dose of TNF α was chosen to elicit a large enough inflammatory response such that attenuation with sTNFR II could be easily detected over a range of drug doses. All cells were cultured at 37°C and 5% CO $_2$ for an additional 72 hrs and supernatants were collected at the termination of all experiments and stored at -20°C until quantitation.

2.3 Quantitation of inflammatory mediators

All supernatants for each patient were assayed for release of NO (Griess reaction)[40], PGE $_2$ (ELISA, R&D Systems, Minneapolis, MN, USA), and IL6 (ELISA, R&D Systems). Standard curves for ELISAs were generated as prescribed by the manufacturer, and absorbance values of standards for NO quantitation were adjusted for the absence of culture medium.

Values for each inflammatory mediator in culture medium only were quantified and averaged across 3 replicates for each assay; this mean value was subtracted from corresponding data for each assay. A fold change from control (i.e., cells receiving no TNF α) was also calculated for each sample receiving TNF α to determine relative increases in inflammatory mediator secretion. Values of each inflammatory mediator for cells receiving sTNFR II were normalized by corresponding values for cells receiving TNF α only as a measure of TNF α attenuation. Mean fold changes and attenuation

fractions for each patient were calculated by averaging the range of values obtained from three control and three experimental replicates.

2.4 Statistical analyses

Box plots of NO, PGE₂, and IL6 data for all patients were generated to calculate a median, upper quartile (Q₃), lower quartile (Q₁), and interquartile range (IQR) for each data set. Medium-corrected data outliers—values greater or less than 1.5 IQR deviations from Q₃ or Q₁, respectively—were removed before calculating mean absolute responses to TNF α for all patients and fold-changes from control. A one-way ANOVA was used to detect differences in fold changes of NO, PGE₂, and IL6 levels between control and TNF α -treated cells at a significance level of 0.05. A one-way ANOVA with a post-hoc Tukey's HSD test was used to detect differences in attenuation of NO, PGE₂, and IL6 amongst groups receiving sTNFR_{II}. Dose-dependent attenuation of TNF α -induced effects was further quantified by calculating an IC₅₀ value for each inflammatory mediator. The attenuation fractions of NO, PGE₂, and IL6 for each patient for each dose of sTNFR_{II} normalized to TNF α only treatment groups were fit by nonlinear regression to a logistic curve to derive half maximal inhibitory concentrations (IC₅₀):

$$X = X_{\min} + \frac{X_{\max} - X_{\min}}{1 + \frac{[sTNFR_{II}]^k}{b}} \quad (1)$$

where X is the attenuation fraction of inflammatory mediator present relative to TNF α only controls, X_{\min} is the minimal observed fraction, and X_{\max} is the maximal observed fraction upon stimulation with TNF α . The Hill slope, k , and b are fit parameters. Mean

values for the normalized concentration of each inflammatory mediator for each patient were used to determine patient-specific IC_{50} , and average values across all patients were reported. Outlier values for each mediator were not used in determination of IC_{50} . Goodness of fit was reported as the coefficient of determination, R^2 ; and upper and lower bounds of the 95% confidence interval were graphically generated.

3. Results

3.1 Human IVD cell response to TNF α

Across all patients, TNF α (1.4 nM; 25ng/ml) induced a very large and statistically significant increase in release of NO, PGE₂, and IL6 (Table 1) from human primary IVD cells *in vitro* compared to controls cultured without TNF α , as expected. Control inflammatory mediator levels varied substantially amongst patient samples with individual sample responses to TNF α that ranged over 3 to 4 orders of magnitude for NO, PGE₂, and IL6 (Figure 1 and Table 1). Patient-matched fold-change responses to TNF α were calculated to account for inter-patient variability (Table 1) and mean fold-changes across all patients were reported.

Table 1: Increased release of NO, PGE₂, and IL6 from IVD cells induced by TNF α

	NO (μ M)		PGE ₂ (pg/ml)		IL6 (pg/ml)	
	Control	TNF	Control	TNF	Control	TNF
Median	0.65	14.0	438	15,700	525	2210
Mean \pm SEM*	0.58 \pm 0.16	16.6 \pm 2.36	476 \pm 99.3	40500 \pm 7520	556 \pm 45.0	2290 \pm 153
Mean Fold Increase	60.0		112		4.12	

A very large, statistically significant fold increase in NO, PGE₂, and IL6 release occurred 72hr after addition of TNF α (25ng/ml; $p < 10^{-4}$). *Calculated after removing outliers, as determined from box plot (Figure 1).

3.2 Attenuation of TNF α -induced inflammatory events

Coincubation with sTNFR_{II} significantly abated TNF α -induced release of NO and PGE₂ from human IVD cells in a dose-dependent manner (Figure 2). In contrast, TNF α -induced release of IL6 was not attenuated by sTNFR_{II}. Antagonist treatment

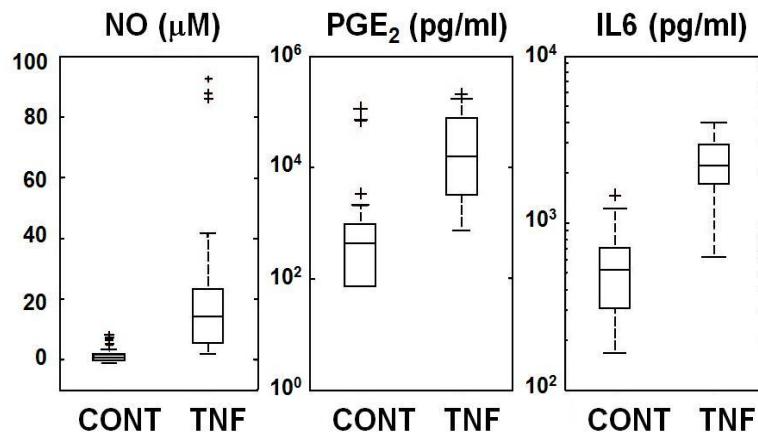


Figure 1. Box plots of NO, PGE₂, and IL6 release for all patients, including outliers (+) (see methods). Patient responses to TNF α ranged over multiple log scales for all three mediators. See Table 1 for additional presentation.

groups were normalized to patient-matched TNF α only levels to account for variable absolute responses between patients. A directly proportional relationship between dose of sTNFR_{II} and fractional attenuation of inflammatory mediator release was evident for NO and PGE₂, with maximal attenuation fractions of 0.61 and 0.70, respectively, at the highest sTNFR_{II} dose tested (100 nM).

Using two-parameter logistic non-linear regression models, IC₅₀ values for secreted NO and PGE₂ were calculated for each patient (see Equation 1). Goodness-of-fit was generally excellent ($R^2 > 0.95$). The mean IC₅₀ doses of sTNFR_{II} for NO and PGE₂ release were found to be 24.0 ± 9.0 nM and 29.0 ± 9.0 nM, respectively (mean \pm SEM).

Representative data fits for patients with IC_{50} values near median values for NO and PGE_2 are shown in Figure 3. These results indicate that relatively low molar excesses of sTNFR_{II} substantially attenuated the proinflammatory effects of TNF α on human IVD cells *in vitro*.

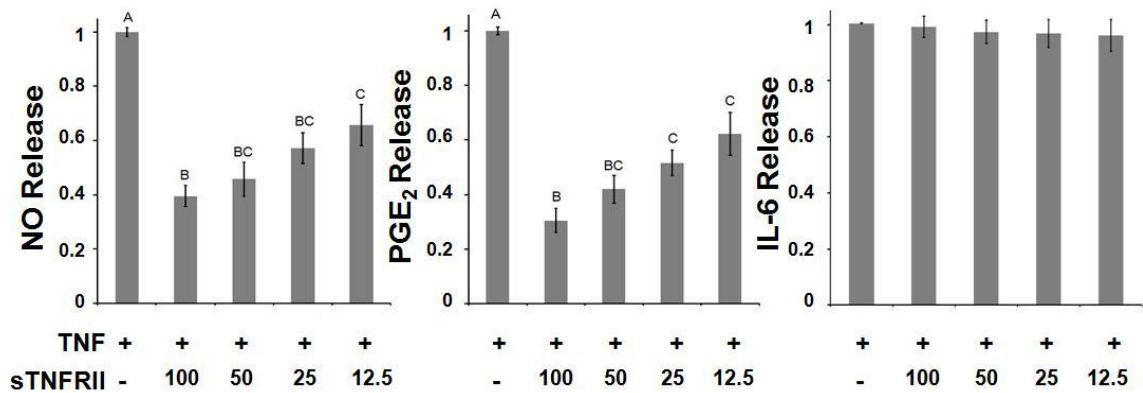


Figure 2: Dose-dependent attenuation of TNF α -induced release of NO (left; $p < 10^{-10}$), PGE₂ (middle; $p < 10^{-3}$), and IL6 (right) after 72hr incubation with 25ng/ml TNF α averaged across all patients (n=15). Values are reported as mass fractions, normalized to TNF α only control values (mean \pm SEM) (12.5–100 nM); groups without common letters are significantly different (one-way ANOVA with post-hoc Tukey’s HSD).

Attenuation of IL6 release followed an unexpected behavior for some patients. To investigate these results, sTNFR_{II} (50 nM) without TNF α was also added to cells from 5 individual patients and cultured for the same period of time under the same conditions. Surprisingly, the presence of sTNFR_{II} alone at a concentration of 50nM induced a significant release of IL6 (1.41 ± 0.04 fold-change; mean \pm SEM) from human IVD cells (n=5), but did not affect NO or PGE₂ release. This finding could explain the inability of sTNFR_{II} to significantly moderate TNF α -induced release of IL6.

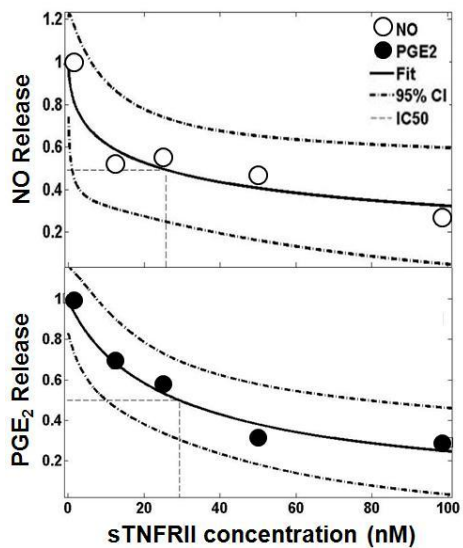


Figure 3: Logistic regression modeling of dose-dependent attenuation of NO and PGE₂ release plotted against dose of sTNFRII. A representative data set is shown. IC₅₀ values were calculated for each patient from fit parameters b and k, and a mean ± SEM was calculated. NO figure parameters ($R^2=0.95$, IC₅₀ = 24, b = 5.3, k = 0.52). PGE₂ figure parameters ($R^2 = 0.97$, IC₅₀ = 29, b = 21, k = 0.90).

4. Discussion

The primary objective of this study was to investigate an ability for sTNFRII to antagonize TNF α induced inflammatory events in primary human IVD cells *in vitro*. Across all patients, TNF α induced large, statistically significant increases in NO, PGE₂, and IL6 secretion from IVD cells compared to controls. For the sample population tested, there was no detected effect of patient age on release of NO, PGE₂, or IL6. Coincubation of TNF α with nanomolar doses of sTNFRII significantly attenuated the secretion of NO and PGE₂ in a dose-dependent manner. TNF α -induced secretion of IL6, however, was unchanged by the same concentrations of sTNFRII, a result that may be explained by a statistically significant induction of IL6 by sTNFRII without TNF α for a subset of patients. Attenuation data was fit to nonlinear regression models to calculate IC₅₀ values for NO and PGE₂ release for each patient. Mean IC₅₀ values for NO and PGE₂ were found to be 24.0 ± 9.0 nM and 29.0 ± 9.0 nM, respectively (mean \pm SEM), indicating that nanomolar concentrations of sTNFRII were able to significantly attenuate the effects of TNF α on primary human IVD cells *in vitro*.

Pathologic IVD tissue is known to exhibit an inflammatory phenotype characterized by infiltrating innate and adaptive immune cells, along with their pro-inflammatory chemokine products. Herniated and degenerate IVD tissue contain significantly higher levels of TNF α [1,7], and TNF α is known to be a key mediator of pain and inflammation associated with radiculopathy following herniation[3,6,18,31,32].

Our observed trends of increased secretion of key inflammatory mediators, NO, PGE₂, and IL6, from pathologic primary human IVD cells after exposure to TNF α corroborate previous reports of human nucleus pulposus (NP) cells cultured in alginate[14]. Studer et al.[14] challenged human NP cells *in vitro* with TNF α , at a lower dose of 5 ng/ml, and also saw significant increases in NO, PGE₂, and IL6 secretion after 72 hours in culture. While induced secretion trends are similar to those reported here, differences in cell type, culture method, and dose of TNF α likely contribute to differences in absolute cell responses. A higher dose of TNF α used in this study was chosen to allow for higher resolution of the expected antagonistic effect of sTNFR_{II}.

Quantitation of attenuation with sTNFR_{II} revealed that low concentrations of sTNFR_{II} were able to significantly attenuate TNF α effects, which further supports claims that targeting TNF α in IVD pathologies can reduce inflammation. The observed IC₅₀ values for attenuating NO and PGE₂ secretion with sTNFR_{II} were roughly 20- to 30-fold higher than the supplemented concentration of TNF α , consistent with the IC₅₀ range reported for inhibition of cell death for the L929 murine fibrosarcoma cell line (IC₅₀ = 6.6 nM sTNFR_{II}, TNF α = 14 pM, or 470-fold molar excess) (Abcam)[41]. While anti-TNF antibodies have lower reported IC₅₀ values than sTNFR_I and sTNFR_{II} in the same assay (Abcam), the smaller size of the soluble receptor-based drugs lends toward more facile synthesis, coupling to drug carrier vehicles, and more rapid clearance to minimize morbidity associated with delivery of these immunosuppressives. Indeed, incorporation of TNF antagonists into engineered, sustained release drug formulations may be a crucial aspect of the design of novel IVD therapeutics, in order to minimize the undesirable side

effects of delivering potent TNF antagonists and other immunosuppressives systemically. Soluble TNF receptor-based therapies provide the benefits of high affinity and low molecular weight for designing locally delivered, sustained release systems for treating IVD pathologies[42,43].

TNF α is likely not the only mediator of pathology in the IVD, with some data indicating a more crucial role for IL-1 β in initiating matrix catabolism and IVD degeneration[30]. However, the robust inflammatory response of pathologic IVD cells to TNF α reported herein supports previous findings[2,7,11,13,15,16] and motivates continued interest in targeting TNF α for treating IVD pathologies. In this study, nanomolar concentrations of sTNFR_{II} conferred a potent antagonistic effect on TNF α -induced secretion of NO and PGE₂ *in vitro*, but did not attenuate IL6 release. Interestingly, intracellular antagonism of TNF α with a p38 MAPK inhibitor[14] had a statistically significant effect on PGE₂ and IL6, but had no effect on NO, whereas we observed effects on NO and PGE₂, but not IL6 secretion, using an extracellular-acting antagonist. Blocking the TNF α signaling cascade before TNFR activation, as done here, would suggest that differences in intracellular signaling cascade upregulation for these mediators only partly contribute to differences in our findings for IL6. Rather, sTNFR_{II} may be interacting with another membrane-bound component of pathologic IVD cells, such as membrane bound TNF α , and lead to the activation of cascades specific to IL6, but not NO or PGE₂ upregulation. Future studies utilizing separate intracellular signaling pathway inhibitors may elucidate differences in regulatory mechanisms between NO, PGE₂, and IL6, as well as the unexpected effect of sTNFR_{II} on IL6. The effect of TNF α

on matrix-degrading enzymes of the IVD was not measured in this study, but may be worth pursuing in future studies, as the net catabolic activity of these enzymes plays a crucial role in disc degeneration and reversal of this activity would be beneficial clinically.

NO, PGE₂, and IL6 are known to have pro- and anti-inflammatory activities in osteoarthritis models and articular chondrocytes[44], but these cytokines' exact roles in IVD pathologies require further definition. Herniated IVD specimens have been shown to produce NO, PGE₂, and IL6[5,45], as well as a host of other inflammatory mediators[11,13], spontaneously *in vitro* or after stimulation with proinflammatory cytokines. Nitric oxide has been shown to mediate the change in proteoglycan synthesis in human IVD explants in response to hydrostatic pressure[46], as well as pain-related behavior in a rat model of radiculopathy[47]. PGE₂ is a product of cyclooxygenase-2 (COX-2) activity, an enzyme implicated in the pathology of IVD herniation[48,49], and epidural injection of a COX-2 inhibitor for treating a rat radiculopathy model significantly alleviated mechanical allodynia[50]. IL6 may play a role in activating or attracting glial cells to sites of nerve injury[51], or may be a key inflammatory product of glial cells recruited to some nerve root injuries[52]. Some association between IL6 protein levels and painful manifestations of disc degeneration, but not normal disc physiology, has been demonstrated[11]. Interestingly, in one rat radiculopathy model, IL6 protein levels were observed to increase following local delivery of a pharmacologic cocktail of IL-1 receptor antagonist and sTNFR1[51]. This finding mirrors our own observations of increased IL6 induction by sTNFR2 without TNF α by primary IVD cells

and may imply a similar mechanism persists for other relevant cell types of this pathology. While the roles of NO, PGE₂, and IL6 are not entirely clear, they each represent good measures of inflammation in response to TNF α . The substantially greater release of NO and PGE₂ from our studies (Figure 1) suggests these two inflammatory mediators may be better readouts for evaluating new TNF antagonists *in vitro*.

5. Conclusion

Human primary IVD cells responded to TNF α *in vitro* by releasing large, statistically significant levels of NO, PGE2, and IL6 compared to controls. We report *in vitro* evidence of the effects of sTNFR_{II} on pathologic IVD cells stimulated with TNF α , wherein nanomolar doses of sTNFR_{II} were able to significantly attenuate TNF α -induced secretion of key inflammatory mediators. These findings highlight the importance of targeting TNF α in inflammatory pathologies of the IVD and emphasize the value of using soluble TNF receptors, and their analogues, to treat such pathologies. Any future TNF antagonists and related drug delivery formulations for treating IVD pathologies may benefit from the IC₅₀ data reported herein as a metric for evaluating new drug performance and bioactivity before proceeding to pre-clinical animal models.

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