1 **The Integrated Precipitation and Hydrology Experiment - Hydrologic**

2 **Applications for the Southeast US (IPHEx-H4SE)**

3 **Part III: High-Resolution Ensemble Rainfall Products**

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7 http://iphex.pratt.duke.edu

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24 University Libraries (request pending)

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26 ***Data Availability***: http://iphex.pratt.duke.edu

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29 ***Disclaimer:*** This purpose of this report is to provide background information on

30 the generation of IPHEX-H4SE data sets. Results are presented for the first five

31 years. The same methods were used for subsequent updating of data sets. This

32 report will be submitted also to peer-review after extensive internal review.

33 Comments and suggestions are welcome.

34

35

36   **Abstract**

37   The first stage of the Integrated Precipitation and Hydrology Experiment (IPHEX) includes the

38   development of quality-controlled data sets of different hydrometeorological and landscape

39   attributes at high spatial and temporal resolutions (respectively 1km×1km and 1 hour). These

40   data sets will facilitate the intercomparison of hydrological models and provide support to the

41   ground validation campaign of GPM over the Southern Appalachian region. In the present

42   report we focus on the spatial downscaling of Stage IV precipitation data (Baldwin and Mitchell,

43   1996; Lin and Mitchell, 2005; see online at http://www.emc.ncep.noaa.gov/mmb/ylin

44   /pcpanl/stage4) from 4km to 1km resolution for the period 2007-2011. First, we describe the

45   methodologies utilized to develop the various QPE products and in particular the use of modified

46   fractal downscaling methodologies, which conserve the spatial structure of the coarse resolution

47   while enhancing sub-grid scale variability. Three different (hourly, 1km^2) precipitation datasets

48   were produced: 1) Stage IV bilinear interpolated fields; 2) Stage IV fractal downscaled fields

49   using β_{Ens} (with 50 ensemble realizations for each hour); and 3) Stage IV fractal downscaled

50   fields using a transient β (with 50 ensemble realizations for each hour). The 50 realizations

51   provided for each hour in the fractal downscaled cases should be particularly useful to ensemble

52   hydrologic applications and analysis of uncertainty propagation. The performance of the

53   downscaled QPE (Quantitative Precipitation Estimation) products is subsequently evaluated for

54   selected headwater basins in the Southern Appalachians for individual events and for 5 year

55   continuous simulations in three watersheds, which are intended to highlight that, in long-term

56   hydrological modeling and prediction and the precipitation forcing is *de facto* not accurate, the

57   uncertainty varies in time, and this is further modulated by storage, evapotranspiration and

58   subsurface flow in the hydrological model, a highly nonlinear system. The results show

59   improved performance of an uncalibrated hydrological model using the downscaled Stage IV

60   product using modified fractal interpolation methods as compared to bilinear interpolation.

61   Finally, a survey of basic skill metrics indicates that current precipitation estimates are

62   significantly poor in the inner mountain region of the Southern Appalachians where NEXRAD

63   (Next Generation Radar Data) data used to inform the Stage IV product is compromised, which

64   is expected in regions of complex terrain.

1. Introduction

65

66 The Integrated Precipitation and Hydrology Experiment (IPHEX) includes two major activities:

67 the evaluation of QPE products for hydrologic forecasting and water resource applications in

68 Southeast US (IPHEX-H4SE) and the first ground validation field campaign after the launch of

69 NASA's Global Precipitation Measurement (GPM) satellite (IPHEX-GVFC). The focus of the

70 first phase is on the generation of quality-controlled data sets over four major river basins - the

71 Upper Tennessee, Catawba-Santee, Yadkin-Pee Dee and Savannah River (Figure 1) - all sharing

72 the same grid with high spatial and temporal resolution (1km×1km and hourly time step) for the

73 2007-2011 period. These data sets include hydrometeorological and land-surface attributes

74 extending over a wide range of scales.

75 All the datasets were firstly extracted from the original data sources: soil hydraulic parameters

76 are derived from the State Soil Geographic (STATSGO) database, landscape attributes datasets

77 are derived from Environmental Moderate Resolution Imaging Spectroradiometer (MODIS)

78 products, atmospheric forcing data are derived from the North American Regional Reanalysis

79 (NARR), and precipitation is derived from NCEP/EMC 4KM Gridded Data (GRIB) Stage IV

80 dataset (Baldwin and Mitchell, 1996; Lin and Mitchell, 2005; see online at

81 http://www.emc.ncep.noaa.gov/mmb/ylin/pcpanl/stage4). They are re-projected to UTM17N

82 (WGS84) and interpolated to the domain grid system at 1km×1km resolution. Finally corrections

83 and adjustments are applied to improve these datasets, resulting in a 1km resolution five-year

84 best estimates "historical" data set which will be provided to all H4SE participants at the website

85 of IPHEX(http://iphex.pratt.duke.edu/) allowing for a robust inter-comparison and evaluation of

86 hydrological models performance on a selected number of case studies. It will also serve as

87 basis for real-time forecasting activities supporting the Intense Observing Period (April-July

88   2014), evaluation of GPM precipitation algorithms as well as for evaluation of satellite-based

89   rainfall products in hydrologic applications including water resources management and

90   forecasting of natural hazards such as flash floods, riverine floods and landslides. In the present

91   report, the focus is on the high resolution hourly precipitation estimates over the Southern

92   Appalachian region, in particular the Pigeon River Basic. After re-projection of Stage IV

93   precipitation estimates at 4km resolution to UTM17N, three downscaled products at 1km

94   resolution were generated (summarized in Table 1): the first using simple bilinear interpolation

95   and two others using stochastic modified fractal interpolation algorithms.

96   The development of stochastic downscaling strategies from coarser resolution data has been a

97   very active research topic in the past few decades. Stochastic downscaling schemes aim to

98   reproduce the sub-grid scale rainfall variability over a desired range of spatial and/or temporal

99   wavelengths by adding realistic (statistically) high-frequency heterogeneity, hence increasing the

100   information content of coarser datasets to meet the resolution requirements for

101   hydrometeorological and hydrological applications amply discussed in the literature (e.g.

102   Bindlish and Barros 2000; Deidda, 2000; Harris et al., 2001; Ferraris et al., 2003; Rebora et al.,

103   2006, among others). In the last 30 years, a broad number of investigations have reported spatio-

104   temporal multifractal behavior of rainfall fields (and other geophysical quantities) across wide

105   ranges of scales, on both observed and numerically simulated fields (see e.g. Nogueira et al,

106   2013 and references therein). This (statistical) scaling behavior implies that statistical properties

107   across different scales are related by power laws, a property of obvious importance for numerical

108   modeling sub-grid parameterization and downscaling applications that should reproduce the

109   scaling behavior if they are to generate realistic fields.

110 Several downscaling schemes based on modified fractal interpolation methods have been

111 developed to preserve the scaling behavior and generate statistically coherent sub-grid scale

112 variability. Despite the methodology differences among these schemes, all of the various

113 downscaling algorithms are able to reproduce the main statistical properties of rainfall including

114 anomalous scaling and add statistically relevant information in the sub-grid scales, such as

115 significant enhancements in the spatial variability (see e.g. Rebora et al., 2006, Tao and Barros,

116 2010 and Garborit et al., 2012 for reviews). The downscaling procedures used here are based on

117 previous work of Bindlish and Barros (1996) and subsequent work by Rebora et al. (2006),

118 where a simple modified fractal interpolation is used for spatial disaggregation of a geophysical

119 field in the Fourier spectral domain. Two QPE products obtained by two alternative

120 implementations of this methodology are made available: one using the scaling parameters

121 estimated from ensemble statistics (StageIV_FF) and another obtained by introducing a

122 modification into the first scheme to account for the transient dynamical dependence of the

123 scaling parameters, estimating them for each realization from the respective coarse resolution

124 field power spectrum (StageIV_TF).

125 The Pigeon River Basin, PRB (shown in Figure 1) was chosen as the test-bed for the

126 hydrological simulation experiments for verification of the 1km resolution datasets. Both fractal

127 interpolated rainfall fields (StageIV_FF and StageIV_TF) are compared against a control bilinear

128 interpolation of Stage IV to 1 km resolution (StageIV_Bi). The experiments are conducted for

129 three sub basins that are equipped with USGS stream gauges, but not limited by dam operations

130 in the PRB, including the Cataloochee Creek Basin (CCB), the West Fork Pigeon River Basin

131 area (WFPRB) and the East Fork Pigeon River Basin (EFPRB). These are relatively small (<200

132 km^2) headwater catchments which provide a good reference to evaluate the precipitation

133   products without introducing the average effects of the simulations at large scales. They extend

134   for a period of roughly 5 years (2007 – 2011) during which all datasets are available for the study

135   region.

136   The organization of this report is as follows: Section 2 describes the methodology for fractal

137   interpolation of precipitation fields from Stage IV 4km resolution to 1km. Section 3 presents

138   statistical error analysis on the downscaled products and Section 4 presents hydrological

139   application experiments over the Pigeon River basin using the 3D-LSHM driven by the different

140   high-resolution precipitation datasets both the raw and the adjusted landscape attributes datasets,

141   to evaluate their performance in hydrological applications. The summary and final remarks are

142   provided in Section 5.

143

2. Modified fractal interpolation downscaling

145   In the present investigation we focus on downscaling methodologies in the Fourier spectral

146   framework, due to their theoretical and implementation simplicity, their ability to preserve the

147   spatial and temporal structure in the coarse resolution information while enhancing variability in

148   the smaller unresolved scales, their continuity in the spectral domain and their quickness of

149   computation. These models have been applied successfully in the past to rainfall and other

150   geophysical fields (e.g. Bindlish and Barros, 1996, 2000; Rebora et al., 2006; Brussolo et al.,

151   2009; Tao and Barros, 2010). Spatial scaling invariance manifests itself as log-log linearity of the

152   power spectrum in space:

153    $$E(k) \sim k^{-\beta-1} \tag{1}$$

154   where β is the spectral exponent and $E(k)$ is the isotropic power spectrum, obtained by angularly

155   averaging the 2-dimensional spatial Fourier power spectrum, $E(k_x, k_y)$, k_x and k_y are

156   respectively the wavenumber components in the x and y directions and $k = \sqrt{k_x{}^2 + k_y{}^2}$.

157   Consequently, β can be estimated using least square regression in log-log plots. The addition of

158   -1 in the exponent is required due radial averaging is phase space (Turcotte, 1992).

159   By preserving the known, coarse resolution, portion of the power spectrum and extrapolating it

160   to sub-grid scale wavenumbers using the correct spectral slope and amplitude, one can obtain a

161   higher-resolution downscaled version of the field that preserves the spatial structure and correct

162   scale invariant behavior, with coherent variability at the fine scales (Figure 2). Based on previous

163   works of Bindlish and Barros (1996), this can obtained by generating a modified fractal

164   Brownian surface (fBs) with the desired fine resolution, number of grid points and correct

165   spectral exponent, then normalize it and use it as an interpolation surface. The preservation of the

166   exact coarse resolution information can be obtained by normalizing the fBs by a coarse

167   resolution version of itself at the same resolution as the original coarse data (see Rebora et al.,

168   2006 for details). This method requires a priori knowledge of the "correct" spectral slope β

169   which is not a trivial problem. The simplest approach is to assume the value $\beta_{ens} \approx 1.9$

170   estimated from the ensemble statistics of hourly rainfall fields over 3 years period at 1 km

171   resolution and over the Southern Appalachians region (Figure 3), using cluster analysis to take

172   into account for the effect of large fractions of zeros on the rainfall scaling (Nogueira et al., in

173   production). This fixed (ensemble) spectral slope value assumption corresponds to downscaled

174   product StageIV_FF. Another downscaling product, StageIV_TF, is created taking into

175   consideration the fact that there is a widespread range of values of the scaling parameters for

176   rainfall fields in the literature (see Tuck, 2010 for a review) and highly dynamic variation of

177   fractal parameters has been reported in previous works that found linkages between the scaling

178   and mean atmospheric and topographic properties (Over and Gupta, 1994; Perica and Foufoula-

179   Georgiou, 1996; Barros et al., 2004, Nykanen, 2008; Nogueira et al., 2013). Consequently, if

180   statistical scaling based methods are to be used for downscaling particular realizations of rainfall

181   fields they have to be able to reproduce this variability and dependences. However, the

182   relationships between scaling parameters and system state variables display complex nonlinear

183   character (Nogueira et al., 2013), and hence well-defined quantitative expressions haven't been

184   established. Here we use a simple approximation using least-square regression on log-log power

185   spectra computed from Stage IV resolution data to estimate β for each single realization, i.e. each

186   hourly rainfall field, to be downscaled. The fact that Stage IV data corresponds to a large high-

187   resolution grid (179×153 grid points at 4 km resolution) allows for robustness in these spectral

188   slope estimates. Each β estimate is used to generate the fBs to downscale the respective rainfall

189   realization.

190   A further source of complexity comes from the fact that some geophysical fields, such as rainfall

191   and clouds, are heavily physically thresholded and have large fractions of zero values.

192   Additionally, any measurement no matter how precise has an associated measurement threshold

193   contributing to increase the fraction of zeros in the measure field, and distinguishing between

194   measurement and physical zeros becomes virtually impossible for most applications and datasets.

195   The fractal interpolation methodology does not create any new zeros in the downscaled field,

196   which is not realistic (see e.g. Lovejoy et al., 2008; Verrier et al., 2010). A simple way to

197   account for this factor and generate new zeros is to add a thresholding operation to the

198   downscaled field, where all values below a certain threshold are set to zero. This method has

199   been used in the past with good performance in replicating the number of zeros in the high-

200   resolution fields (Perica and Foufoula-Georgiou, 1996; Rebora et al., 2006; Lovejoy et al., 2008,

201   Verrier et al., 2010; Garborit et al., 2012). Here we chose the threshold value of 0.1 mm/hour

202 which showed good performance in reproducing the number of zero pixels in high resolution

203 fields on preliminary tests.

204

205 **3. Datasets and error analysis**

206 As stated in Section 1, if a model is to generate realistic rainfall fields it should be reproduce the

207 observed scale invariant behavior. Based on this concept a possible verification of the

208 downscaled datasets is to investigate the ability of interpolated fields to reproduce the expected

209 scaling according to the observations, taken the assumption that the mean scaling behavior found

210 in StageIV data 4 km resolution extends down to the 1km resolution, which is supported by

211 previous studies (e.g. Tao and Barros, 2010; Verrier et al., 2010; Nogueira et al., 2013 among

212 others). Three different products with hourly temporal resolution and 1km^2 spatial resolution are

213 made available for this first phase of H4SE (summarized in Table 1):

214   1) Stage IV bilinear interpolated fields to 1km resolution (StageIV_Bi);

215   2) Stage IV fractal downscaled fields using β_{ens}, with 50 realizations for each hour

216   (StageIV_FF);

217   3) Stage IV fractal downscaled fields using β transient, with 50 realizations for each hour

218   (StageIV_TF);

219 The 50 realizations provided for each hour in the cases of StageIV_FF and StageIV_TF should

220 be particularly useful to ensemble hydrologic applications and analysis of uncertainty

221 propagation. The intercomparison between the spectral exponents computed from downscaled

222 products against the 4km resolution Stage IV, β_{STIV} is shown in Fig. 4a. The smoothing

223 introduced by the bilinear interpolation method causes a large drop in the variability at the

224   smallest-scale and hence an abrupt increase in β corresponding to a large overestimation of the

225   expected spectral exponent, with several occurrences of unphysical $\beta > 3$ values. The use of

226    $\beta_{ens} = 1.9$ to downscale all times causes the downscaled spectra to display scaling exponents

227   around this ensemble value which is unrealistic in single realizations where the observations

228   show significantly lower (or higher) slopes. This can be quantified by computing the Mean

229   Absolute Error between downscaled field and original Stage IV ($MAE = (\frac{1}{N}) \sum |\beta_{Dws} - \beta_{STIV}|$),

230   resulting in values of 0.25 for StageIV_TF, 0.38mr for StageIV_FF and 1.18 for StageIV_Bi.

231   The fact that fractal methodologies, and particularly StageIV_TF, are better in reproducing the

232   StageIV scaling behavior is expected and in agreement with the methodologies. These results are

233   valid for cases with the linear regression coefficient for β estimation is $r^2 > 0.98$, hence where

234   variability should correspond to a physical transient behavior of the scaling exponents and not

235   associated with problems in the estimation of the spectral exponent. It becomes clear that the use

236   of β_{ens} is not a good approach for all times and the transient nature of the scaling exponent

237   should be taken into account and consequently StageIV_TF product is a better approximation to

238   the real scaling behavior of the observed rainfall fields.

239   Finally the average probability density function (PDF) during the five year period over the

240   raingauges in the PBR region (described in Table 2) are computed and compared against the

241   PDF for each of the downscaling products, using nearest pixel approximation to obtain point

242   values over the raingauge locations. All downscaling products show clear underestimation of the

243   low intensity (< 5 mm/hr) precipitation frequency (Fig. 5), which is associated with problems in

244   the weather radar used in StageIV to identify such values. Note that this is likely to cause

245   problem for water cycle evaluation as light rainfall represents a substantial part of the water

246   brought in the Appalachians. For intermediate intensities, all products show good reproduction of

247 the raingauge observations, particularly considering the comparison is between point

248 measurements and 1km resolution gridded data. This fact should also be responsible for the

249 general PDF underestimation at the highest intensities for all gridded products. The smoother

250 bilinear interpolation product causes the largest underestimation as expected. The smoothing

251 introduced by the ensemble averaging operations in StageIV_FF and StageIV_TF products is

252 also associated with frequency decrease in the highest intensities, as can be seen by comparing

253 against a randomly chosen single realization results out of the 50 realizations available at each

254 time step (light blue and pink lines). The results for StageIV_TF have slightly higher PDF values

255 than StageIV_FF, which is explained by the fact that many cases display lower spectral

256 exponents (Fig. 4), and hence less smoothing, than the considered $\beta_{ens} = 1.9$ in StageIV_FF.

257

4. Results

259 To illustrate the utility of the rainfall products in hydrologic studies for the IPHEx-H4SE,

260 streamflow simulations were conducted in the Pigeon River Basin (shown in Figure 1) using a

261 physically-based fully-distributed hydrological model (3D-LSHM) forced by randomly selected

262 single realizations of the two fractal downscaling products (StageIV_FF and StageIV_TF) and

263 bilinear interpolation of StageIV to 1km resolution (StageIV_Bi). The simulations were

264 conducted continuously from January/2007 to December/2011 in three unimpaired headwater

265 catchments in the Pigeon River Basin equipped with USGS stream gauges, including the

266 Cataloochee Creek Basin (CCB, 128km^2), the West Fork Pigeon River Basin (WFPRB, 71km^2)

267 and the East Fork Pigeon River Basin (EFPRB, 131km^2), are used to compare with streamflow

268 observations and then to validate the accuracy of the rainfall products.

269   Two particular events were selected for detailed analysis. One is Tropical storm Fay in August of

270   2008 which caused extensive flash floods in the basins and has been investigated by previous

271   study using the same hydrologic model at very high resolution (250m and 5min) (Tao and Barros,

272   2013b). The other is a winter storm causing debris flow events in the Pigeon River Basin of

273   which the initiation mechanism has been investigated also at high resolution (Tao and Barros,

274   2013a).

275   In this study, the hydrological simulations were conducted at the IPHEx-H4SE conventional

276   scale of 1km×1km spatial resolution and hourly temporal resolution. Spatial soil moisture for all

277   times including storms selected are the same as used in Tao and Barros (2013b) but averaged to

278   the 1km × 1km resolution and hourly resampled. Note, the 3D-LSHM is uncalibrated and

279   without any manual tuning against the observations, because our goal here is to demonstrate the

280   uncertainty in hydrological simulations induced by rainfall input datasets, and to document the

281   long-term evolution of propagating uncertainty in rainfall by hydrological simulations. The

282   adjusted landscape attributes including the broadband albedo and emissivity, LAI and CV after

283   post processing (e.g. quality control and the Savitzky-Golay filtering), and the adjusted

284   atmospheric forcing datasets including atmospheric temperature, atmospheric pressure, specific

285   humidity, wind speed, downward longwave radiation and shortwave radiation corrected for

286   elevation effects, topographic and cloudiness effects, are used as the forcing datasets to the 3D-

287   LSHM. Detailed description about the adjustment for these datasets can be found in other two

288   reports associated with IPHEx-H4SE (Report EPL-2013-IPHEX-H4SE-1 and Report EPL-2013-

289   IPHEx-H4SE-2).

290   One-year spin-up simulations were conducted before the real simulation proper to reach internal

291   consistency in the model. The streamflow simulations results in operational-like mode in the

292   WFPRB for the two selected storm events are shown as examples in Figure 6 and the Nash-

293   Sutcliffe efficiency (NSE) are shown in Table 3. The closer the NSE is to unity, the better the

294   performance. Overall the StageIV data demonstrate good performance, with StageIV_FF and

295   StageIV_TF clearly outperforming the StageIV_Bi displaying higher NSE. The simulations

296   using the StageIV_FF and StageIV_TF show very similar performance with NSE values close to

297   each other. Note that the performance for fractal downscaling are similar to results reported by

298   Tao and Barros (2013a) using a locally enhanced precipitation product by introducing the

299   influence of the dense network (Pratt and Barros, 2010) which is not included in the Stage IV

300   products used here. For the Tropical storm event shown in Figure 6a, the StageIV_FF and

301   StageIV_TF show lower NSE, but with good peak values and falling limbs. For the winter storm

302   shown in Figure 6b, the StageIV_FF and StageIV_TF display NSEs very close to unity with well

303   captured times-to-peak and the peak values of the hydrographs. Figure 7 shows the cumulative

304   basin-averaged rainfall depth (dash lines) and streamflow (solid lines) in the WFPRB for the two

305   simulated events. As it can be seen from the figure, the total runoff volume of the simulated

306   streamflow using StageIV_FF and StageIV_TF are very close to the observations for the two

307   events, whereas the integrated volume of the simulated streamflow using the StageIV_Bi shows

308   much less runoff compared to the observed streamflow. Indeed, the cumulative depth of

309   StageIV_Bi is always less than StageIV_FF and StageIV_TF, which is attributed to smoothing

310   by the bi-linear interpolation. The spatial distributions of the cumulative rainfall in the Pigeon

311   River Basin for the two events are given in Figure 8. The StageIV_Bi rainfall fields are smoother

312   than the StageIV_FF and StageIV_TF, losing some water mass at the boundaries of 4km pixels

313   of original StageIV data. Both StageIV_FF and StageIV_TF enhance variability on scales$<$

314   $4km$, while conserving the mean value at the original StageIV 4km resolution, that is they are

315   mass conserving. Note that even though StageIV is in fact a merged radar and raingauges

316   product provided by RFCs (River Forecasts Centers), it contains little to no information over the

317   Pigeon river basin which is not covered by the NEXRAD network in the Southern Appalachians,

318   and where there is very limited operational raingauge information. Consequently, it is

319   noteworthy that the StageIV_FF and StageIV_TF produce good simulation results. Generally,

320   the radar-gauge merged products such as StageIV have better accuracy in the Piedmont and

321   Coastal Plains regions than in the mountainous regions.

322   One continuous streamflow simulation without re-initialization was conducted using the

323   uncalibrated hydrologic model described in Tao and Barros (2013a and 2013b) in the three

324   headwater catchments of the Pigeon River Basin including the WFPRB (West Fork), EFPRB

325   (East Fork) and CCB (Cataloochee) are shown in Figure 9 for the five year period from 2007 to

326   2011 using the data sets described in EPL-IPHEX-H4SE-1 and EPL-IPHEX-H4SE-2. This

327   simulation serves as a baseline example of the propagation of uncertainty of the precipitation

328   products through a hydrologic model without calibarion or reinitialization as would be expected

329   in routine operational hydrology. Overall, the StageIV_FF and StageIV_TF again perform better

330   than StageIV_Bi which generally underestimates streamflow. It should be stressed that, the

331   WFPRB and the EFPRB are located at very high elevation on the eastern slopes of the

332   Appalachians where the mountain blockage to the radar scan is not as severe as in the basins

333   located in the inner region low elevation or valleys, such as the CCB (see Figure 1). Without

334   low-level raingauge observations in the valleys, radar-based rainfall products cannot resolve the

335   rainfall gradient along ridges to valleys, thus cannot provide accurate rainfall data in the inner

336   region. Consequently, all simulations independently of rainfall datasets overestimate streamflow

337   in the CCB as shown by the bottom panel in Figure 9. Finally, note the decrease in the 5-year

338   hourly NSE score between the WFPRB, the EFPRB and the CCB basins independently of

339   rainfall forcing. This reflects the significant differences in the governing hydrological processes

340   from one basin to another due to local hydrogeology and landslide activity that has contributed

341   for significantly thicker soil depths across the CCB and in the valley in the EFPRB.

342   To evaluate the impact of initial basin storage, a test simulation for the EFPRB including 15-

343   years of spin-up period is described in EPL-IPHEX-H4SE-1. The spin-up consisted in re-

344   running the 5-year simulation three times using the same 5–year forcing. Results show that the

345   5-year NSE (Nash-Sutcliffe efficiency) score for hourly streamflow simulations increases from

346   0.28 to 0.45, an improvement of 61% (see Figure 25 in EPL-IPHEX-H4SE-1). This implies that

347   there are still unaccounted for forcing errors, especially in the rainfall as discussed above, but the

348   NSE score reported in Table 2 overestimates the error fraction due to rainfall forcing alone. This

349   example illustrates the highly nonlinear and complex interactions among hydrologic processes

350   and basin geomorphic characteristics, which can have a strong impact on error attribution and

351   error propagation.

352

353   5. Final remarks and Recommendations

354   In summary the modified fractal downscaling methodologies generate statistically robust 1km

355   resolution fields without any additional data or calibration requirements. These fields conserve

356   the structure in the StageIV observations at 4km resolution and enhance the small-scale

357   variability and statistics, adding value to the original field a displaying more coherent structure

358   than bilinear interpolation which smoothes out much of the small-scale variability. The

359   hydrological simulations shown here, conducted without any calibration or adjustment of the

360   hydrological model, show that for operational hydrology applications, in which case capturing

361 the long-term variability of the water cycle is not of essence, and therefore model calibration is

362 desirable, the StageIV_FF or StageIV_TF should be a robust QPE product for hydrological

363 applications in IPHEx-H4SE. Based on the results of Section 3 error analysis and Section 4 using

364 hydrological model simulations, we recommend the use of StageIV_TF. Users interested in

365 further downscaling products at higher resolutions should contact the authors.

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446   **List of Tables**

447   **Table 1** - Details of the different data sets.

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454   Tennessee River Basin(UTRB), Savannah River Basin(SVRB), Santee River Basin(SRB) and

455   Yadkin-Pee Dee River Basin(YPDRB). The Pigeon River Basin (shown on the left), is the

456   domain for the conducted hydrological verification at three sub basins that are not limited by

457   dam operation in the Pigeon River Basin, including the Cataloochee Creek Basin (CCB), the

458   West Fork Pigeon River Basin (WFPRB) and the East Fork Pigeon River Basin (EFPRB).

459   **Figure 2 -** Spectra interpolation schematic in the spectral domain: given the coarse resolution

460   field (blue line), we extrapolate to sub-grid scale resolution using the correct spectral slope and

461   amplitude (red line) to retrieve an approximation of the original high-resolution field (black line).

462   **Figure 3** - Ensemble Fourier power spectrum computed from high-resolution rainfall fields only

463   inside rain clusters (rainy regions) over the Southern Appalachians regions during a 3 year period

464   (June-2008 to June-2011). The red-dashed line represents the least-square fit slope,

465   corresponding to $\boldsymbol{\beta_{ens}} = \mathbf{1.9}$.

466   **Figure 4** – Intercomparison of spectral exponent between downscaling products and original

467   Stage IV data at 4km resolution, a) for all hourly rainfall fields during the 5 year period and b)

468   only fields where the estimation of β in the coarse resolution data (StageIV) corresponds to a

469   least-square regression with r^2>0.99.

470   **Figure 5** – Intercomparison of probability density function (PDF) over the PRB raingauges

471   (described in table 2) during the five year period. For 1km downscaled products nearest pixel

472   approximation is used to obtain point values over the raingauge locations.

473   **Figure 6** - Examples of streamflow simulations in the WFPRB for (a) a summer event (Tropical

474   Storm Fay) in 2008 which caused flash floods in the basin and (b) a winter storm in 2009 which

475   caused landslides in the region.

476   **Figure 7** – Cumulative basin-averaged depth of rainfall (dash lines) and streamflow (solid lines)

477   in the WFPRB for (a) the summer event in 2008 and (b) the winter storm in 2009.

478   **Figure 8** - Spatial distribution of the cumulative rainfall in the Pigeon River Basin for (a) the

479   summer event in 2008 and (b) the winter storm in 2009. The watershed boundaries of WFPRB,

480   EFPRB and the CCB are illustrated by dark polygons.

481   **Figure 9** - Continuous streamflow simulations in the WFPRB, EFPRB and CCB from the top to

482   the bottom, for the Q2 data available period which is from Jul. 2008 to Oct. 2011

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Name	Dataset description	Resolution	Period of availability	Domain of availability
StageIV	Original Stage IV dataset	4 km	Jan/2007 – Dec/2011	Full Southern Appalachians
StageIVBi	Stage IV downscaled to 1km using bilinear interpolation	1 km	Jan/2007 – Dec/2011	Full Southern Appalachians
StageIV_FF	Stage IV downscaled to 1km using fractal interpolation with ensemble β value.	1 km	Jan/2007 – Dec/2011	Full Southern Appalachians
StageIV_TF	Stage IV downscaled to 1km using fractal interpolation with transient β value.	1 km	Jan/2007 – Dec/2011	Full Southern Appalachians

484   **Table 1** - Details of the different data sets.

485

NO.	Site		Lat.	Lon.	Elev.(m)	Collect Period
	Site ID.	Type				
1	RG001		35.39830	-82.91300	**1156**	
2	RG002		35.41750	-82.97140	**1731**	
3	RG003		35.38460	-82.91610	**1609**	
4	RG004		35.36830	-82.99020	**1922**	2008 - 2011
5	RG005		35.40890	-82.96460	**1520**	
6	RG008		35.38210	-82.97360	**1737**	
7	RG010		35.45640	-82.94680	**1478**	
8	RG100		35.58610	-83.07250	**1495**	Jun. 2008 - 2011
9	RG101		35.57500	-83.08820	**1520**	
10	RG102		35.56370	-83.10360	**1635**	
11	RG103	GSMRGN	35.55340	-83.11790	**1688**	Jul. 2008 - 2011
12	RG104	(PMM)	35.55490	-83.08800	**1584**	
13	RG105		35.63900	-83.04050	**1345**	
14	RG106		35.43210	-83.02910	**1210**	
15	RG107		35.56810	-82.90750	**1359**	
16	RG108		35.55470	-82.98990	**1277**	Aug. 2008 - 2011
17	RG109		35.49560	-83.04040	**1500**	
18	RG110		35.54810	-83.14820	**1563**	
19	RG111		35.72970	-82.94780	**1394**	Sep. 2008 - 2011
20	RG112		35.75160	-82.96430	**1184**	
21	RG300		35.72653	-83.21692	**1558**	Jun. 2009 - 2011

22	RG301		35.70552	-83.25595	**2003**	
23	RG302		35.72135	-83.24675	**1860**	
24	RG303		35.76295	-83.16222	**1490**	
25	RG304		35.67010	-83.18287	**1820**	
26	RG305		35.69150	-83.13190	**1630**	
27	RG306		35.74597	-83.17148	**1536**	
28	RG307		35.65163	-83.19952	**1624**	
29	RG308		35.73027	-83.18237	**1471**	
30	RG309		35.68297	-83.15003	**1604**	
31	RG310		35.70273	-83.12263	**1756**	
32	RG311		35.76507	-83.14042	**1036**	
33	WAYN	ECONET	35.48752	-82.96768	840	2008 - 2011
34	CEPN7		35.46170	-82.87030	818	2008 - 2011
35	CTNN7		35.54830	-82.82890	863	
36	DARN7	HADS	35.35060	-82.77860	**1002**	2008 - 2011
37	LLDN7		35.42250	-82.92220	896	
38	WAVN7		35.42640	-83.01030	943	2008 - 2011
39	WLTN7		35.70330	-83.04140	735	

486 **Table 2** – Location, elevations and the data available period of rain gauges referenced in this

487 study

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489

Simulation period	Basins	Precipitation Name	NSE
Aug. 25 – 28, 2008	WFPRB	StageIV_Bi	0.65
		StageIV_FF	0.78
		StageIV_TF	0.77
Jan. 5 – 10, 2009	WFPRB	StageIV_Bi	0.78
		StageIV_FF	0.89
		StageIV_TF	0.89
Jan/2007 – Dec/2011	WFPRB	StageIV_Bi	0.46
		StageIV_FF	0.51
		StageIV_TF	0.51
	EFPRB	StageIV_Bi	0.34
		StageIV_FF	0.36
		StageIV_TF	0.35
	CCB	StageIV_Bi	0.19
		StageIV_FF	0.22
		StageIV_TF	0.23

490   **Table 3** – Summary of NSE for hydrological simulations.

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494 Tennessee River Basin(UTRB), Savannah River Basin(SVRB), Santee River Basin(SRB) and

495 Yadkin-Pee Dee River Basin(YPDRB). The Pigeon River Basin (shown on the left), is the

496 domain for the conducted hydrological verification at three sub basins that are not limited by

497 dam operation in the Pigeon River Basin, including the Cataloochee Creek Basin (CCB), the

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503 field (blue line), we extrapolate to sub-grid scale resolution using the correct spectral slope and

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Figure 5 – Intercomparison of spectral exponent between downscaling products and original

Stage IV data at 4km resolution, for fields where the estimation of β in the coarse resolution data

(StageIV) corresponds to a least-square regression with $r^2 > 0.98$.

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525

Figure 5 – Intercomparison of probability density function (PDF) over the PRB raingauges

(described in table 2) point locations during the five year period. Black line is computed from

local raingauge data and the other lines corresponded to 1km resolution downscaled products.

StageIV_FF_SR (light blue) and StageIV_TF_SR (pink) represent the statistiscs for a randomly

chosen single realization (out of the 50 available) at each time instant. For 1km downscaled

products nearest pixel approximation is used to obtain point values over the raingauge locations.

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Figure 6 - Examples of streamflow simulations in the WFPRB for (a) a summer event (Tropical Storm Fay) in 2008 which caused flash floods in the basin and (b) a winter storm in 2009 which caused landslides in the region.
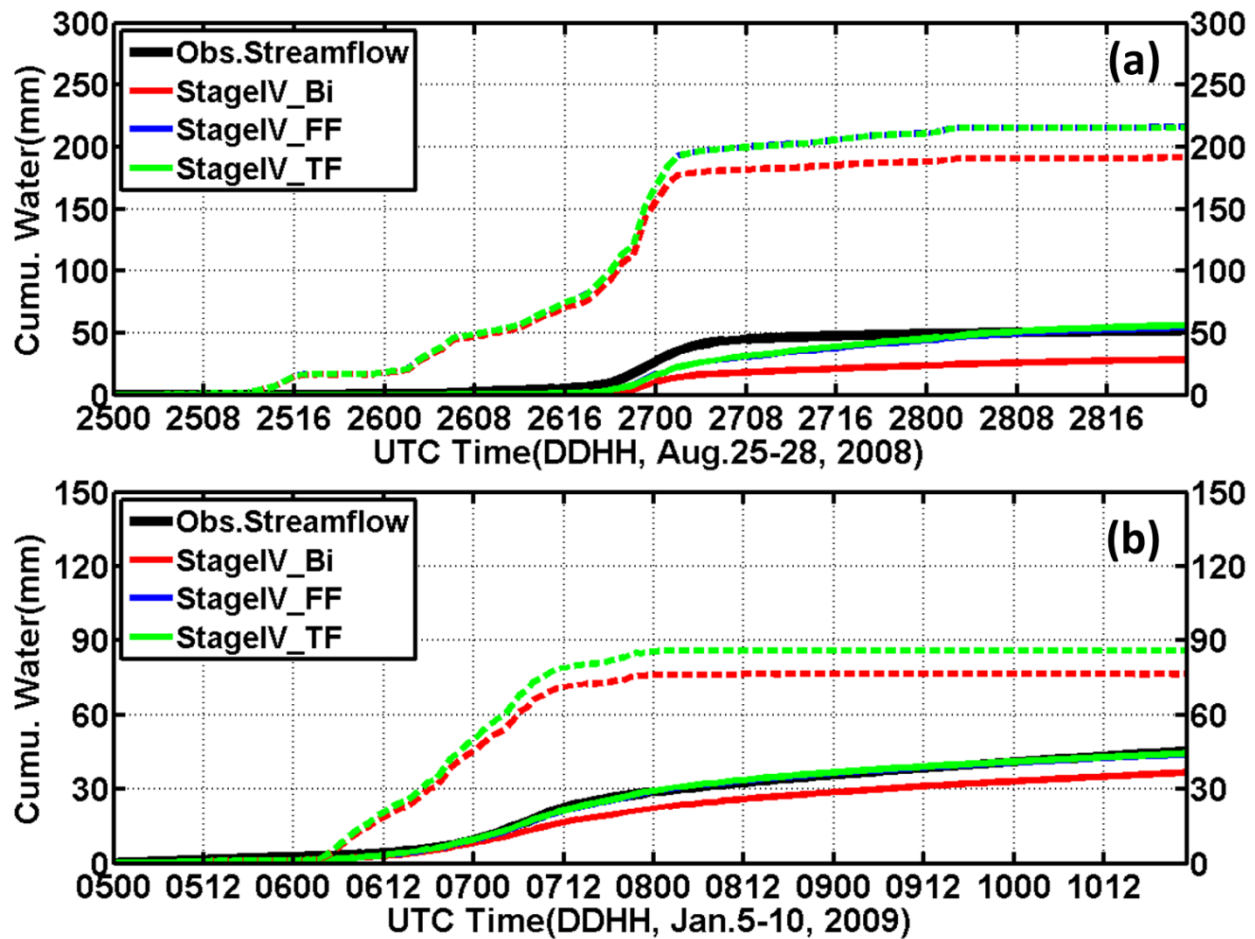
538

Figure 7 – Cumulative basin-averaged depth of rainfall (dash lines) and streamflow (solid lines)

in the WFPRB for (a) the summer event in 2008 and (b) the winter storm in 2009. The rainfall

plots from StageIV_FF and StageIV_TF are overlapped due to mass conversation.
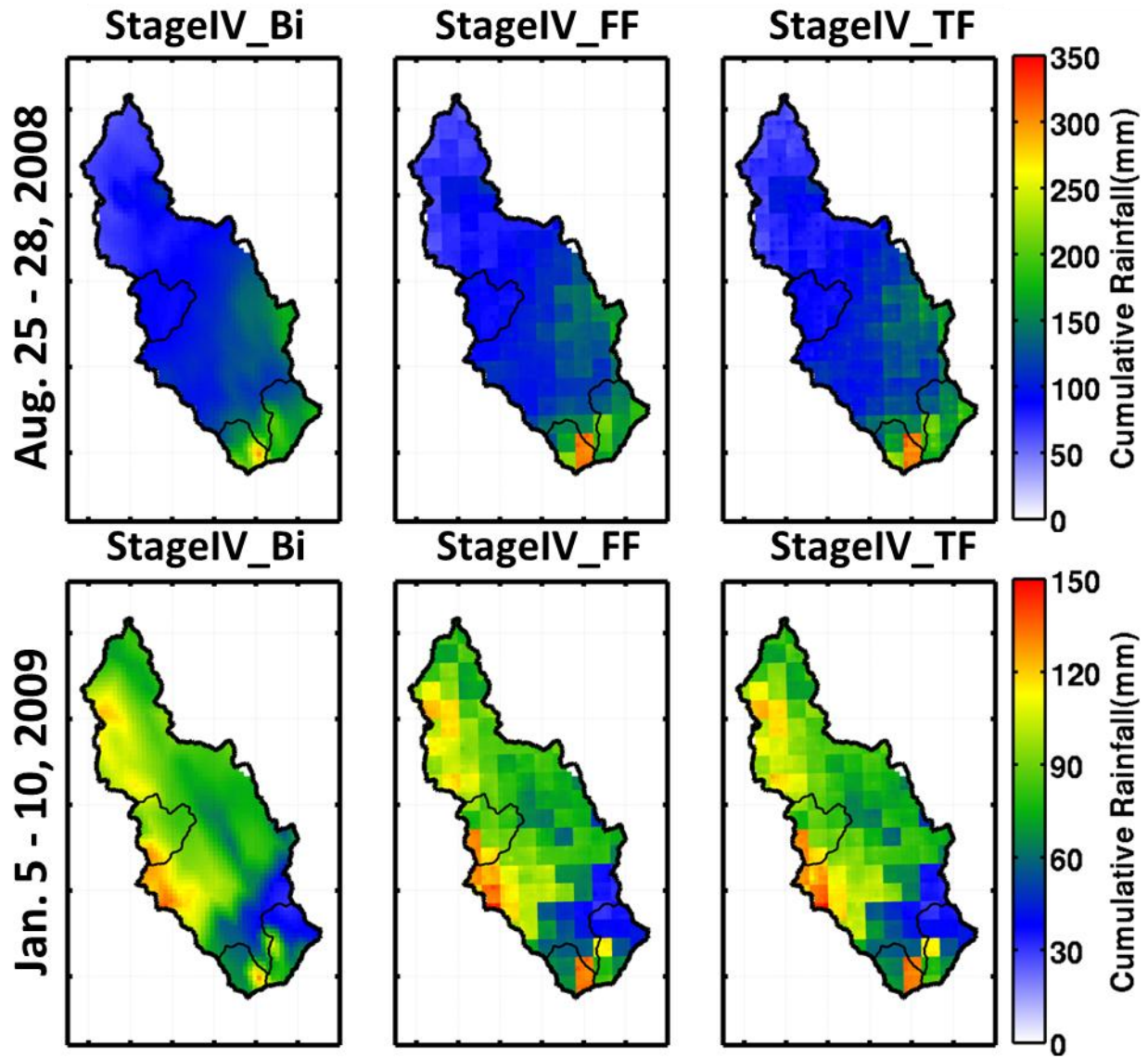
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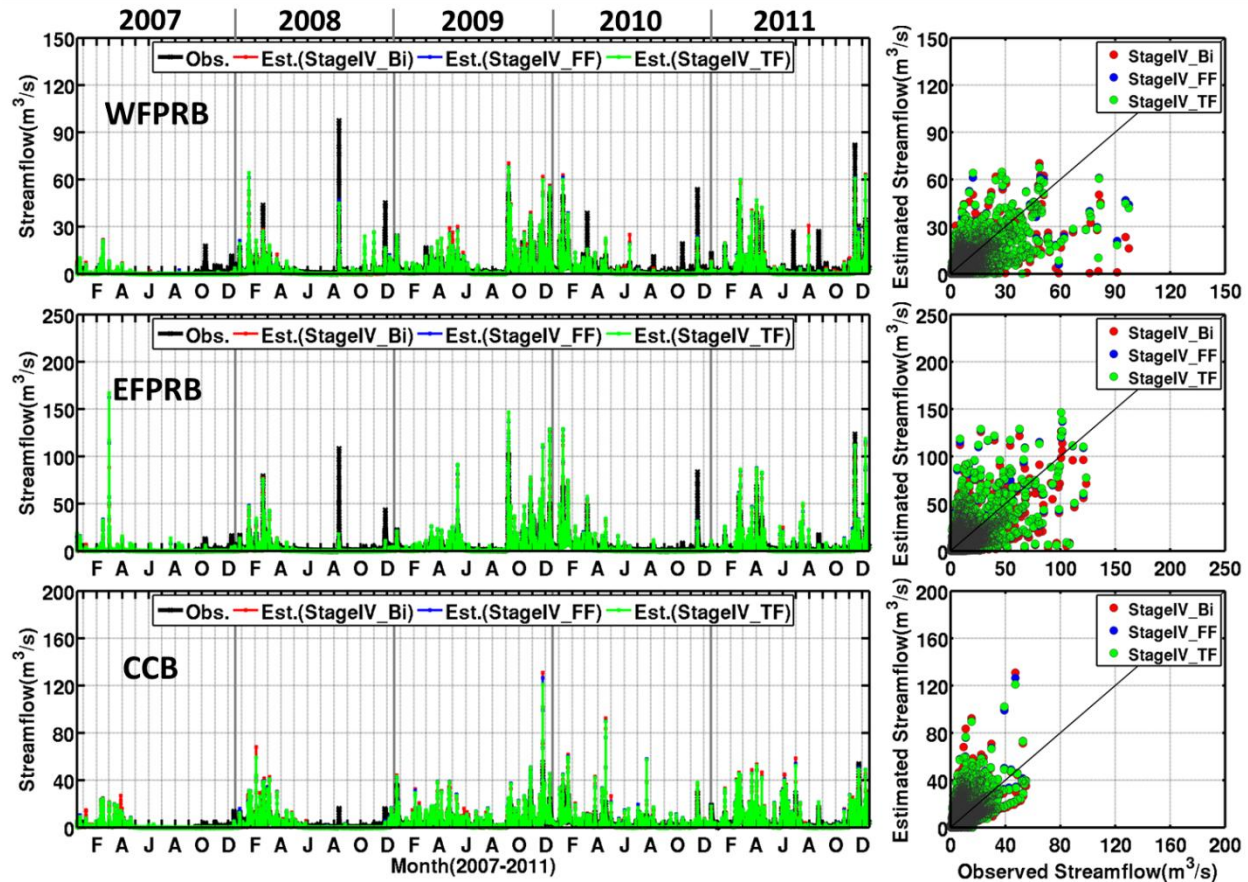
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Figure 9 – Continuous 5-year streamflow simulations with the uncalibrated Duke-3DLSHM hydrologic model (1 km^2, 1 hr resolution in this implementation) forced by the StageIV_Bi and 50-realization ensemble means of the fractal downscaled StageIV products (StageIV_FF and StageIV_TF). The 5-year simulation was conducted without re-initialization or data assimilation; therefore, for individual events monitored by the NSE metric, it represents a worst case scenario in terms of initial conditions, specifically soil moisture. Note the decrease in NSE from top to bottom panels (Table 1), respectively for the WFPRB, EFPRB and the CCB. This reflects two critical factors in watershed hydrology: 1) the fidelity of the original StageIV data decreases strongly in the inner mountain region (e.g. CCB) and generally everywhere in the NEXRAD shadow regions; and 2) the rainfall errors are amplified in the EFPRB and CCB due to governing role of subsurface control on rainfall-runoff response (e.g. Tao and Barros, 2013).